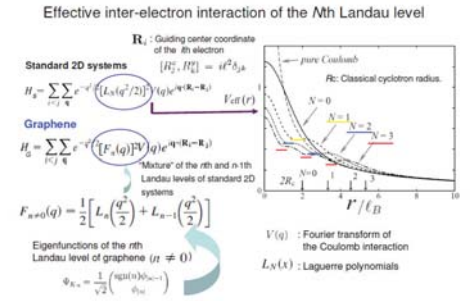
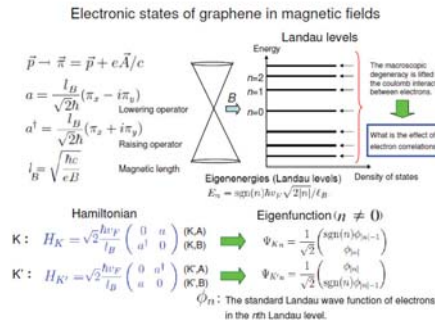
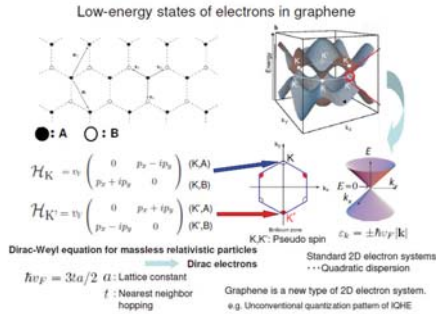
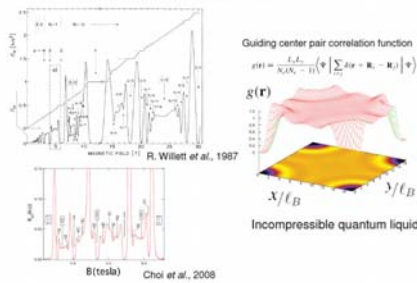


Ground state phase diagram of interacting Dirac electrons in graphene under magnetic field

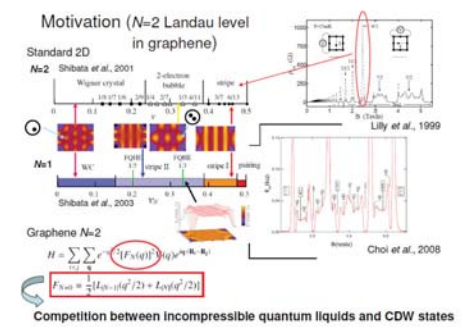
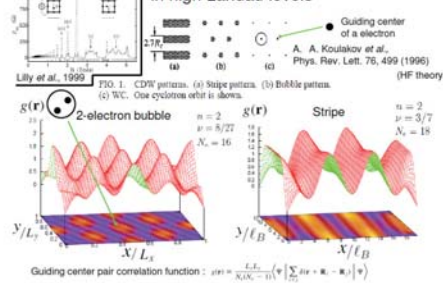
Tatsuya Higashi
Dept. of Physics, Tohoku U.



Fractional quantum Hall effects in standard 2D electron systems in the $N=0$ and 1 Landau levels



CDW ground states of standard 2D electron systems in high Landau levels

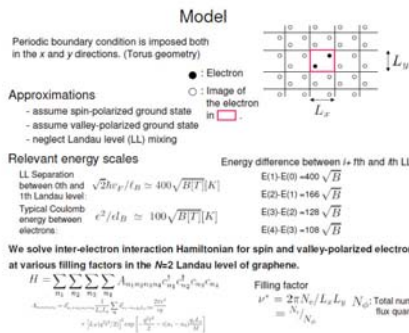


Previous theoretical works

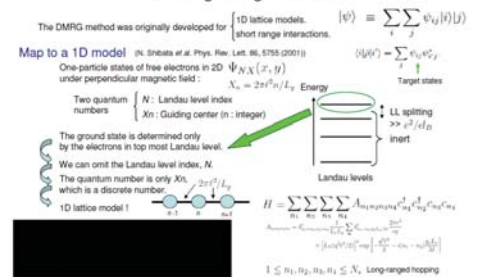
- Hartree-Fock theory (C.-H. Zhang et al., PRB 75, 245414 (2007))
 - Charge density waves (CDW) called stripes and bubbles realize in the ground state in high Landau levels of graphene.
 - But, quantum fluctuations are neglected in HF theory.
 - Can the CDW ground states survive under quantum fluctuations?
 - Exact diagonalization (ED) (H. Wang et al., PRL 100, 116802 (2008))
 - The system size treated by ED is quite small.
 - The filling factor studied is limited.
- Because of these limitations, such studies cannot determine the phase diagram of the present system.

Purpose of this study

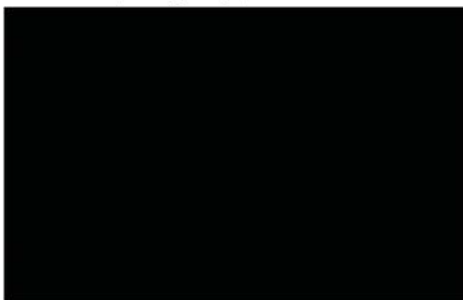
We use the density matrix renormalization (DMRG) method to investigate the ground state at various fillings in the $N=2$ Landau level of graphene, and determine the ground state phase diagram.



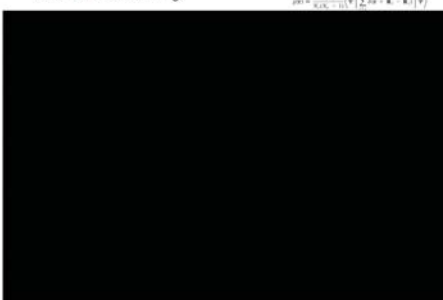
DMRG method for quantum 2D electron systems under high magnetic field



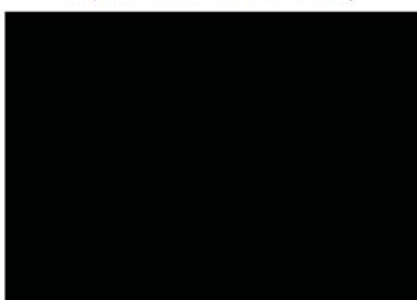
Ground state phase diagram of graphene in the $N=2$ Landau Level



Ground state at low fillings



Comparison with the result of the HF theory



Summary

- By the use of DMRG method, we determined the ground state of Dirac electrons in the $N=2$ Landau level of graphene at various filling factors.
- By analyzing the guiding center pair correlation function, we obtained the reliable ground state phase diagram.



Gamma-ray spectroscopy of $^{11}_{\Lambda}\text{B}$ and $^{12}_{\Lambda}\text{C}$

K. Hosomi for KEK-E566 collaboration

Department of Physics, Tohoku University

1. Introduction

■ AN interaction

Study of the AN interaction is the first step toward the unified understanding of general baryon-baryon interactions beyond the well-known NN interaction. Since AN scattering experiments are quite difficult due to the short lifetime (263 ps) of a Λ hyperon, structure of Λ hypernuclei give us almost unique information of the AN interaction.

■ Hypernuclear structure

A weak-coupling scheme is assumed that a hypernucleus consists of two components, a Λ hyperon and the remaining "core" nucleus, and the Λ hyperon does not change the structure of the core nucleus. In this scheme, non-zero-spin states of a core nucleus split into spin-doublet states with Λ inclusion as shown in Fig. 1. The energy spacing of the doublet states is determined only by the spin-dependent part of the AN interaction. Because the doublet spacing is typically of the order of 100 keV, a γ -ray spectroscopy method using germanium (Ge) detectors with a few keV resolution is essential to resolve their splitting.

From the previous results of a series of γ -ray spectroscopy experiments, it is proposed that energy shifts of hypernuclear levels due to the coupling between the AN and ΣN channels (AN- ΣN coupling) are important to generally understand the spin-dependent part of the AN interaction in p-shell hypernuclei, which have p-shell core nuclei. Fig. 2 shows the important diagram for AN- ΣN three-body interaction caused by AN- ΣN coupling.

■ KEK-E566 experiment

The KEK-E566 experiment was performed at KEK-PS K6 beam line in 2005 in order to investigating the AN interaction in p-shell hypernuclei including the effect of AN- ΣN coupling. In this experiment, $^{11}_{\Lambda}\text{B}$ and $^{12}_{\Lambda}\text{C}$ hypernuclei were produced via the $^{12}\text{C}(\pi^+, K^+)$ reaction with the beam momentum of 1.05 GeV/c.

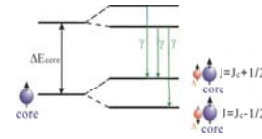


Fig. 1: Low-lying hypernuclear levels.

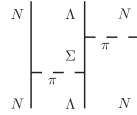


Fig. 2: ANN three-body diagram for AN- ΣN coupling channel.

2. Experimental Setup

■ K6 beam line and SKS spectrometer

The primary proton beam is accelerated by KEK 12-GeV PS and irradiated on a production target located at the most upstream of the K6 beam line. The produced secondary pion beam is transported to the experimental target. Fig. 3 shows the whole schematic view of the experimental setup.

The pion beam momentum is analyzed by the beam line spectrometer which consists of QDQD magnets, tracking chambers and timing counters. The scattered kaons are identified and momentum analyzed by the SKS spectrometer which consists of the SKS magnet, tracking chambers and timing counters.

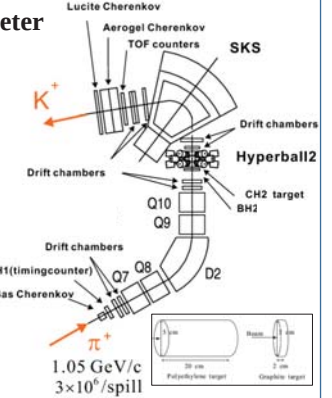


Fig. 3: Schematic view of experimental setup.

■ Hyperball2

γ -rays emitted from produced hypernuclei were detected by using a Ge detector array called Hyperball2, which was installed around the experimental target. As shown in Fig. 4, Hyperball2 has a total of 14 single-type Ge detectors and 6 clover-type Ge detectors, each of which is surrounded by $\text{Bi}_4\text{Ge}_3\text{O}_{12}$ scintillation counters in order to suppress background signals mainly caused by Compton scattering.

The in-beam energy resolution and photo-peak efficiency were 5.4 keV (FWHM) and 2.3 % at 1.33 MeV, respectively.

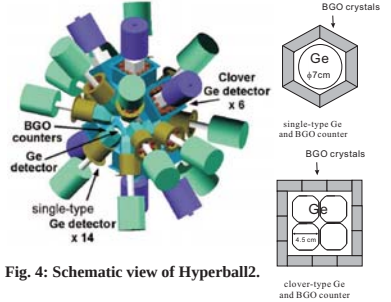


Fig. 4: Schematic view of Hyperball2.

3. Data analysis

■ Missing mass spectrum

$$M_{HY} = \sqrt{(E_{\pi} + M_{\text{target}} - E_K)^2 - (p_{\pi}^2 + p_K^2 - 2p_{\pi}p_K \cos \theta_{\pi K})},$$

$$B_{\Lambda} = M_{\text{core}} + M_{\Lambda} - M_{HY}.$$

The mass of a produced hypernucleus (M_{HY}) is obtained as a missing mass in the (π^+, K^+) reaction by calculating the above equation in the laboratory frame, where E_{π} and p_{π} are the energy and the momentum of the pion, E_K and p_K are those of the kaon, M_{target} is the mass of target nucleus (^{12}C), and $\theta_{\pi K}$ is the reaction angle. Then, the missing mass can be converted to the Λ binding energy (B_{Λ}) by subtracting the mass of the core nucleus (^{11}C) and a Λ hyperon. Fig. 5 shows the obtained missing mass spectrum.

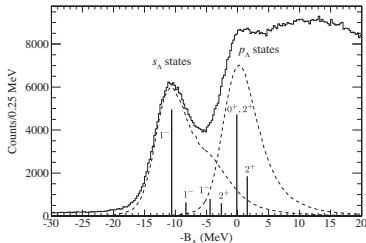


Fig. 5: Missing mass spectrum in the $^{12}\text{C}(\pi^+, K^+)$ reaction.

■ γ -ray spectrum for $^{11}_{\Lambda}\text{B}$

The $^{11}_{\Lambda}\text{B}$ hypernucleus was populated via the one proton mission from p_{Λ} states of $^{12}_{\Lambda}\text{C}$. By selecting the p_{Λ} region in the missing mass spectrum, we observed three γ -ray peaks associated to $^{11}_{\Lambda}\text{B}$ as shown in Fig. 6. In Fig. 6, right figures are the enlarged views of the left figures around 511-keV annihilation peak.

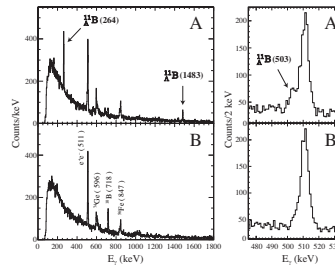


Fig. 6: "A" indicates the γ -ray spectrum for the events of $^{11}_{\Lambda}\text{B}$ production. The events are corresponding to the p_{Λ} region in the missing mass, where a Λ hyperon occupies the p orbit.

■ γ -ray spectrum for $^{12}_{\Lambda}\text{C}$

Four γ -ray peaks associated to $^{12}_{\Lambda}\text{C}$ were identified by selecting the s_{Λ} states region in the missing mass spectrum as shown in Fig. 7. Except for the 161-keV peak, Doppler-shift correction is necessary to be observed as a sharp peak. The Doppler correction was applied event-by-event by the following equation

$$E_{\gamma}^{\text{corrected}} = \frac{1}{\sqrt{1 - \beta^2}} \cdot (1 - \beta \cos \phi) \cdot E_{\gamma}^{\text{measured}}$$

, where β is the velocity of a recoiling hypernucleus, and ϕ is the angle between the direction of β and γ -ray emission

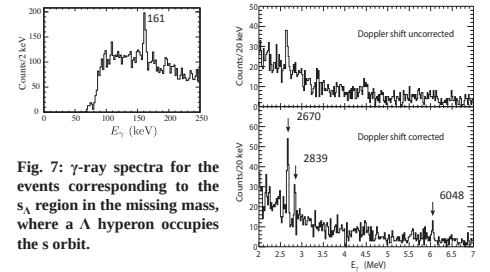
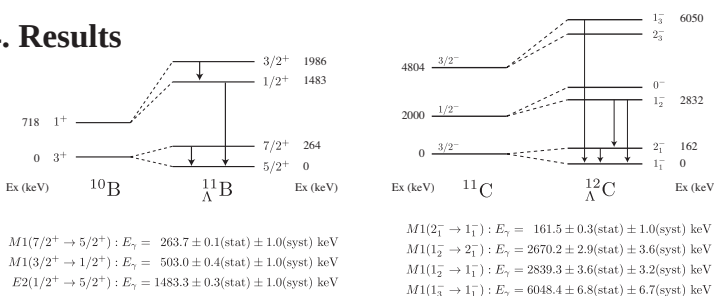


Fig. 7: γ -ray spectra for the events corresponding to the s_{Λ} region in the missing mass, where a Λ hyperon occupies the s orbit.

4. Results



$M1(7/2^+ \rightarrow 5/2^+): E_{\gamma} = 263.7 \pm 0.1(\text{stat}) \pm 1.0(\text{syst}) \text{ keV}$
 $M1(3/2^+ \rightarrow 1/2^+): E_{\gamma} = 503.0 \pm 0.4(\text{stat}) \pm 1.0(\text{syst}) \text{ keV}$
 $E2(1/2^+ \rightarrow 5/2^+): E_{\gamma} = 1483.3 \pm 0.3(\text{stat}) \pm 1.0(\text{syst}) \text{ keV}$

$M1(2_1^- \rightarrow 1_1^-): E_{\gamma} = 161.5 \pm 0.3(\text{stat}) \pm 1.0(\text{syst}) \text{ keV}$
 $M1(1_2^- \rightarrow 2_1^-): E_{\gamma} = 2670.2 \pm 2.9(\text{stat}) \pm 3.6(\text{syst}) \text{ keV}$
 $M1(1_2^- \rightarrow 1_1^-): E_{\gamma} = 2839.3 \pm 3.6(\text{stat}) \pm 3.2(\text{syst}) \text{ keV}$
 $M1(1_3^- \rightarrow 1_1^-): E_{\gamma} = 6048.4 \pm 6.8(\text{stat}) \pm 6.7(\text{syst}) \text{ keV}$

Fig. 8: Low-lying level schemes of $^{11}_{\Lambda}\text{B}$ and $^{12}_{\Lambda}\text{C}$ and of their core nuclei. γ -ray transitions observed in the KEK-E566 experiment are indicated by arrows, and determined level energies are also shown.

5. Discussion

$$\Delta = 0.43 \text{ or } 0.33, S_{\Lambda} = -0.02, S_N = -0.4, T = 0.03 \text{ MeV}$$

In the p-shell hypernuclei, the spin-dependent interactions are represented by the four parameters as above, where Δ , S_{Λ} , S_N and T denote the radial integrals of the effective $s_{\Lambda\text{pN}}$ interactions for the spin-spin, Λ -spin-dependent spin-orbit, nucleon-spin-dependent spin-orbit and tensor components, respectively. The quoted values are suggested by D. J. Millener based on previous results. The energy spacing of two hypernuclear levels are generally described by linear combinations of these parameters and the strength of AN- ΣN coupling. The NSC97f interaction of the Nijmegen models is assumed for estimating the effect of AN- ΣN coupling.

The measured spin-doublet spacings of $^{11}_{\Lambda}\text{B}(3/2^+, 1/2^+)$, $^{11}_{\Lambda}\text{B}(7/2^+, 5/2^+)$ and $^{12}_{\Lambda}\text{C}(2_1^-, 1_1^-)$ are well explained by these parameters. The present experimental results favors the NSC97f interaction for AN- ΣN coupling.

Λ photoproduction on a deuteron at threshold energies.

B. Beckford for NKS2 collaboration, Dept. of Physics, Tohoku University

Introduction

Strangeness photoproduction

Strangeness production on a nucleon or a nucleus by the electromagnetic interaction provides invaluable information on the strangeness production mechanism and strengths of meson-hadron coupling constant. Kaon photoproduction can be a good probe to find missing resonance states.

NKS2 experiment aims to explore the strangeness photoproduction on the deuteron by measuring neutral kaons and Λ hyperons with tagged photon beams of $E_\gamma = 0.8$ -1.1 GeV.

We focus on Λ , elementary particle composed of uds, an **up**, **down** and **strange** quark.

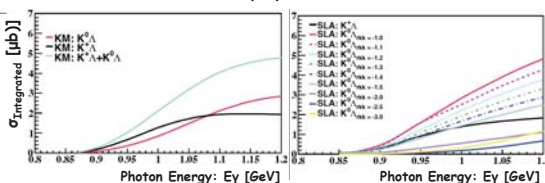
$N(\gamma, K^0)\Lambda$ reaction in the threshold region

$N(\gamma, K^0)\Lambda$ process has unique features in the investigation of kaon production process by electromagnetic interaction as follows,

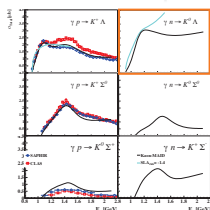
- no charge in initial and final state
 - t -channel Born term does not contribute
- isospin symmetry to $p(\gamma, K^0)\Lambda$ process
 - sign of coupling constant in u -channel is opposite
- $g(K^0\Sigma^0n) = -g(K^0\Sigma^0p)$
- the electromagnetic coupling constants of resonances in the s - and t -channels
 - different from K^* process : e.g., $g(Nn)$ and $g(K^0K^0\gamma)$

Due to these characteristics, the interference among the diagrams in the K^0 production process is quite different from that in the K^* process. For the elementary reaction of $\gamma n \rightarrow K^0\Lambda$, photon energy dependence and angular distribution at $E_\gamma = 1.05$ GeV are calculated using Kaon-MAID (KM) model and Saclay Lyon A model (SLA). These two isobar models agree well in their predictions of the $\gamma p \rightarrow K^0\Lambda$ process, however they are significantly different for the $\gamma n \rightarrow K^0\Lambda$ process.

- Photon Energy Dependent Integrated Cross Section
- Kaon-MAID and Saclay Lyon A Isobar Models



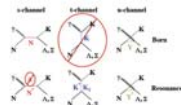
Total cross section for kaon photo-production



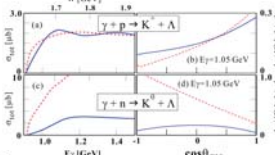
solid lines : Kaon-MAID (T. Maier and C. Bennhold, Phys. Rev. C 61 012001 (1999))

data : SAPHIR (M. Q. Tran et al., Phys. Lett. B 445, 30 (1998))
SAPHIR (M. Q. Tran et al., Phys. Lett. B 445, 30 (1998))
SAPHIR (M. Q. Tran et al., Phys. Lett. B 445, 30 (1998))
CLAS (R. Bradford et al. (CLAS Collaboration), Phys. Rev. C 73, 055202 (2006))

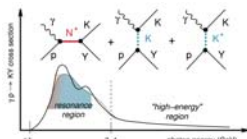
Feynman Diagrams for isobar model



Kaon-MAID and Saclay Lyon A



RPR (Regge Plus Resonance)



Experimental Apparatus

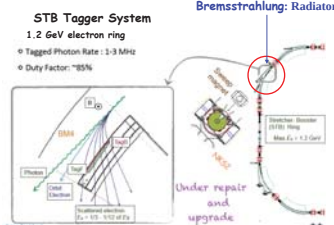
Tagged photon beam

NKS2+ is installed at the Research Center for Electron Photon Science (ELPH-Tohoku).

The photon beam is generated via bremsstrahlung and the scattered electron's energy is tagged by STB-Tagger system.

- Energy Region 0.8 - 1.1 GeV
- $N(\gamma, K^0)\Lambda$ threshold (915 MeV)
- Energy Resolution 10 MeV
- Beam Intensity 2×10^6 Hz
- Duty Factor 35 - 85 %

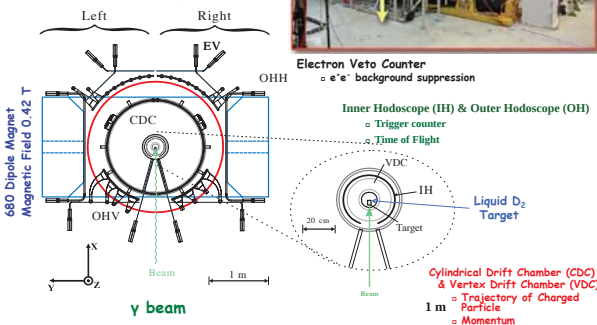
Schematic view of the experimental hall



NKS2+ Upgrade Setup

- Liquid D2 or H target
- Magnetic spectrometer
 - Hodoscopes
 - Time of Flight (TOF)
 - Particle Tracking
 - Pair of Drift Chambers: CDC and VDC
 - Momentum, trajectory, decay vertex

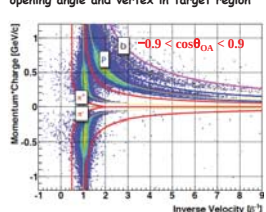
Schematic view of NKS2



Particle Identification:

PID

PID for two charged particle track events with opening angle and vertex in target region

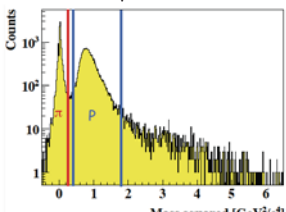


The figure above presents the correspondence particles between momentum and inverse velocity. The red, blue and magenta line represents the pion, proton and deuteron designated regions.

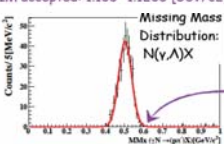
$\Lambda(1116)$ Decay ($\tau = 7.89$ cm)

- $\Lambda \rightarrow p\pi^-$ (63.9 $\pm$ 0.5 %)
- $\Lambda \rightarrow n\pi^0$ (36.1 $\pm$ 0.5 %)

Mass squared distribution



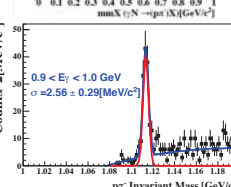
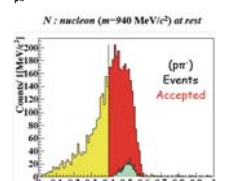
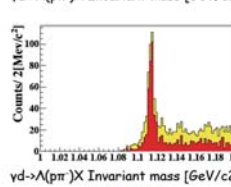
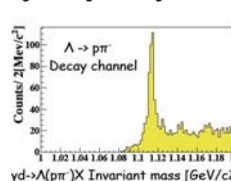
IM accepted: 1.105 - 1.1205 [GeV/c^2]



Λ Event Distribution

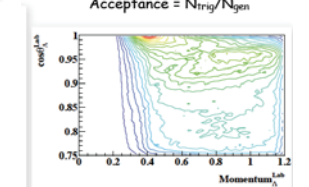
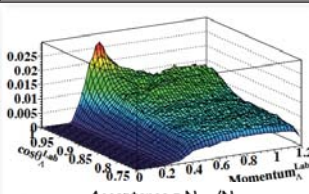
Invariant Mass Distribution

Invariant mass distribution of $p\pi^-$. The $p\pi^-$ invariant mass is shown with the missing mass region of $0.60 > MM_{\pi^-} > 0.40$ GeV/c^2.

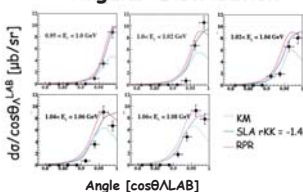


Acceptance

Simulation Condition :
Generated L isotropically in Lab frame,
 $0 < p_{\perp} < 1.2$ GeV, $0.75 < \cos\theta_{\Lambda}^{lab} < 1.0$
Use the same analyzer for the experimental data.



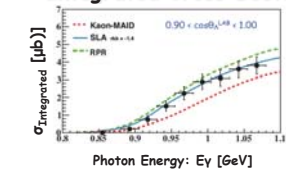
Angular Distribution



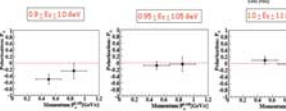
RESULTS

Angular distribution as a function of lab scattering angle.
Photon energy dependent integrated cross section
Momentum dependent differential cross section

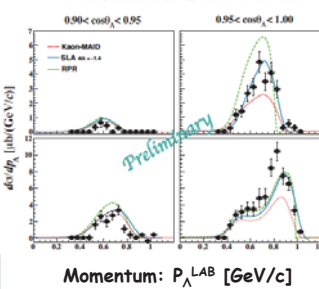
Integrated Cross Section



Recoil Polarization



Momentum Distribution



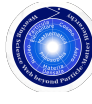
Summary

- Experiment performed with NKS2+ at the ELPH research facility using tagged photon beams on D2 target.
- A momentum distribution was obtained for two photon energy region, 0.9 to 1.0 GeV and 1.0 to 1.08 GeV.
- A angular distribution for five energy bins
 - First reported data
 - Peaks at small angles
 - NKS2+ can approximately measure total cross sections
- An excitation function at forward hyperon angle derived.
 - New data on excitation curves
- A polarization for three energy.
 - Recoil polarization is negative at $E_\gamma < 1.0$
- Theory Comparison
 - Saclay Lyon A $\sigma(K^0\Lambda) = (1.4-1.5)$ and Regge-Plus-Resonance provides a good agreement with data.
 - Kaon-MAID underestimates all data.

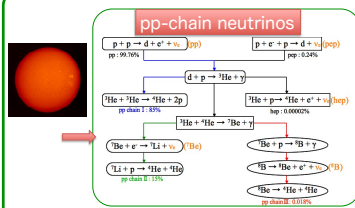


Observation of ^7Be Solar Neutrinos with KamLAND

The 5th GCOE International Symposium
Takemoto Yasuhiro (Physics, D3, Tohoku University) PN.4, 2013/Mar/05



^7Be Solar Neutrino



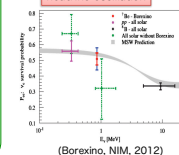
Standard Solar Model (SSM) ν flux prediction

type	[cm ⁻² s ⁻¹]	E _{max} [keV]	SSM-GS98	SSM-AGSS09
pp	10 ¹⁰	260	5.98 ($\pm 0.6\%$)	6.03 ($\pm 0.6\%$)
pep	10 ⁸	1220	1.44 ($\pm 1.1\%$)	1.47 ($\pm 1.2\%$)
hep	10 ³	18,500	8.04 ($\pm 30\%$)	8.31 ($\pm 30\%$)
^7Be	10 ⁹	660	5.00 ($\pm 7\%$)	4.56 ($\pm 7\%$)
^8B	10 ⁶	15,200	5.58 ($\pm 14\%$)	4.59 ($\pm 14\%$)

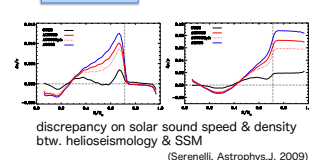
^7Be superiority

- Low, but enough high energy for a clean liquid scintillator detector
- Low energy to test **matter effect** to neutrino oscillation in combination with ^8B solar neutrinos $\rightarrow$ particle-physics
- High flux to test SSM, especially the new solar problem "solar abundance problem" $\rightarrow$ astro-physics

matter effect on neutrino oscillation

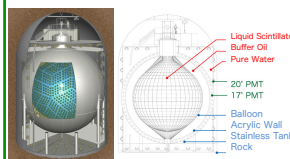


3D solar atm. non-LTE



KamLAND (Kamioka Liquid scintillator anti-neutrino detector)

The Detector



Detection method for solar ν

neutrino-electron elastic scattering
 $\rightarrow$ any events, unable to be tagged by delayed coincidence could be background

Cleanness

- cosmic rays:
 - $< 10^{-5}$ of that at land surface
 - < 2700 m.w.e depth
- radio activities:
 - ^{238}U : 7.3×10^{-19} [g/g]
 - ^{232}Th : 1.5×10^{-17} [g/g]
 - ^{40}K : $< 1.5 [\mu\text{Bq/m}^3]$
 - water extraction
 - nitrogen purging
 - distillation

Statistics

- volume:
 - 1000 ton liquid scintillator

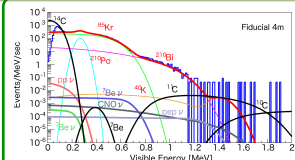
Resolution

- energy:
 - 6.9%/E(MeV)

Trigger Efficiency

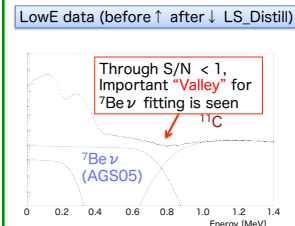
- 100% @ $E > 380\text{keV}$
- $E(^7\text{Be} \nu) < 662\text{keV}$

Purification of KamLAND liquid scintillator



Major Distillation Targets

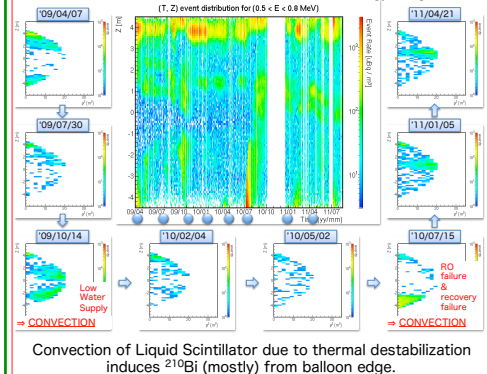
Target	Reduction Goal
^{210}Pb	$10^5 \sim 10^4$
^{222}Rn	$\sim 10^3$
^{40}K	$10^2 \sim 10^1$
^{85}Kr	$10^6 \sim 10^5$



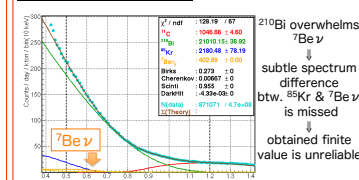
Campaign
1st phase
'07/05
~ '08/06
1,600m³
2nd phase
'08/06
~ '09/02
3,500m³

Temporally & Spatially variation of background

Event rate fluctuation inside R < 4.5m, $^7\text{Be} \nu$ energy range



If we fit all data at once?

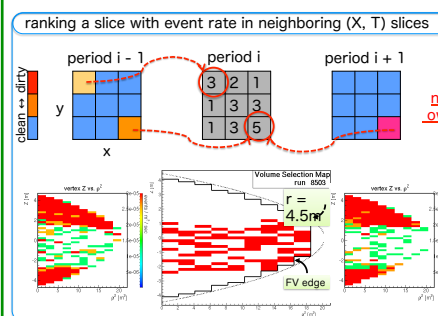


Better use of clean region

- previous approaches
1. Larger volume $\rightarrow$ stat.
 2. Smaller surface $\rightarrow$ event position uncert.
 3. Analytical selection $\rightarrow$ Smaller bias
- selection bias remains $\rightarrow$ "clean" is defined by own event rate

Fiducial Volume selection

1. Larger volume
 2. Smaller surface
 3. Analytical selection
 4. Less selection bias
- Use all data (clean & dirty), but **emphasize clean data**
- rank time-volume slices
 - merge them into multi-data



Simultaneous Fitting

- Use all data (clean & dirty), but **emphasize clean data**
- simultaneous fitting
- $^7\text{Be} \nu$ determination superiority of cleaner data is preserved, but dirty data is not discarded

Simultaneous fitting with least χ^2

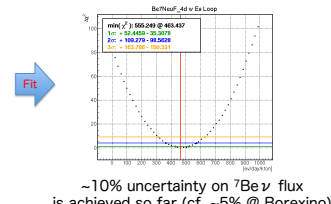
$$\chi^2[e|d] = \left\{ D[e|d] - \left(\sum E[s]e|d + \sum E[s]e|e \right) \right\}^2 / D[e|d]$$

$$\chi^2 = \sum \chi^2[e|d] + \chi^2_{\text{penalty}}$$

Legend:

- D : data
- De : data error
- Eu : expected
- Ec : expected_unrelated
- e : energy_bin_d
- d : data_set
- s : spectrum_d

Data Set (ranked by event rate)	0 - 5 uBq
	5 - 10 uBq
	10 - 15 uBq
	15 - 20 uBq
	20 - 30 uBq
	30 - uBq
Uncorrelated params	^{210}Bi
	^{85}Kr
Correlated params	^{238}U , ^{232}Th , ^{235}U
	^{137}Cs (constrained)
Fixed params	^{226}Ra , $^{234\text{m}}\text{Pa}$, Spallation products
Energy Window	$0.5 < E < 1.4$ MeV



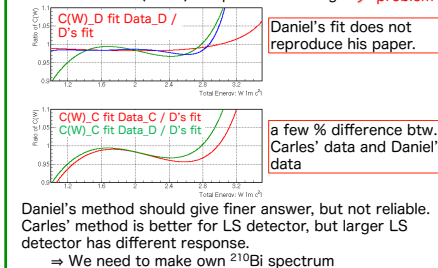
Remaining Problems

less understanding of ^{210}Bi spectrum

^{210}Bi β decay: 1st non-unique forbidden
Correction [Allowed $\rightarrow$ Allowed + Forbidden] decay is based on experiments

Daniel (1965) lens β spectrometer
Carles (2005) LS photon counting

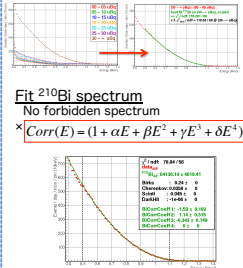
Each has problem



^{210}Bi spectrum generation and result

Data for ^{210}Bi spectrum (dirtiest - cleanest) data

Assumption: no other BG (especially ^{85}Kr) increases as ^{210}Bi increases

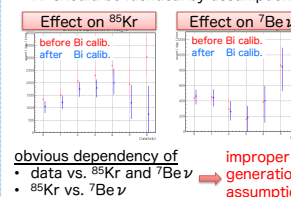


Effect of ^{210}Bi spectrum

Check parameter dependency with fitting each ranked data.

$^7\text{Be} \nu$ should be identical by definition

^{85}Kr should be identical by assumption



Better ^{210}Bi spectrum generation is required for reliable fitting.

$\Rightarrow$ data extraction from the same volume, different time, free from convection?

Summary

- With long endeavor of KamLAND collaborators, KamLAND equips ultra-low background LS, leading ^7Be solar neutrino observation.
- During solar neutrino observation phase, multiple convection induced ^{210}Bi into the center of the detector, which made temporal and spatial variation of background.
- For the better statistical treatment against such overwhelming background, following method was taken.
 - slicing volume into small pieces
 - ranking each slices and merging them
 - fitting all data simultaneously
- $\sim 10\%$ uncertainty of $^7\text{Be} \nu$ flux is achieved.
- With precise understanding of ^{210}Bi spectrum, we will finalize $^7\text{Be} \nu$ result then test SSM and Borexino result.

dedicated to Stuart Freedman, who was one of the great leaders of KamLAND, and who participated and gave a talk in the 1st GCOE symposium.

P-05 “Time-dependent Approach to Two-proton Radioactivity and Di-proton Correlation”

Tomohiro Oishi^A, Kouichi Hagino^A, Hiroyuki Sagawa^B

^A Department of Physics, Tohoku University, ^B Center for Mathematical Sciences, University of Aizu

I. Two-proton Radioactivity

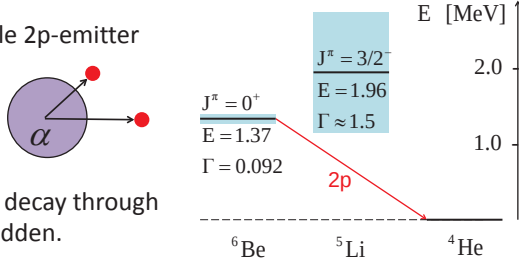
✓ General properties

- The novel decay-mode of proton-excessive nuclei.
- Two protons are emitted **sequentially** or **simultaneously**.
- Famous two-nucleon emitters ; ⁶Be, ¹⁶Ne, ⁴⁵Fe, ⁵⁴Zn, ¹⁶Be(2n), etc.

Nuclide	⁶ Be(Z=6)	¹⁶ Ne(Z=10)	⁴⁵ Fe(Z=26)	⁵⁴ Zn(Z=30)	¹⁶ Be(N=10)
Decay mode	2p (100%)	2p (100%)	2p (70%)	2p (90%)	2n (?)
Q _{2N} [MeV]	1.37	1.40	1.14	1.51	1.35
Decay width [MeV]	0.092	0.11	9.7×10^{-20}	2.1×10^{-19}	?
Lifetime [s]	$\approx 10^{-19}$	$\approx 10^{-19}$	6.8×10^{-3}	3.2×10^{-3}	?

✓ ⁶Be nucleus

- The most simple 2p-emitter (alpha + p + p).



- The sequential decay through (⁵Li + p) is forbidden.

II. Two Important Factors of 2p-decay

✓ Final State Interactions (FSIs)

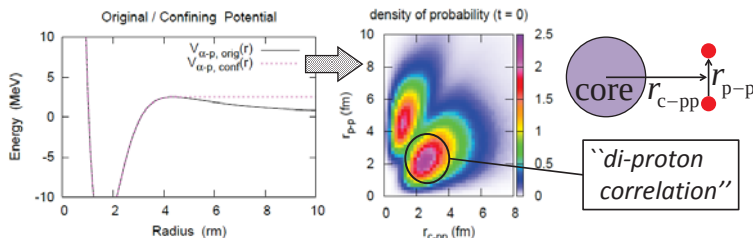
$$H_{3-body} = h_{\alpha-p1} + h_{\alpha-p2} + \frac{\vec{p}_1 \cdot \vec{p}_2}{A_\alpha m} + v_{p1-p2}(\vec{r}_1, \vec{r}_2)$$
$$= \left\{ \frac{p_1^2}{2\mu_{\alpha-p}} + V_{\alpha-p}(\vec{r}_1) \right\} + \left\{ \frac{p_2^2}{2\mu_{\alpha-p}} + V_{\alpha-p}(\vec{r}_2) \right\} + \frac{\vec{p}_1 \cdot \vec{p}_2}{A_\alpha m} + v_{p1-p2}(\vec{r}_1, \vec{r}_2)$$

core-proton interaction = Woods-Saxon + Coulomb
proton-proton interaction = Minnesota + Coulomb

✓ Initial configuration

- Decay-aspects are dominated not only by interactions but also by **how two protons are before the emission**.

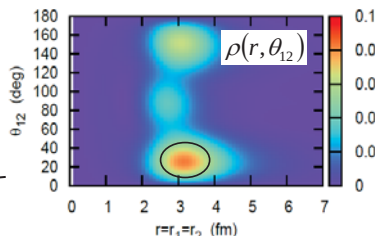
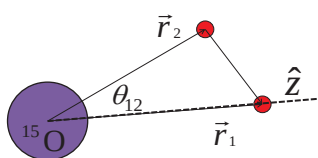
e.g. with “Confining Potential” at t=0,



di-proton or di-neutron correlation (prediction)

It is theoretically expected that there is a strong correlation of two nucleons inside nuclei.

e.g. ¹⁷Ne = ¹⁵O + p + p



T.Oishi, K.Hagino, and H.Sagawa, PRC82,024315(2010)

III. Time-dependent Approach

✓ Formalism

$$\text{total state ; } |\Psi(t)\rangle = \exp\left(-it \frac{H_{3-body}}{\hbar}\right) \cdot |\Psi(0)\rangle$$

$$\Rightarrow \text{decay state ; } |\Psi_d(t)\rangle \equiv |\Psi(t)\rangle - \beta(t) \cdot |\Psi(0)\rangle, \beta(t) \equiv \langle\Psi(0)|\Psi(t)\rangle$$

$$\Rightarrow \text{decay probability ; } N_d(t) \equiv \langle\Psi_d(t)|\Psi_d(t)\rangle = 1 - |\langle\Psi(0)|\Psi(t)\rangle|^2$$

$$\Rightarrow \text{decay width ; } \Gamma(t) \equiv -\hbar \frac{d}{dt} \ln[1 - N_d(t)] = \frac{\hbar}{1 - N_d(t)} \frac{d}{dt} N_d(t)$$

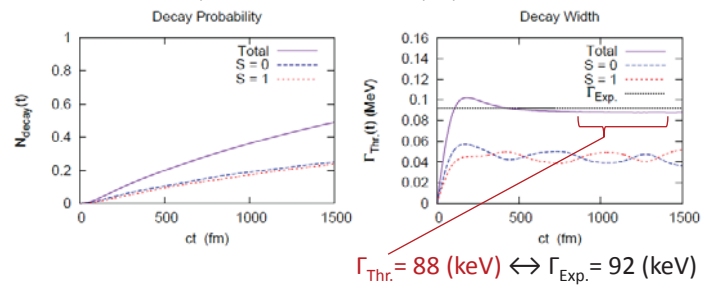
✓ Advantage of this method

- TD-approach makes it possible to detect the role of the **initial configuration** and the **di-proton correlation** in 2p-decay.

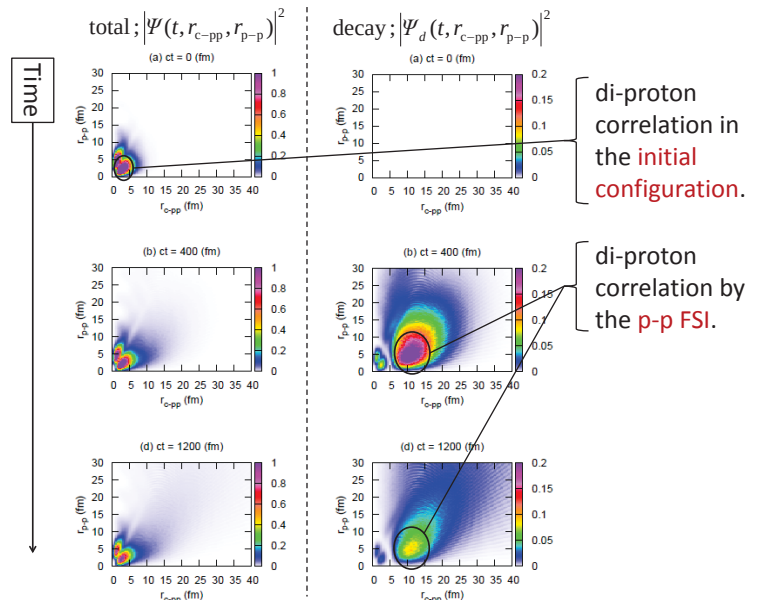
IV. Results (2p-decay of ⁶Be)

✓ Decay probability and width

- The calculated Q_{2p,Thr.} is adjusted to Q_{2p,Exp.} = 1.37 (MeV).



✓ Time-development of density of probability



V. Summary

✓ Conclusions

- Decay width ; Γ_{thr.} is in excellent agreement with experiments.
- Di-proton correlation in 2p-decay is apparent, as the result of both p-p FSI and **initial configuration**.

✓ Future Work

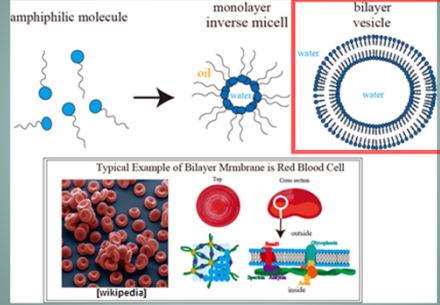
- It is needed to distinguish the effect of initial configuration, which is critical to discuss the intrinsic di-proton correlation inside stable nuclei.

Dynamic Theory for Polymer-Containing Bio-Membranes

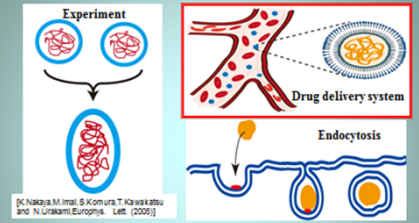
Tohoku University

Y. Oya T. Kawakatsu

Amphiphilic Membrane

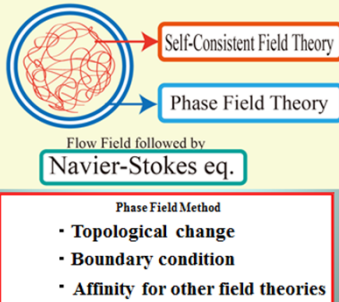


Polymer-Containing Bio-Membranes

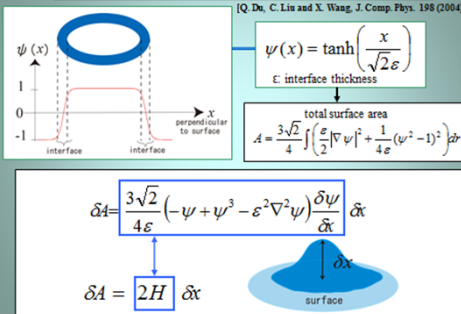


Aim of our study
To simulate behaviors of bio-membranes containing polymers

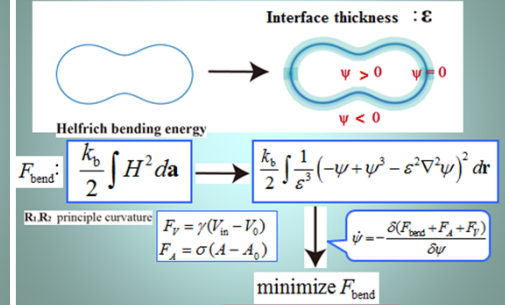
Method of Simulating Vesicle Shape



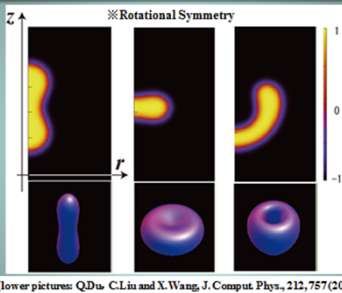
Phase Field Theory 1: Mean Curvature



Phase Field Theory (PFT) for Vesicle Shape

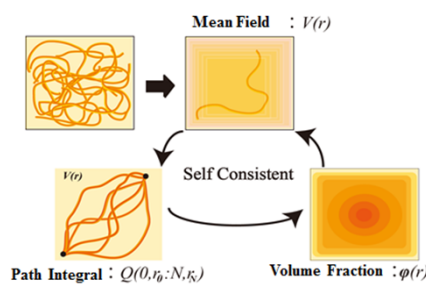


Phase Field Theory 2: Static Simulation Results

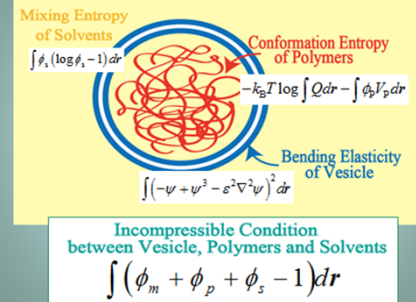


[lower pictures: Q.Du, C.Liu and X.Wang, J. Comput. Phys., 212, 757 (2006).]

Self-Consistent Field Theory (SCFT) for Polymers



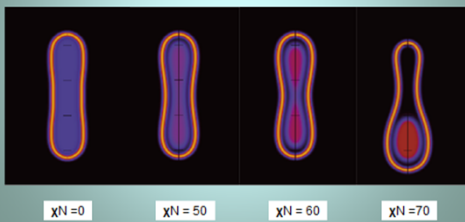
Coupled System of PFT and SCFT



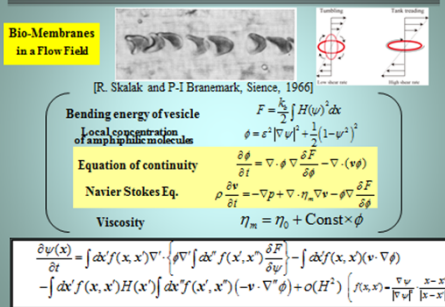
Shape Changes of Vesicle Induced by Polymers

Chain Length (N): 100
Concentration (ρ): 0.1
Reduced Volume (v): 0.69

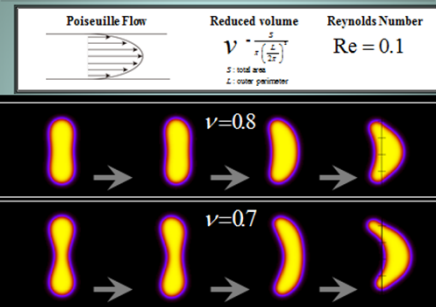
$$v = \frac{4\pi}{3} \left(\frac{A}{4\pi} \right)^{3/2}$$



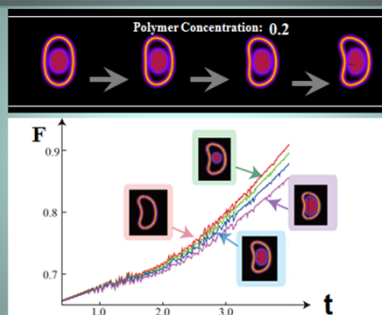
Coupling Phase Field and Navier Stokes Eq.



Vesicle in Poiseuille Flow in 2D simulation



Polymer Containing Vesicle in Poiseuille Flow



CONCLUSION

We derived time evolution equation for vesicle deformation with use of phase field method.

We combined the phase field method, self consistent field theory and Navier Stokes equation.

The $\gamma d \rightarrow \pi^+ \pi^- d$ reaction in the energy region of $0.7 \leq E_\gamma \leq 1.1$ GeV

Chigusa Kimura for NKS2 Collaboration, Department of Physics, Tohoku University

Introduction

• Double pion photoproduction

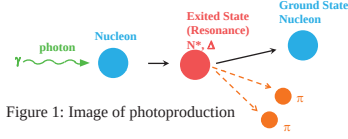


Figure 1: Image of photoproduction

• On nucleon

1. A nucleon absorbs a photon and excites.
 2. The nucleon resonance decays, it emits mesons.
- To study emitted pions, we can understand
 1. Possible resonance
 2. Interaction between photon and nucleon.

• On deuteron

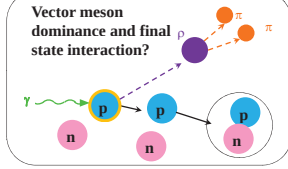
- Above first resonance region ($E_\gamma \sim 400$ MeV), the photon interacts mainly with each nucleon in the deuteron (quasi-free process).
- However, in the previous study, it was suggested that the contribution of two nucleons excitation (non-quasi-free process) is not small (ref[1]).

• Non-quasi-free process

- Double delta production (ref.[1])
- Coherent production (Fig.2) without deuteron disintegration.

This process mechanism still has not been understood well. There was a few theoretical approach and a few experiments (Fig.3).

Vector meson dominance and final state interaction?



Two nucleons excited?

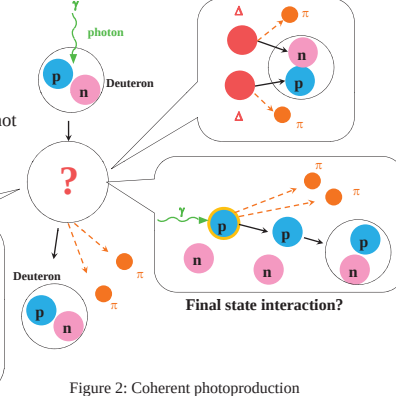


Figure 2: Coherent photoproduction

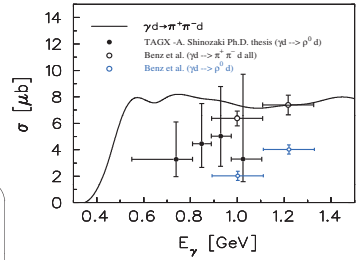


Figure 3: Predicted cross section of coherent production and experimental data. (ref.[2])

*Cross section is the effective area which governs the probability of some absorption event.

The objective of this study

- Investigation of the mechanism of coherent double pion photoproduction the role in total photoabsorption.
- Obtaining the cross section and its energy dependence in $0.7 \leq E_\gamma \leq 1.1$ GeV.

Experiment

Photon beam

- The experiment was carried out at Research Center for Electron Photon Science (ELPH), using a photon beam.
- A photon beam is created by bremsstrahlung from an electron beam, and tagged by tagging counters.
- The photon energy range : $0.7 < E_\gamma < 0.9$ GeV (Energy width ~ 5 MeV), $0.8 < E_\gamma < 1.1$ GeV (Energy width ~ 6 MeV)

Neutral Kaon Spectrometer 2 (Fig. 4)

- The charged particles in the final state were detected using the Neutral Kaon Spectrometer 2 (NKS2)
 - Dipole magnet ($B \sim 0.42$ T at the center)
 - Drift chambers : Vertex Drift Chamber (VDC), Cylindrical Drift Chamber (CDC)
 - TOF counters : Inner Hodoscope (IH), Outer Hodoscope (OH)
 - Electron Veto
 - Trigger: Detection of more than two charged particles
 - Target: Liquid deuterium : located at the center of NKS2

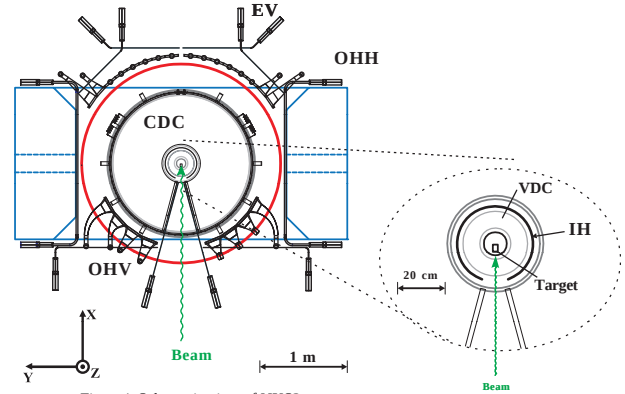


Figure 4: Schematic view of NKS2

Analysis

Event Selection

Selecting events which include the three charged particle in the final state.

1. Particle identification (Fig.5)

- Calculate Mass of particles
 - Drift Chamber: $\rightarrow$ Momentum
 - Hodoscope: Time of flight $\rightarrow$ Velocity of particles

$$m^2 = (1/\beta^2 - 1)p^2$$

2. Check the momentum conservation between photon energy and total momentum of three particles.

3. Select the $\gamma d \rightarrow \pi^+ \pi^- d$ reaction

The yields of this reaction is shown in Fig.6.

This yields were normalized by the total photon numbers of the each experiments and the tagging efficiencies.

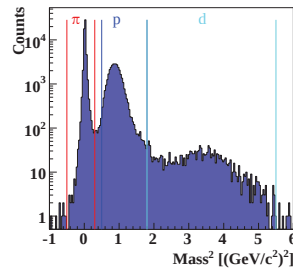


Figure 5: Squared mass distribution.

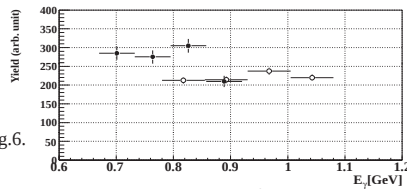


Figure 6: Corrected yield of $\gamma d \rightarrow \pi^+ \pi^- d$.

Open circle : $0.7 < E_\gamma < 0.9$ GeV, closed circle : $0.8 < E_\gamma < 1.1$ GeV.

Reaction Process

Calculate the invariant mass (IM) of 2 pions to check the intermediate state.

$$IM_{\pi\pi}^2 = (E_{\pi^+} + E_{\pi^-})^2 - (p_{\pi^+} + p_{\pi^-})^2$$

- Fig. 7 show the invariant mass distribution of π^+ and π^- . Upper is in the photon energy region $0.7-0.9$ GeV and lower is in $0.8-1.1$ GeV.
- The yellow area is 3 body phase space distribution generated by Monte Carlo Simulation.
- The peaks around $0.6 - 0.8$ GeV/ c^2 suggest the rho meson production in the intermediate state. ($M_\rho \sim 0.77$ GeV/ c^2)
- Now detail simulations are ongoing to determine the intermediate state.
- After determination of reaction processes, the cross section can be obtained.

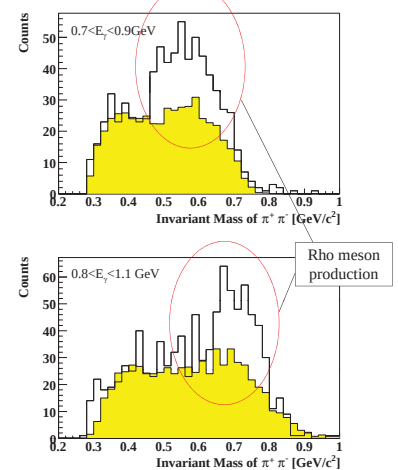


Figure 7: Invariant mass of π^+ and π^- . The yellow distribution is simulation of 3body phase space.

Summary

- The coherent photoproduction on the deuteron is useful for understanding the mechanism of photoabsorption on a bound nucleon in the GeV region.
- The experiments were carried out in the energy range of $0.7-1.1$ GeV at ELPH with NKS2.
- The event which include deuteron are detected in our data, and checked these event are $\gamma d \rightarrow \pi^+ \pi^- d$ event.
- The corrected yield of this event is obtained as a function of photon energy. It seems flat in this energy region.
- Invariant mass distribution of π^+ and π^- shows a peak around rho meson mass. This result shows the rho meson is produced in the intermediate state of this reaction.

Reference

- [1] K. Hirose et al.: Phys. Lett. B674 (2009)
- [2] A. Fix and H. Arenhoevel : Eur. Phys.J. A25 115-135(2005)

“Study of $B^\pm \rightarrow DK^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$ for the measurement of CP-violating angle ϕ_3 , and $D^{*\pm} \rightarrow D\pi^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$ for the modeling of $D \rightarrow K_S K^\pm \pi^\mp$ Dalitz plane



1. Motivation & Theory

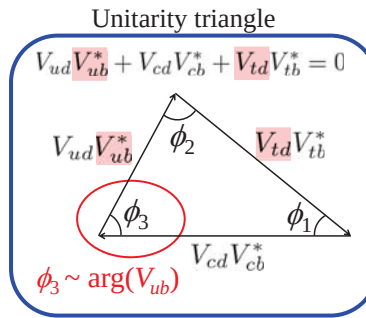
CKM (Cabibbo-Kobayashi-Maskawa) Matrix

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$= \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

$\lambda = \sin \theta_c \sim 0.22$

Unitarity
 $VV^\dagger = 1$



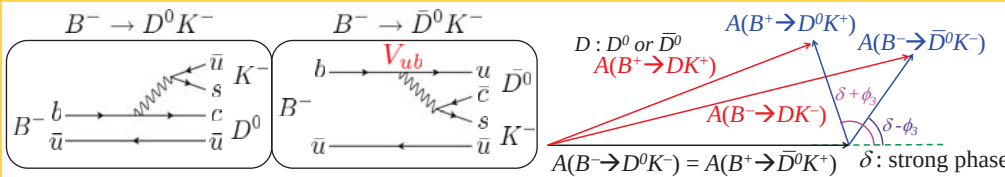
Unitarity triangle is described on complex plane, and represents CP-violation. To understand CP-violation, the angles of this triangle should be measured precisely.

Present limits for each angle

$$\begin{aligned} \phi_1 &= 21.15^\circ \begin{smallmatrix} +0.90^\circ \\ -0.88^\circ \end{smallmatrix} \\ \phi_2 &= 89.0^\circ \begin{smallmatrix} +4.4^\circ \\ -4.2^\circ \end{smallmatrix} \\ \phi_3 &= 68^\circ \begin{smallmatrix} +13^\circ \\ -14^\circ \end{smallmatrix} \end{aligned}$$

The measurement accuracy of ϕ_3 is not so good, and should be improved.

ϕ_3 can be measured by examining the asymmetry between $B^- \rightarrow DK^-$ and $B^+ \rightarrow DK^+$ decays. Among the various $B^\pm$ decays, $B^\pm$ meson which decays to neutral D meson (D^0 or $\bar{D}^0$) and $K^\pm$ meson is used for ϕ_3 measurement.



D^0 and $\bar{D}^0$ can decay to the same final states. Therefore $B^- \rightarrow D^0 K^-$ and $B^- \rightarrow \bar{D}^0 K^-$ decay amplitudes interfere each other. The interfering between D^0 and $\bar{D}^0$ is used to measure ϕ_3 .

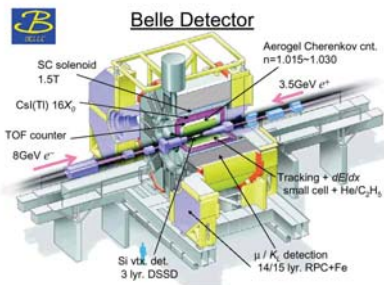
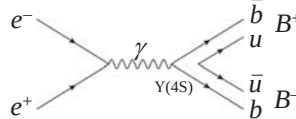
2. Facility

KEKB-factory & Belle Detector



KEK@Tukuba

- KEKB-factory is a facility to make B particles.
- High energy electrons and positrons collide, and annihilate in pairs.
- From the pair annihilation, heavy B mesons are generated.

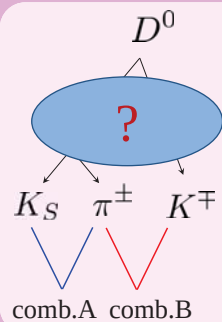


- Belle detector is to search the decays of B particles.
- Belle detector consists of many sub-detectors, and determines the particle type, momentum, charge, and so on.
- The mother particles are reconstructed from detected particles.
- The world largest data of 1014 fb⁻¹ had been recorded.

3. Analysis

- Neutral D particles decay to various particles. In this study, $D \rightarrow [K_S K^\pm \pi^\mp]$ decay is searched.
- There are 2 modes in $D \rightarrow K_S K^\pm \pi^\mp$ decays: $D^0 \rightarrow K_S K^- \pi^+$, $D^0 \rightarrow K_S K^+ \pi^-$ and their charge conjugate mode, because both D^0 and $\bar{D}^0$ can decay into $K_S K^- \pi^+$ and $K_S K^+ \pi^-$.
- D decays into $K_S K \pi$ via many intermediate processes (e.g. $D \rightarrow [K_S \pi^+]_{K^{*+}} K^-$, $D \rightarrow [K^- \pi^+]_{K^{*0}} K_S$, ... etc.).
- These processes should be separated because strong phases differ. The Dalitz plot analysis is needed.
- $D^0 \rightarrow K_S K^- \pi^+$ cannot be distinguished from $\bar{D}^0 \rightarrow K_S K^- \pi^+$ in $B^\pm \rightarrow DK^\pm$, however, the information of each Dalitz plot is needed to fit $B^\pm \rightarrow DK^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$ Dalitz plot.
- Therefore, $D^{*\pm} \rightarrow D\pi^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$ decay which has large statistics and can be distinguished between D^0 and $\bar{D}^0$ using the charge of $D^{*\pm}$ is studied to model the Dalitz distribution of $D \rightarrow K_S K^\pm \pi^\mp$ decay.

$D^{*\pm} \rightarrow D\pi^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$: Dalitz analysis

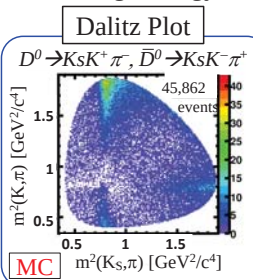


When D decays into 2 particles, and one of them decays furthermore into 2 particles, the reconstructed mass of the correct pair combination yields a mass of a certain particle.

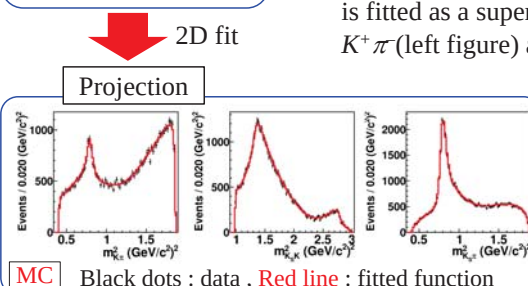
Therefore to verify intermediate states, the plot of combination A versus combination B is used. This is the so called Dalitz plot which is used to extract the value of ϕ_3 .

Dalitz plot is fitted as a superposition of some resonances.

The fitting strategy has been confirming using Monte Carlo simulation.



- To fit the Dalitz plot, the effects of the background, efficiency, and resolution have been studying.
- Especially, it was understood that the resolution affect the fitting result contrary to expectation.
- The Dalitz plot of $B \rightarrow DK$, $D \rightarrow K_S K \pi$ is fitted as a superposition of $D^0 \rightarrow K_S K^- \pi^+$ and $D^0 \rightarrow K_S K^+ \pi^-$.



The fitting method is been established, and the fitting using the real data is being prepared.

4. Summary and Plan

- The precise measurement for ϕ_3 is important in terms of verification for CP-violation.
- $B \rightarrow DK$ decay is used for the measurement of ϕ_3 .
- Among the various D decays, we use $D \rightarrow K_S K^\pm \pi^\mp$ with Dalitz plot.
- To make the model of $D \rightarrow K_S K^\pm \pi^\mp$ decay, $D^{*\pm} \rightarrow D\pi^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$ is analyzing. The fitting strategy has been confirming.
- Of course, the final purpose is the measurement of ϕ_3 using $B^\pm \rightarrow DK^\pm$, $D \rightarrow K_S K^\pm \pi^\mp$.

Exclusive study of Λ photoproduction in the threshold region

Takao Fujii for the NKS2 Collaboration

Department of physics, Tohoku University

Introduction

Background

The investigation of strangeness production process is very important for understanding the hadron interactions and their structures. Λ photoproduction in the threshold energy region (around 1.0 GeV) can provide invaluable information by comparing the difference on the proton and on the neutron. The experimental data on the neutron was scarce because of their experimental difficulty, but recently the experimental emphasis has been shifted to the neutron target for the comprehensive description of the reaction processes for all of six isospin channels. Because a free neutron target does not exist, the deuteron can be used as an effective target to provide a loosely bound neutron.

Major decay mode of K^0 and Λ

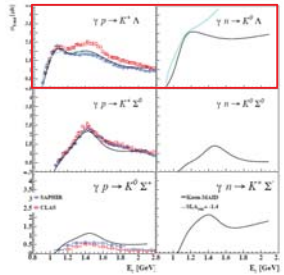
$$K^0 \rightarrow K_S^0 (50\%) / K_L^0 (50\%)$$

$K_S^0 (c\tau = 2.68 \text{ cm})$	$K_L^0 (c\tau = 1534 \text{ cm})$
$K_S^0 \rightarrow \pi^+ \pi^- (69.2\%)$ $\rightarrow \pi^+ \pi^0 (30.7\%)$	$K_L^0 \rightarrow \pi^\pm e^\mp \nu_e (40.6\%)$ $\rightarrow \pi^\pm \mu^\mp \nu_\mu (27.0\%)$ $\rightarrow \pi^0 \pi^+ \pi^- (19.5\%)$ $\rightarrow \pi^+ \pi^- \pi^0 (12.5\%)$

$$\Lambda \rightarrow p \pi^- (63.9\%) / n \pi^0 (35.8\%)$$

Processes	Photon Energy Threshold
$\gamma + p \rightarrow K^+ + \Lambda$	0.9111 GeV
$\gamma + p \rightarrow K^+ + \Sigma^0$	1.0462 GeV
$\gamma + p \rightarrow K^0 + \Sigma^+$	1.0475 GeV
$\gamma + n \rightarrow K^+ + \Lambda$	0.9153 GeV
$\gamma + n \rightarrow K^0 + \Sigma^0$	1.0506 GeV
$\gamma + n \rightarrow K^+ + \Sigma^-$	1.0521 GeV

Photon energy threshold of six strangeness photoproduction channels



Total cross sections of six strangeness photoproduction channels

$K\Lambda$ photoproduction

We put emphasis on the $K\Lambda$ production channels using the deuteron target. It is because the production mechanism of the $K\Lambda$ photoproduction channels is simpler than the other channels, and the $K^*\Lambda$ production on the deuteron can be compared with the results on the free proton. We perform the exclusive study of Λ photoproduction by detecting both of $K^*\Lambda$ and $K^0\Lambda$ channels.

Neutral Kaon Spectrometer 2 (NKS2)

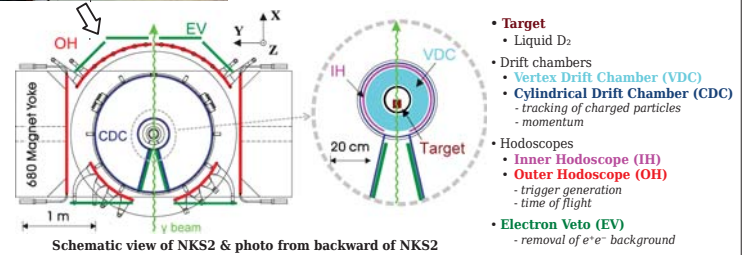
NKS2

In order to observe the $K\Lambda$ photoproduction, we built an electromagnetic spectrometer called as the Neutral Kaon Spectrometer 2 (NKS2). The experiment is performed using the real photon beam of the Research Center for Electron Photon Science (ELPH). In the NKS2 experiment, the Λ and the neutral kaon (K^0) are detected via their charged decay mode ($\Lambda \rightarrow p \pi^-$, $K^0 \rightarrow \pi^+ \pi^-$), and the K^+ is detected directly.

The NKS2 consists of a large dipole magnet, two drift chambers, plastic scintillation hodoscopes and electron veto scintillation counters. Liquid Deuterium are used as the target.

Experiment

The measurement of K^+ / K^0 and Λ photoproduction cross section with NKS2 has been performed at September and October 2010. In this experiment, we used the liquid Deuterium target and tagged photon beams around 1.0 GeV. The number of photons were 2.1×10^{12} for Sep2010 and 6.6×10^{12} for Oct2010 data.



- Target**
 - Liquid D₂
- Drift chambers**
 - Vertex Drift Chamber (VDC)**
 - tracking of charged particles
 - momentum
- Hodoscopes**
 - Inner Hodoscope (IH)**
 - Outer Hodoscope (OH)**
 - trigger generation
 - time of flight
- Electron Veto (EV)**
 - removal of e^+e^- background

Particle Identification (PID)

PID

The particle identification is determined by the mass and the charge of the particle. The mass (m) is calculated by the correlation between the momentum (p) and velocity (β) as follows :

$$m^2 = p^2 \left(\frac{1}{\beta^2} - 1 \right)$$

The sign of charge is determined by the bending direction in the magnetic field.

- Definition of Pion (mass = 0.1396 GeV)

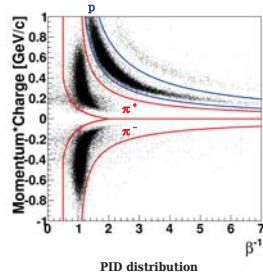
$$\beta^{-1} > 0.5$$

$$|p| > \frac{0.144}{\beta^{-1} - 0.2} - 0.08 \quad (0.5 \leq \beta^{-1} < 2.0)$$

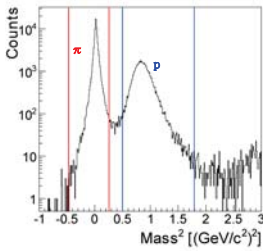
$$-0.5 < m^2 < 0.25 \quad [(\text{GeV}/c^2)^2]$$

- Definition of Proton (mass = 0.9383 GeV)

$$0.5 < m^2 < 1.8 \quad [(\text{GeV}/c^2)^2]$$



PID distribution



Mass^2 distribution

K^+ detection

K^+ detection

After the Λ particle selects by the invariant mass, the K^+ is detected by the mass, the charge, and the energy deposit at IH and OH counters. The energy deposit is calculated by the Bethe-Bloch formula,

$$-\frac{dE}{dx} = \frac{D q^2 n_e}{\beta^2} \left[\ln \left(\frac{2 m_e c^2 \beta^2 \gamma^2}{I} \right) - \beta^2 - \frac{\delta(\gamma)}{2} \right]$$

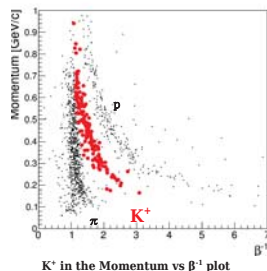
Where x is the thickness of counters, $D \sim 5.1 \times 10^{25} \text{ MeVcm}^2$, q is the charge ($\pm e$), n_e is the electron density, m_e is the electron mass, I is the mean ionization potential and δ is a dielectric screening correction (in this case, $\delta=0$). The thickness of IH is 0.5 cm and the thickness of OH is 2.0 cm.

K^+ definition is as follows,

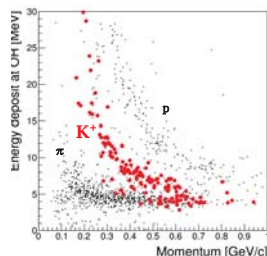
$$0.1 < m^2 < 0.45 \quad [(\text{GeV}/c^2)^2]$$

The Λ can be reconstructed by other 2 tracks.

The red points of the right figures are the particles detected as the K^+ . In this analysis, about 100 events are detected as the $K^*\Lambda$ reaction event.



K^+ in the Momentum vs β^{-1} plot



K^+ in the Energy deposit at OH vs Monetum plot

Λ detection

$K^*\Lambda$ analysis

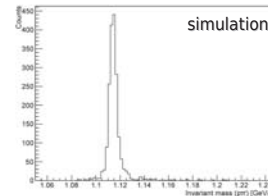
In this analysis, I focused on $\gamma d \rightarrow K^* \Lambda n$ reaction. 3 charged tracks and 1 decay vertex are needed for the detection of this reaction. Here shows the decay vertex position around the target. The green region is the position of the target cell, and the black circle means the vacuum chamber. From the lifetime of Λ ($c\tau=7.89 \text{ cm}$), the red region is determined as the Λ decay region. And in this analysis, only Sep2010 data were used.

The Λ particle (mass=1.116 GeV) is detected as the peak in the $p\pi^-$ invariant mass spectrum. The invariant mass of $p\pi^-$ decay vertex is calculated as follows,

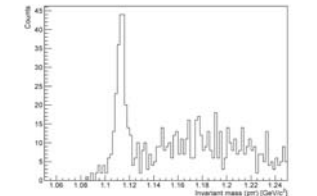
$$m_{p\pi^-}^2 = (\sqrt{m_p^2 + |p_p|^2} + \sqrt{m_{\pi^-}^2 + |p_{\pi^-}|^2})^2 - |p_p + p_{\pi^-}|^2$$

Where m_p and m_{π^-} are the masses of the charged pion and proton and p_p and p_{π^-} are the momenta of each particle.

Here shows $p\pi^-$ decay invariant mass distributions of the simulation data and the experimental data. In the experimental data, the Λ peak can be detected clearly. The peak position is 1.114 GeV/c^2 , and the sigma of the peak is 2.6 MeV.



Invariant mass distribution of simulation data



Invariant mass distribution of experimental data

Summary

- Λ photoproduction in the threshold energy region can provide invaluable information for the investigation of hadron interactions and their structure.
- The NKS2 is the electromagnetic spectrometer designed for the measurement of $K\Lambda$ photoproduction. The experiment has been performed using tagged photon beam around 1.0 GeV and the liquid Deuterium target.
- The particles were identified as the pions, the protons and the kaons by the mass and the charge. The Λ was reconstructed by the $p\pi^-$ decay invariant mass, and about 100 events were detected as $K^*\Lambda$ reaction event in this analysis.
- The analysis for the $K^*\Lambda$ photoproduction cross section and the $K^0\Lambda$ reaction is being continued.

Development for Pulse High Field for Neutron Diffraction at J-PARC

T. Morioka, H. Nojiri, Y. Narumi, S. Yoshii, M. Baker, and K. Ohoyama
Magnetism Division, Institute for Materials Research, Tohoku University

Neutron diffraction under high magnetic field

High magnetic field : various novel phase transitions are induced by applying high magnetic fields

For example, in a frustrate system, nontrivial magnetic structure is appeared
Neutron diffraction : direct determination of magnetic structures

Combination with neutron diffraction and high magnetic fields
⇒ **Powerful method for magnetic materials**

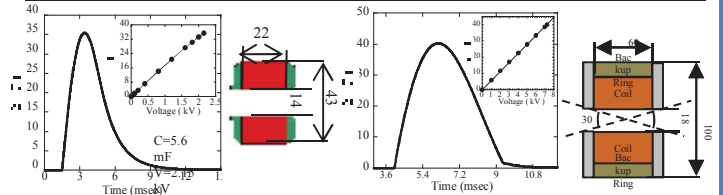
We developed neutron diffraction system can be performed measurement at 40 T

How to generate magnetic field

These systems are classified into two; a medium size system and a small portable system

Generate pulsed magnetic field ⇒ The energy stored in the capacitor is discharged into the coil

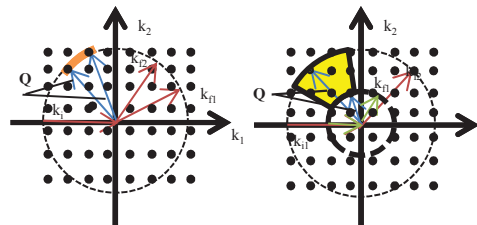
	Capacitor (mF)	Energy (kJ)	Size (mm ³)	Maximum pulse magnetic field (T)	1/4 cycle (msec)
Small portable system	5.4	24	0.7 × 0.7 × 1.4	30	1.9
Medium size system	5	250	1.7 × 2 × 3.35	50	3



Measurement method

Relationship between reciprocal space and scan range

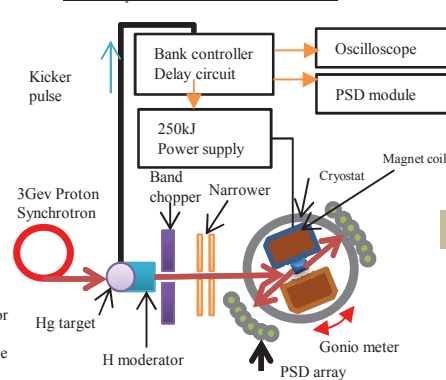
Reactor source Pulsed neutron source



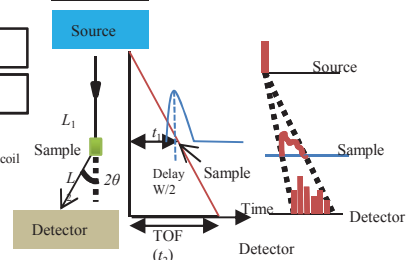
Monochromatic neutron
⇒ observed a Bragg peak
Rotation of the sample
⇒ scanning along circular arc

White neutron
TOF (Time of flight) method + 2D detector
⇒ observed wide range reciprocal space
A circular arc drew in the reciprocal space
⇒ TOF and magnetic field are constant

Utilize pulsed neutron source at J-PARC



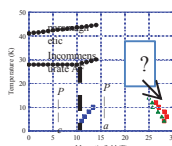
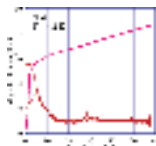
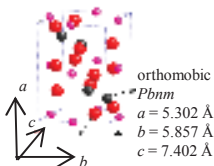
TOF method



Time of flight method
Observe Bragg peaks in a pulsed magnetic field
⇒ generate a pulsed magnetic field synchronizes with the neutron pulses

Experiment

Sample: TbMnO₃ (multiferroic material)



Different magnetic structure ⇒ $q_{Mn} \sim 0.28 b^*$, $q_{Tb} \sim 0.42 b^*$

Three critical point at zero field ⇒ T = 7 K, 28 K, 41 K

Anomaly of magnetization around 28 T

⇒ Electric polarization appeared with magnetic structure (B // a)

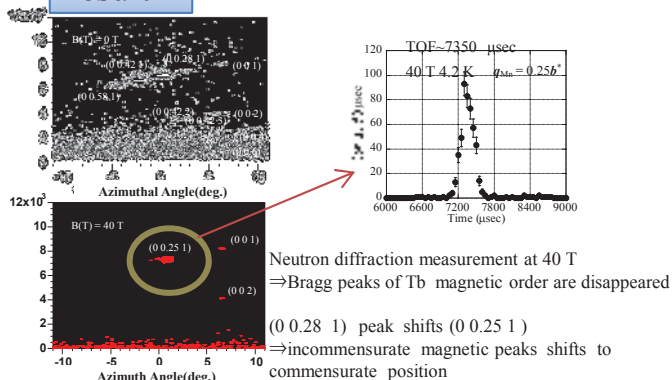
⇒ possibility of a new magnetic order

Purpose of our measurement...

We perform measurement up to 40 T at J-PARC (BL10 NOBORU)

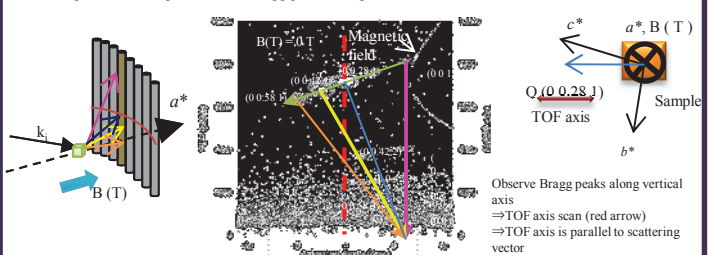
⇒ determine the propagation vector in high magnetic field

Result 2

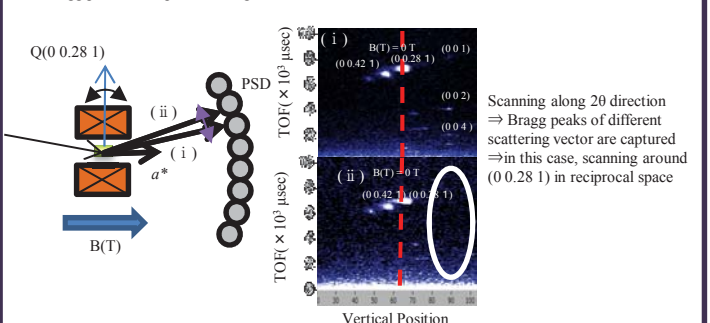


Result 1

Single detector captured multi Bragg peaks along vertical axis



Bragg peaks are captured along horizontal axis



Discussion and conclusion

- We development of pulse high field for neutron diffraction
- Perform measurement neutron diffraction up to 40 T at J-PARC
- Observed propagation vector change (0 0.28 1) with (0 0.25 1)
- Next step ⇒ develop this system to perform measurement up to 50 T

P-09 The relationship between various analytical techniques of T-duality

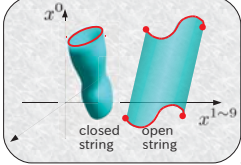
Y.Teshima

In collaboration with T. Asakawa, S. Watamura and U. Carow-Watamura
arXiv:1201.0125v2 [hep-th]

Introduction

String theory

A theory which regards elementary objects as a string



closed string
→ graviton $g_{\mu\nu}$
open string
→ gauge field A_μ

String theory is a candidate of quantum gravity
but defined only perturbatively

→ we want to find the stringy geometry

(cf. general relativity ↔ Riemannian geometry)

→ This must encode the property of **T-duality**

T-duality

Spectrum of the closed string

$$m^2 = \frac{n^2}{R^2} + \frac{w^2 R^2}{\alpha'^2} + \frac{2}{\alpha'}(N + \tilde{N} - 2)$$

$R \rightarrow \tilde{R} = \frac{\alpha'}{R}$

$$m^2 = \frac{\tilde{w}^2}{\tilde{R}^2} + \frac{n^2 \tilde{R}^2}{\alpha'^2} + \frac{2}{\alpha'}(N + \tilde{N} - 2)$$

Compact Momentum $\tilde{w}$ winding number n

The theory with radius R
= The theory with radius $\tilde{R}$

→ **T-duality**

D-brane

the hypersurface where open strings end.

The direction along the D-brane

→ Neumann condition

The direction perpendicular to the D-brane

→ Dirichlet condition

p dimensional D-brane = Dp-brane

The effective theory on N D-branes

= $U(N)$ gauge theory



T-duality and D-brane

Dirichlet and Neumann condition are

exchanged under the T-duality

→ $\begin{cases} \text{T-dual normal to the D-brane} \\ \text{Dp} \rightarrow \text{D}(p+1) \\ \text{T-dual along the D-brane} \\ \text{Dp} \rightarrow \text{D}(p-1) \end{cases}$

Bound state of D-branes

Bound state of the D-branes

Correspond to a soliton solution
in the effective theory

※ Topological charge

= the number of D-branes



Various approaches to discuss T-duality

Buscher rule

Nahm transformation

Boundary state analysis

Hori formula

→ We analyzed the compatibility among them.

Nahm transformation

Original Nahm transformation

$U(N)$ Gauge theory $\xrightarrow{\text{on } T^4}$ $U(k)$ Gauge theory
 k instanton $\rightarrow$ N instanton on $\tilde{T}^4$

D-brane interpretation

N D4 / k D0 $\rightarrow$ k D4 / N D0
Consistent with T-duality

Generalization of Nahm transformation to 2d

We consider the classical solution on T^2

= constant magnetic flux

$$U(N), C_1 = \int \text{tr} \frac{F}{2\pi} = k$$

$$\Rightarrow A_1 = 0, A_2 = \frac{k}{2\pi N R_1 R_2} x_1, F_{12} = \frac{k}{2\pi N R_1 R_2}$$

There is a freedom to add constants $\tilde{x}_1, \tilde{x}_2$
 $\rightarrow A_1 = \tilde{x}_1, A_2 = \frac{k}{2\pi N R_1 R_2} x_1 + \tilde{x}_2$
 $\tilde{x}_\mu \sim \tilde{x}_\mu + 1/R_\mu$

$\Rightarrow \tilde{x}_\mu$ is the coordinate on $\tilde{T}^2$

We will construct the gauge field on $\tilde{T}^2$ by

Dirac zero mode ($\mathcal{D}_x \psi = 0$).

$\mathcal{D}_x$: The Dirac operator on $T^2 \times T^2$

$$\mathcal{D}_x = \begin{pmatrix} 0 & \mathcal{D}_x \\ \mathcal{D}_x & 0 \end{pmatrix}, \mathcal{D}_x = -i(\partial_1 - iA_1 - i\tilde{x}_1) - (\partial_2 - iA_2 - i\tilde{x}_2),$$

$$\mathcal{D}_x = -i(\partial_1 - iA_1 - i\tilde{x}_1) + (\partial_2 - iA_2 - i\tilde{x}_2)$$

$\mathcal{D}_x \psi = 0$ has k solutions

→ construct $N \times k$ matrix
 $\rightarrow \psi = (\psi_1 \cdots \psi_k)^T$

The gauge field on $\tilde{T}^2$ is

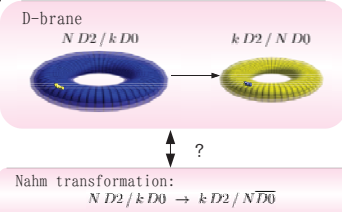
$$\tilde{A}_\mu(\tilde{x}) = i \int_{T^2} d^2 x \psi^\dagger \tilde{\partial}_\mu \psi$$

$$\rightarrow \tilde{A}_1 = 0, \tilde{A}_2 = \frac{N}{2\pi k \tilde{R}_1 \tilde{R}_2} \tilde{x}_2, \tilde{F}_{12} = \frac{N}{2\pi k \tilde{R}_1 \tilde{R}_2}$$

$$\rightarrow U(k), C_1 = -N$$

Atiyah-Singer family index theorem

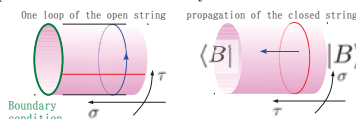
$$\int_{T^2} \text{ch}(\mathcal{P}) \text{ch}(E) = \text{ch}(\tilde{E})$$



Boundary state on the torus

Boundary state

partition function of cylinder



The initial, final state of the closed string
associated with

the boundary condition of the open string

D-brane

ex) In case of Dirichlet condition

$$\frac{\partial X^1}{\partial \tau} \Big|_{\sigma=0} = 0 \iff \frac{\partial X^1}{\partial \sigma} \Big|_{\tau=0} |B\rangle = 0$$

Calculate the boundary state with the flux associated
with $(U(N), C_1 = k)$ on T^2

$$|B\rangle = \sqrt{(a_1 a_2 N)^2 + k^2} \sum_{m \in \mathbb{Z}^2} e^{-i\pi m^2 / N} \times \left[\prod_{n=1}^{\infty} \exp \left\{ -\frac{1}{2} \frac{1}{n^2} \frac{1}{a_1^2 a_2^2 N^2} (a_1^2 a_2^2) \begin{pmatrix} a_1^2 a_2^2 N^2 - k^2 & 2a_1 a_2 N k \\ -2a_1 a_2 N k & a_1^2 a_2^2 N^2 - k^2 \end{pmatrix} \begin{pmatrix} \alpha_n^{11} \\ \alpha_n^{21} \end{pmatrix} \right\} \right]$$

T-dual transformation $\begin{cases} m^M \rightarrow n^M \\ \alpha_n^M \rightarrow \alpha_n^M \\ \tilde{\alpha}_n^M \rightarrow -\tilde{\alpha}_n^M \end{cases}$

$$|B\rangle_{12} = \sqrt{N^2 + (a_1 a_2 k)^2} \sum_{m \in \mathbb{Z}^2} e^{-i\pi N m^2 / N^2} \times \left[\prod_{n=1}^{\infty} \exp \left\{ -\frac{1}{2} \frac{1}{n^2} \frac{1}{a_1^2 a_2^2 N^2} (a_1^2 a_2^2) \begin{pmatrix} a_1^2 a_2^2 N^2 - N^2 & -2a_1 a_2 N k \\ -2a_1 a_2 N k & a_1^2 a_2^2 N^2 - N^2 \end{pmatrix} \begin{pmatrix} \alpha_n^{11} \\ \alpha_n^{21} \end{pmatrix} \right\} \right]$$

$$\times \left(\begin{pmatrix} N \alpha_1 \\ -N \alpha_2 \end{pmatrix} \cdot \begin{pmatrix} k \alpha_1 \\ N \alpha_2 \end{pmatrix} \right)$$

$$\rightarrow U(k), C_1 = -N$$

Consistent with the 2d Nahm transformation

Fermionic Part

NS-NS sector $(\psi_\alpha, \tilde{\psi}_\alpha)$ ($r \in \mathbb{Z} + \frac{1}{2}$)

R-R sector $(\psi_\alpha, \tilde{\psi}_\alpha)$ ($n \in \mathbb{Z}$)

We consider the R-R zero modes.
(Other modes contribute to the boundary state
in the same way to the bosonic sector.)

The state

$$\theta^\mu = (\psi_\alpha^\mu + i\tilde{\psi}_\alpha^\mu) / \sqrt{2}$$

$$|Dp\rangle = \theta_1^\dagger \theta_{p-1}^\dagger \cdots \theta_1^\dagger |C\rangle \quad \{\theta^\mu, \theta_\nu^\dagger\} = \delta_\nu^\mu$$

$$|C\rangle: \text{the vacuum state}$$

satisfies the boundary condition for a Dp brane.

$$\theta_\alpha^\dagger |Dp\rangle = 0, (\alpha = 0, \dots, p),$$

$$\theta_i |Dp\rangle = 0, (i = p+1, \dots, 9)$$

Then the D2/D0 bound state is

$$|D2D0\rangle = N e^{2\pi\alpha' F_{12} \theta^1 \theta^2} |D2\rangle$$

$$= N(1 + F_{12} \theta^1 \theta^2) |D2\rangle$$

$$= N |D2\rangle + k |D0\rangle$$

T-duality transformation is represented by an operator:

$$\mathcal{T}_\alpha = \theta^\alpha - \theta^{\alpha\dagger}$$

Then the T-duality of $|D2D0\rangle$ is

$$|D2D0\rangle' = \mathcal{T}_2 \mathcal{T}_1 |D2D0\rangle = k |D2\rangle - N |D0\rangle$$

$$(N, k) \rightarrow (k, -N)$$

RR-charges of D-branes are measured by the coupling
to closed-string states of RR-potentials.

RR-state can be defined as

$$\langle A | = \langle C | A = \langle C | \sum A_{\mu_1 \cdots \mu_p} \theta^{\mu_1} \cdots \theta^{\mu_p}$$

And the T-duality transformation is

$$\langle A | \mathcal{T}_1^\dagger \mathcal{T}_2^\dagger = \langle C | \left(A^{(0)} + A_1^{(1)} \theta^1 + A_2^{(1)} \theta^2 + A_{12}^{(2)} \theta^1 \theta^2 \right) \mathcal{T}_1^\dagger \mathcal{T}_2^\dagger$$

$$= \langle C | \left(A^{(0)} \theta^1 \theta^2 - A_1^{(1)} \theta^2 + A_2^{(1)} \theta^1 + A_{12}^{(2)} \right)$$

Then the T-duality rule for the RR-potentials is

$$\mathcal{A}^{(0)} = -\mathcal{A}_{12}^{(2)}, \mathcal{A}_1^{(1)} = \mathcal{A}_2^{(1)}, \mathcal{A}_2^{(1)} = -\mathcal{A}_1^{(1)}, \mathcal{A}_{12}^{(2)} = \mathcal{A}^{(0)}$$

The coupling of RR-potentials to D-brane is given by
Chern-Simons term

$$I_{CS} = \langle A | [GSO] c_0 \tilde{c}_0 | B \rangle.$$

[GSO]: GSO projection
|B>: full boundary state
 $c_0, \tilde{c}_0$: ghost

We can prove that I_{CS} is invariant under T-duality:

$$I_{CS} = \langle A | [GSO] \mathcal{T}_1^\dagger \mathcal{T}_2^\dagger c_0 \tilde{c}_0 | B \rangle \quad (1 = \mathcal{T}_1^\dagger \mathcal{T}_2, \mathcal{T} = \mathcal{T}_2 \mathcal{T}_1)$$

$$= \langle A | \mathcal{T}_1^\dagger [GSO] c_0 \tilde{c}_0 \mathcal{T} | B \rangle \quad (\text{Since } \mathcal{T} \text{ commutes with } [GSO].)$$

$$= \langle A' | [GSO] c_0 \tilde{c}_0 | B' \rangle$$

I_{CS} is invariant under T-duality transformation.

Hori formula

I_{CS} can be written in terms of differential forms as

$$I_{CS} = \mu_2 \int_M A \wedge \text{Tr}_N (e^{2\pi\alpha' F})$$

$$= \mu_2 \int_M (A^{(0)} + \cdots + A_{12}^{(2)} dx^1 \wedge dx^2) (N + k dx^1 \wedge dx^2)$$

$$= N \mu_2 \int_M A_{12}^{(2)} dx^1 \wedge dx^2 + k \mu_0 \int_R A^{(0)}$$

In terms of differential forms,

T-duality is represented by Hori formula:

$$A' = - \int_{T^2} A e^{dx^1 \wedge dy_1}$$

By using this, the Chern-Simons term is transformed as

$$\mu_2 \int_M A' \wedge \text{Tr}_k (e^{2\pi\alpha' F}) \quad (M = \mathbb{R} \times \tilde{T}^2)$$

$$= \mu_2 \int_M (A^{(0)} + \cdots + A_{12}^{(2)} dy^1 \wedge dy^2) (k - N dy^1 \wedge dy^2)$$

$$= N \mu_2 \int_M A_{12}^{(2)} dy^1 \wedge dy^2 + k \mu_0 \int_R A^{(0)}$$

→ invariant under T-duality

Summary and discussion

• Nahm transformation was extended naively to 2d.

• It consistent with T-duality in string theory.
 $(N, k) \rightarrow (k, -N)$

• It indicates $\mathbb{Z}_4$ -duality nature of T-duality

cf. Fourier transformation $(x, p) \rightarrow (p, -x) \rightarrow (-x, -p)$

• we introduced the T-duality operator which act both
on the boundary state and the RR q-form state.

• We clarified the relationship between T-duality rule at the
superstring level and that at the low energy effective theory



Dopant dependence of x-ray induced phase transition In impurity doped layered manganites

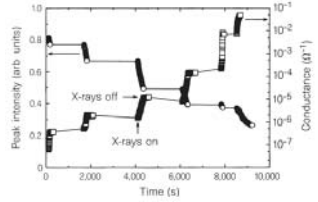
Y. Yamaki

Department of physics, Tohoku University

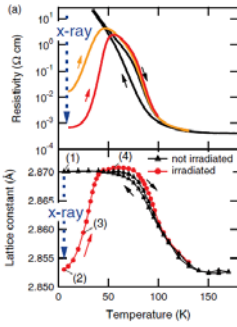


1. Introduction

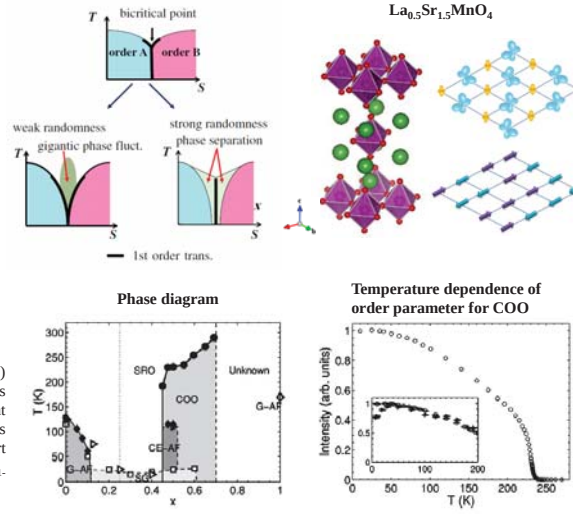
1-1. Photo induced phase transition

(1) $\text{Pr}_{0.7}\text{Ca}_{0.3}\text{MnO}_3$ 

- (1) Insulating antiferromagnetic state (charge ordering)
→ metallic ferromagnetic state
(2) Insulator → metal

(2) W:VO_2 

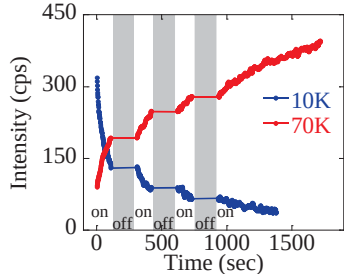
1-2. Bicritical phase competition & object material



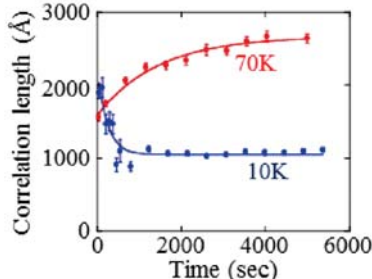
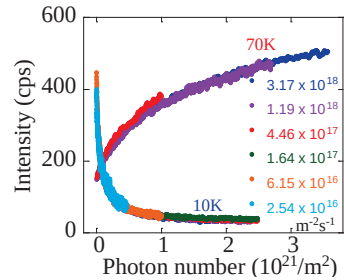
A single layered manganite $\text{La}_{0.5}\text{Sr}_{1.5}\text{MnO}_4$ shows charge-orbital ordering (COO) below $T = 240\text{K}$. We have investigated the impurity effect on COO state in this material and substituted Fe ions for Mn ions. In Fe-doped compound, not only that transition temperature and order parameter of COO decrease but also COO state is strongly suppressed by x-ray irradiation at low temperature. In this paper we report this photo induced phase transition in impurity doped manganite $\text{La}_{0.5}\text{Sr}_{1.5}\text{Mn}_{1-x}\text{Fe}_x\text{O}_4$.

3. Results

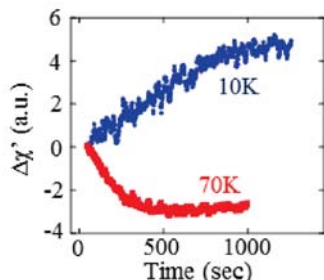
3-1. X-ray exposure effect in $\text{La}_{0.5}\text{Sr}_{1.5}\text{Mn}_{0.97}\text{Fe}_{0.03}\text{O}_4$

(a) X-ray exposure time dependence of the intensity for $Q = (1/4\ 7/4\ 0)$ 

(b) X-ray exposure time dependence of the correlation length of COO

(c) Incident x-ray photon flux dependence of the intensity for $Q = (1/4\ 7/4\ 0)$ 

(d) X-ray exposure time dependence of AC-magnetic susceptibility

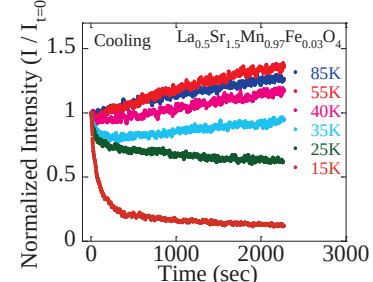
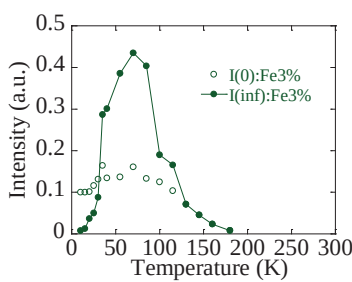


→ This phenomenon is induced by x-ray exposure.

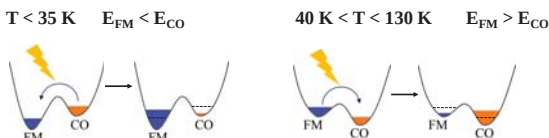
X-ray induces phase transition between antiferromagnetic charge-orbital ordered (AFM/COO) and ferromagnetic charge-orbital disordered (FM/DO) states.

3-2. Temperature dependence of x-ray induced phase transition in $\text{La}_{0.5}\text{Sr}_{1.5}\text{Mn}_{0.97}\text{Fe}_{0.03}\text{O}_4$

(a) Temperature variation of x-ray PIPT

(b) Temperature dependence of $I(0)$ and $I(\infty)$ 

(c) Schematic view of bidirectional x-ray PIPT by double minimum potential model



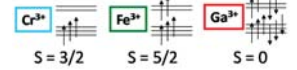
2. Experiments

Experimental configuration

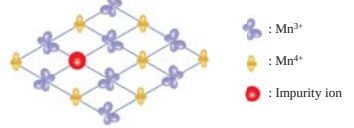


- Resonant and non-resonant x-ray scattering experiment.
- $\text{La}_{0.5}\text{Sr}_{1.5}\text{Mn}_{1-x}\text{Fe}_x\text{O}_4$ single crystal.
- BL-4C and BL-3A, Photon Factory, KEK.
- Incident x-ray Energy : Mn K-edge (~6.55keV) and 6.5keV.
- Polarization analysis : Cu(220).
- Configuration at E_f/c is defined as $\Psi=0$

Spin configurations of impurity ions

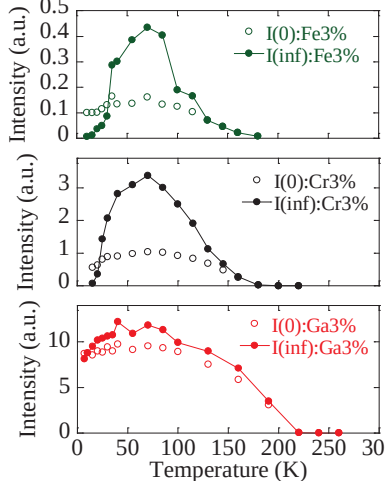


Schematic view of impurity substitution

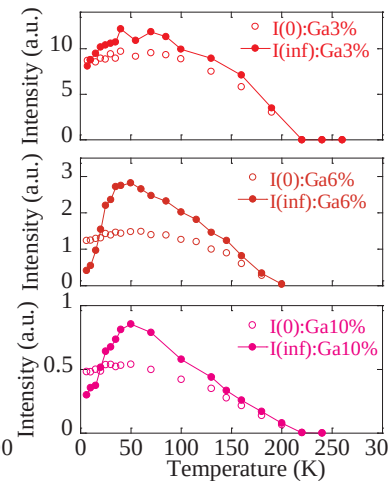


3-4. Dopant dependence of x-ray PIPT in $\text{La}_{0.5}\text{Sr}_{1.5}\text{Mn}_{1-x}\text{M}_x\text{O}_4$ ($M = \text{Cr, Fe, Ga}$)

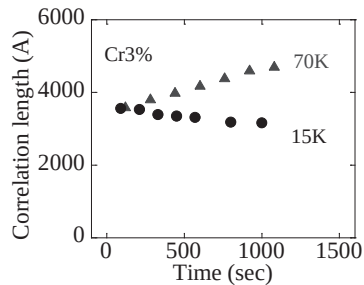
(a) Dopant dependence of x-ray PIPT



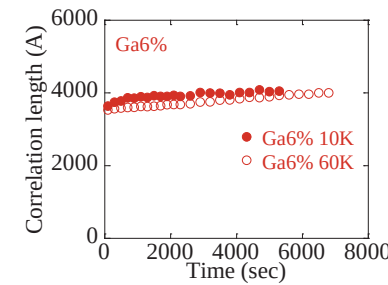
(b) Ga concentration dependence of x-ray PIPT



(c) Dopant dependence of x-ray exposure effect on correlation length



(d) Ga concentration dependence of x-ray exposure effect on correlation length



4. Conclusion

In this study we have investigated photo induced phase transition in impurity doped manganites.

1. Phase-separated state between the charge-orbital ordered and ferromagnetic phases is realized by impurity doping.
2. In this phase-separated region, x-ray induced persistent and bidirectional phase transition between charge-orbital ordered and ferromagnetic phases was observed.
3. In the present case, impurity doping plays a crucial role in forming the phase-separated state and also in determining the rate of x-ray induced phase transition.

Reference

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- K. Shibusawa, D. Okuyama, R. Kumai, Y. Yamasaki, H. Nakao, Y. Murakami, Y. Taguchi, T. Arima, M. Kawasaki, and Y. Tokura, Phys. Rev. B **84**, 165108 (2011)
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Role of noncollective excitations in low-energy heavy-ion reactions

Shusaku Yusa, Kouichi Hagino(Tohoku Univ.), Neil Rowley(IPN Orsay)

1

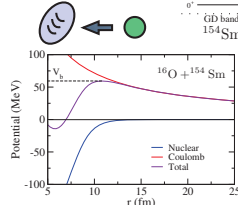
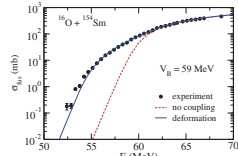
Introduction heavy-ion reaction

nucleus is composed of protons and neutrons

→ excitations during the scattering process
(channel coupling effect)

important in reactions near the Coulomb barrier

e.g. large enhancement of fusion cross sections at sub-barrier energies



Coupling to **collective excitations** are taken into account by **coupled-channels (c.c.) method**

→ a few states are relevant, coupling is strong

2

Quasi-elastic scattering(elastic+inelastic+transfer)
experiment for $^{20}\text{Ne} + ^{90,92}\text{Zr}$

Experimental quasi-elastic barrier distributions

show **different behavior**

much more smeared distribution for $^{20}\text{Ne} + ^{92}\text{Zr}$ system

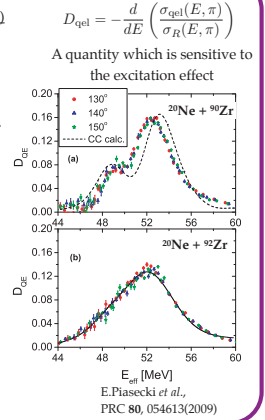
On the other hand,

C.C. calculation (which includes rotation in ^{20}Ne and vibration in $^{90,92}\text{Zr}$) yields **similar barrier distributions** between two systems

dominance of the contribution from highly deformed ^{20}Ne

Conventional C.C. calculation (which takes into account only the **collective excitations**) cannot account for the experimental data !

Origin of the difference ?



3

Energy spectrum for Zr isotopes

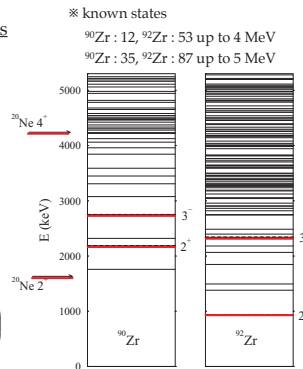
different level density

^{90}Zr : Z=40, N=50 (shell closure)
 ^{92}Zr : Z=40, N=50+2

Many **noncollective (single-particle) excited states** appear in ^{92}Zr spectrum

These **noncollective excited states** are not taken into account in the conventional c.c. calculations

(red lines : collective excited states taken into account in the analysis)



Important role of the **noncollective excitations** for $^{20}\text{Ne} + ^{92}\text{Zr}$ reaction ?

→ coupling is weak, but there are many states

4

Description of noncollective excitations

Coupled-channels equations
(in the iso-centrifugal approximation)

$$\left[\frac{d^2}{dr^2} + k^2 - \frac{J(J+1)}{r^2} - \frac{2\mu}{\hbar^2} (V_C(r) + V_N(r) + \epsilon_n) \right] u_n(r) = \sum_m \frac{2\mu}{\hbar^2} V_{nm}(r) u_m(r)$$

$$k = \sqrt{\frac{2\mu E}{\hbar^2}} \quad \epsilon_n : \text{excitation energy of the } n\text{-th channel}$$

V_{nm} : coupling matrix element → excitations during the scattering process

→ **random matrix theory (RMT)** for couplings to **noncollective states**

$$\begin{aligned} \overline{V'_{nn'}}(r) &= 0 \\ \overline{V'_{nn'}(r)V'_{n'n''}(r')} &= \{ \delta_{nn''} \delta_{n'n''} \delta_{II'} \delta_{I'I'} + \delta_{nn''} \delta_{n'n''} \delta_{II'} \delta_{I'I'} \} \\ &\times \sqrt{(2I+1)(2I'+1)} \sum_{\lambda} \begin{pmatrix} I & \lambda & I' \\ 0 & 0 & 0 \end{pmatrix}^2 \\ &\times \frac{w_{\lambda}}{\sqrt{\rho(n)\rho(n')}} e^{-\frac{(e_n - e_{n'})^2}{2\Delta^2}} e^{-\frac{(r-r')^2}{2a^2}} h(r)h(r') \end{aligned}$$

$\rho(n)$ level density

5

Coupled-channels calculation for $^{20}\text{Ne} + ^{90,92}\text{Zr}$ included channels (model space)

• **collective excitations for ^{20}Ne and $^{90,92}\text{Zr}$**

^{20}Ne : $0^+, 2^+, 4^+, 6^+$ (rotational states), 3^- (octupole phonon state)

Nucleus	ϵ (MeV)	$\lambda\pi$	β_{λ}
^{90}Zr	2.18	2^+	0.089
	2.75	3^-	0.211
^{92}Zr	0.93	2^+	0.144
	2.34	3^-	0.17

• **noncollective excitations for $^{90,92}\text{Zr}$**

✓ use experimental data for the excitation energy (ϵ_n) and spin (λ_n)

(RMT determines the coupling strength)

✓ only coupling from the g.s. to the noncollective states are taken into account

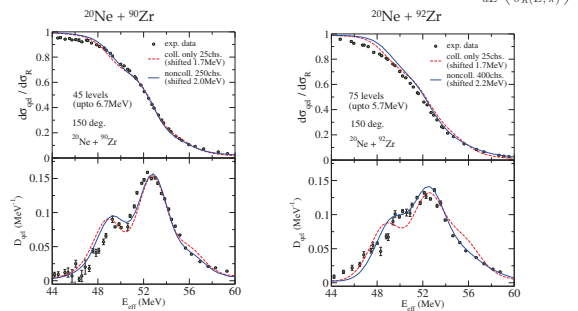
^{90}Zr : 45 levels up to 6.7 MeV are included

^{92}Zr : 75 levels up to 5.7 MeV are included

Same parameters in the random matrix model are employed for both $^{20}\text{Ne} + ^{90,92}\text{Zr}$ systems

6

Results quasi-elastic scattering for $^{20}\text{Ne} + ^{90,92}\text{Zr}$ systems



✓ For $^{20}\text{Ne} + ^{90}\text{Zr}$ system, the effect of the noncollective excitations is small

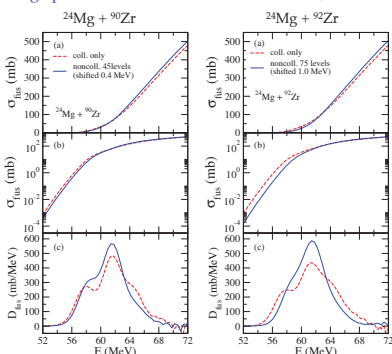
✓ For $^{20}\text{Ne} + ^{92}\text{Zr}$ system, the barrier distribution is drastically smeared

→ **Difference in the barrier distributions due to the noncollective excitations**

7

Results Application to $^{24}\text{Mg} + ^{90,92}\text{Zr}$ reactions (theoretical prediction)

^{24}Mg : prolate deformation $\epsilon(2^+) = 1.37\text{MeV}$, $\beta_2 = 0.505$



Noncollective effect similar to $^{20}\text{Ne} + ^{90,92}\text{Zr}$ reactions

✓ **Much smeared barrier distribution for $^{24}\text{Mg} + ^{92}\text{Zr}$ system**

✓ **Smaller noncollective effect for $^{24}\text{Mg} + ^{90}\text{Zr}$ system**

8

Summary

Role of **noncollective excitations** in low-energy heavy-ion reaction

✓ Conventional coupled-channels analysis for heavy-ion reactions takes into account only **collective excitations**

→ Role of **noncollective excitations** was not clarified in the previous analyses

✓ The random matrix model for the description of noncollective excitations

✓ **Different magnitude of the noncollective effect between $^{20}\text{Ne} + ^{90,92}\text{Zr}$ systems**

→ **different behavior of the barrier distributions**

✓ Similar difference in the barrier distribution can be expected for $^{24}\text{Mg} + ^{90,92}\text{Zr}$ reactions

Future perspectives

✓ The effect of multi-nucleon transfer reactions

✓ Application to heavy-ion **deep inelastic collision**

→ Quantum mechanical study of friction

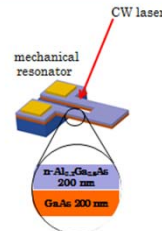
Study about frequency response of an AlGaAs/GaAs heterostructured cantilever with optical actuation

Takayuki Watanabe

Department of Physics, Tohoku University

Background

- We have demonstrated that mechanical resonator can be actuated by optical irradiation through optical resonance of AlGaAs/GaAs heterostructure.
- The origin of force induced by the optical irradiation is assumed to be generated through piezoelectric property of GaAs.



However, the origin of the force is not well verified.

Purpose and method

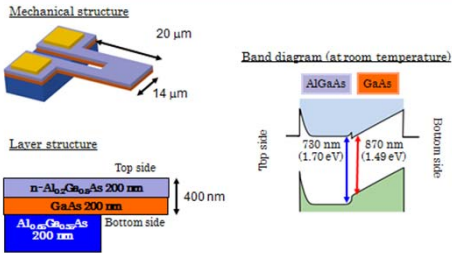
Purpose

Clarifying features of the force induced in the mechanical resonator which is excited by optical irradiation.

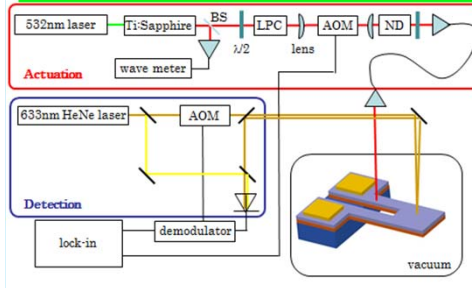
Method

Observing mechanical displacement induced by optical irradiation which the amplitude is modulated at fixed frequency.

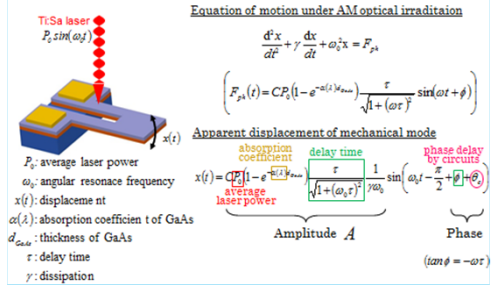
Device structure



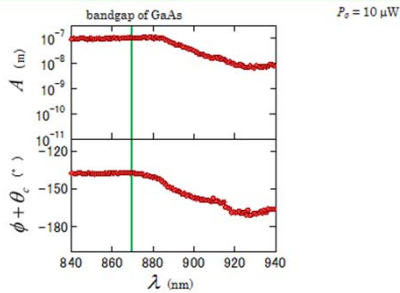
Experimental system



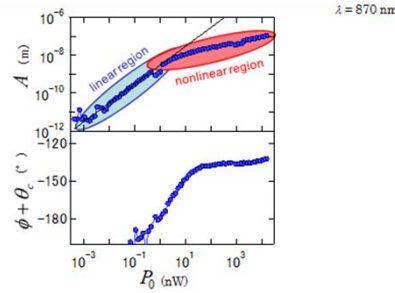
Mechanical displacement actuated by AM optical irradiation



Wavelength dependence



Laser power dependence



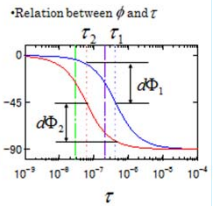
Delay time estimation by phase

Because θ_i is independent to the wavelength and laser power, the dependences observed in the phase are due to the dependences of delay time.

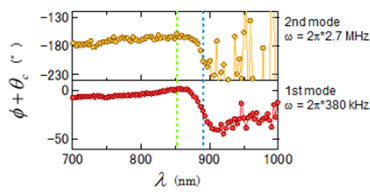
The delay time is calculated from phases observed in the different two mechanical mode.

$$\Delta\Phi_i = (\phi_{i,1} + \theta_c) - (\phi_{i,2} + \theta_c) \quad (i = 1, 2)$$

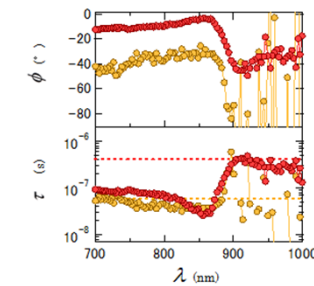
Phase at λ_1 Phase at λ_2



Wavelength dependence of phase at 2 mechanical modes



Wavelength dependence of delay time at 2 mechanical modes



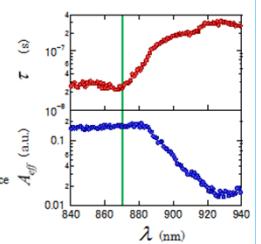
Wavelength dependence of delay time and effective amplitude

Effective temperature

$$A_{eff} = \frac{C P_0 [1 - e^{-t/τ}]}{\gamma \omega_0}$$

The delay time depends on wavelength and the absorption coefficient strongly affects it.

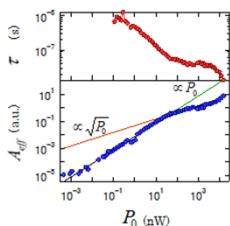
The effective temperature A_{eff} shows more significant wavelength dependence than amplitude A .



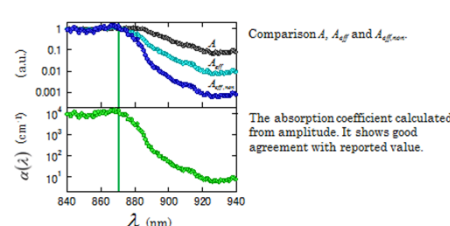
Wavelength dependence of delay time and effective amplitude

The delay time also depends on laser power.
 A_{eff} shows nonlinear dependence to the laser power. The nonlinearity is well approximated with the following expression.

$$A_{eff} = \frac{C [1 - e^{-t/τ}]}{\gamma \omega_0} \frac{P_0}{\sqrt{1 + P_0/P_a}}$$



Absorption coefficient calculated from mechanical displacement



Summary

- The delay time of the force generated by optical irradiation is calculated from forced actuation. It clarified that the delay time strongly depends on optical irradiation condition.
- The absorption coefficient is calculated by treating delay time dependence and laser power nonlinearity of the amplitude.
- The feature of the force generated by optical irradiation is partially clarified.

P-15 Crystalline electric field study in $\text{Nd}_2\text{Ir}_2\text{O}_7$ with metal-insulator transition

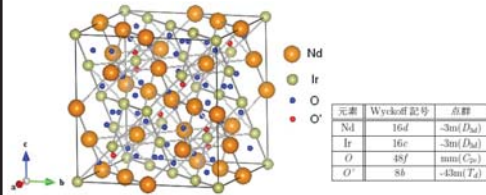
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M. Watahiki, K. Tomiyasu, K. Iwasa, K. Matsuhira^A, S. Takagi^A, M. Wakeshima^B, Y. Hinatsu^B,

S. Ji^C, and R. Kajimoto^C

Introduction

Crystal structure of $\text{Nd}_2\text{Ir}_2\text{O}_7$



• Nd^{3+} ($J = 9/2$) and Ir^{4+} ($S = J_{\text{eff}} = 1/2$) ions form pyrochlore lattices.

• Local symmetry of rare earth site : D_{3d} (trigonal)

Previous study of $\text{Nd}_2\text{Ir}_2\text{O}_7$

- Metal-insulator (MI) transition at $T_{\text{MI}} = 33 \text{ K}$ [1]
- Magnetic entropy of Nd^{3+} reaches $R \ln 2$ at T_{MI} [1].
→ Crystalline electric field (CEF) ground state of Nd^{3+} is doublet.
- Weak ferromagnetism and Schottky anomaly were observed below T_{MI} [1].

[1] K. Matsuhira *et al.*, J. Phys. Soc. Jpn. **80** 094701 (2010).

Purposes

- It is predict that Nd^{3+} feels a static internal magnetic field from Ir^{4+} .
- Determination of CEF level scheme → Investigation of Ir^{4+} electronic state from $5d-4f$ interaction

Experiments

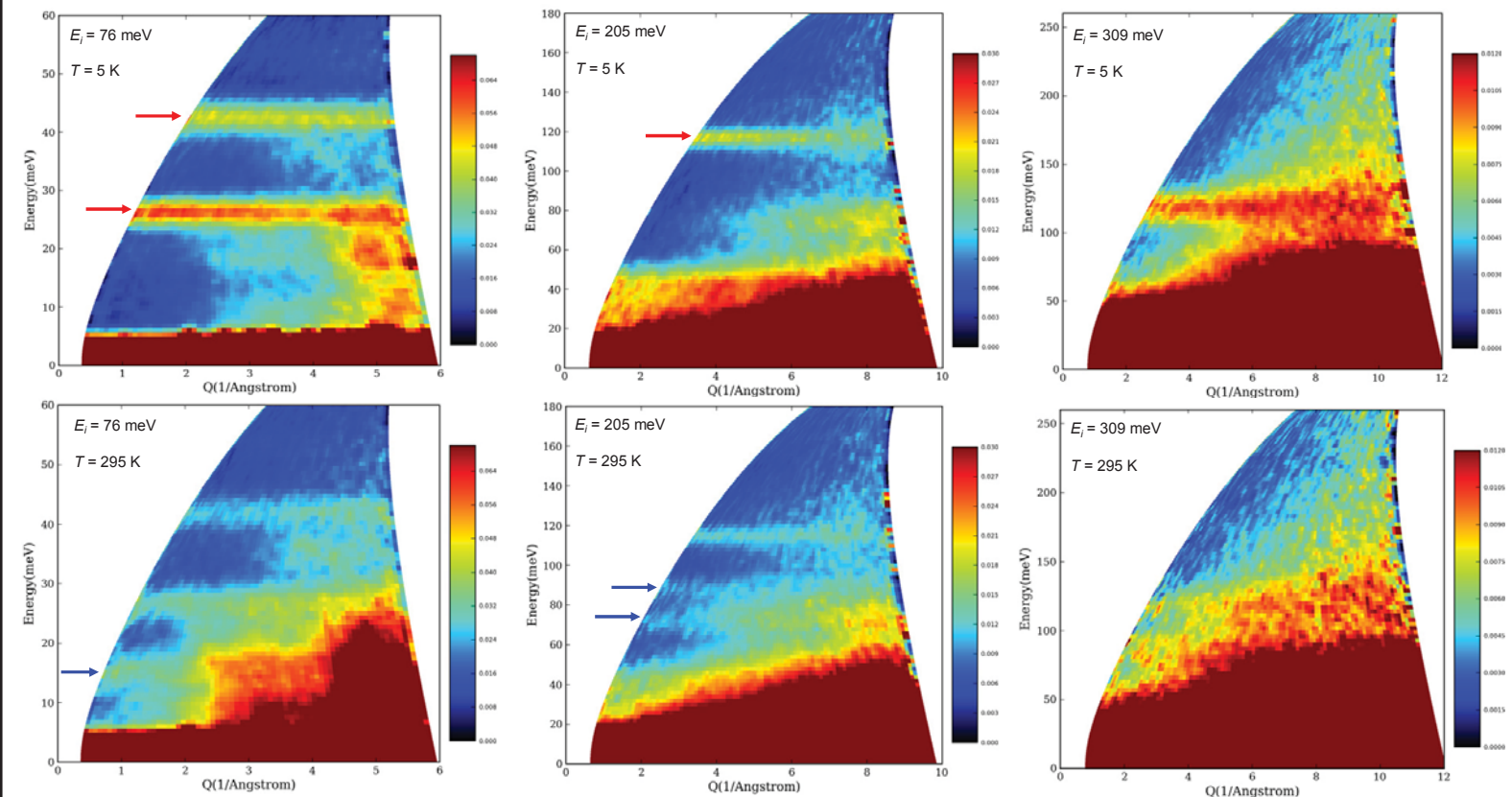
- Powder sample of $\text{Nd}_2\text{Ir}_2\text{O}_7$ from K. Matsuhira
- Inelastic powder neutron scattering experiments performed at MLF in J-PARC, Tokai, Japan.

Fermi chopper spectrometer 4SEASONS :

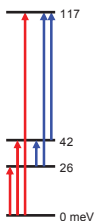
$E_i = 24, 27, 39, 47, 76, 97, 205, 309 \text{ meV}$, $f = 300 \text{ Hz}$,
 $5 \leq T \leq 295 \text{ K}$ (^4He closed-cycle refrigerator)

Results

Inelastic scattering intensity distributions in (Q, E) space



CEF model



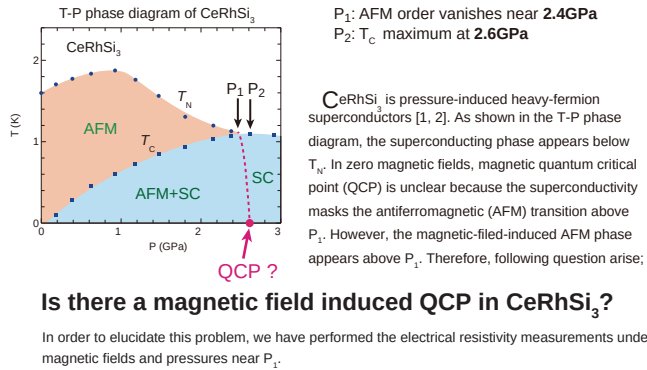
Summary

- At 5 K, three excitation peaks observed at around 26, 42 and 117 meV are interpreted as CEF excitations within five Kramers doublets.
- Fourth excitation peak was not observed below 260 meV.
- Analysis of CEF model is in progress.

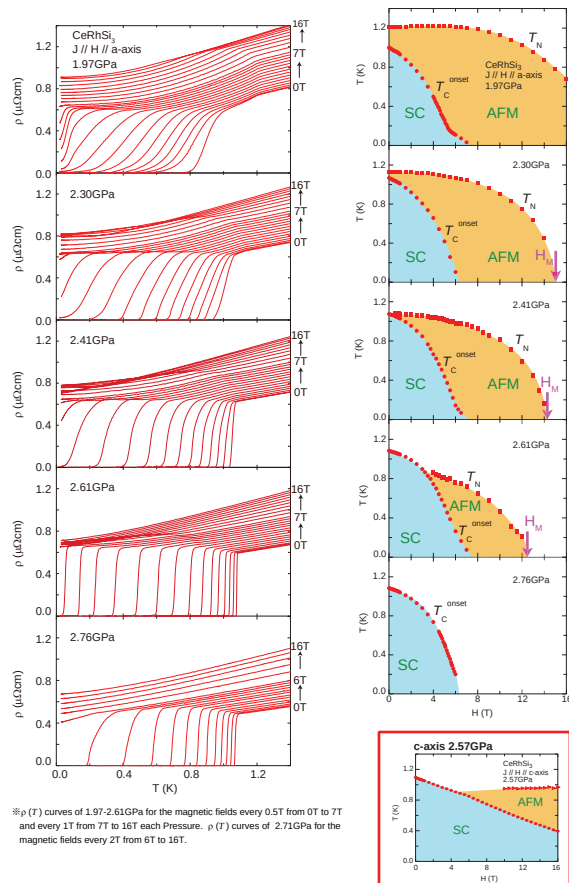
Search for a field-induced quantum critical point in CeRhSi_3

H. Iida, T. Sugawara, H. Aoki, and N. Kimura
Dep. Phys., Tohoku University, Sendai 980-8578, Japan

Introduction



Resistivity & T-H phase diagrams



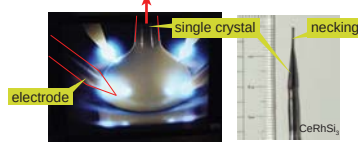
In the paramagnetic state, $\rho(T)$ follows a T-linear dependence for each pressure. Field induced AFM phase is suppressed by applying pressures. In contrast a-axis, effect of magnetic field on AFM and SC state seems to be very hard in its c-axis.

Conclusion

We analyzed $\rho(T)$ under magnetic fields below and above P_1 , and we determined the quantum-phase-transition field H_M where the AFM transition temperature drops to zero. Recovery of Fermi liquid state is not clearly observed above H_M , and enhancement of A less obvious in the vicinity of H_M . These results are in sharp contrast with those of other heavy-fermion compounds. We could not conclude that H_M is the QCP.

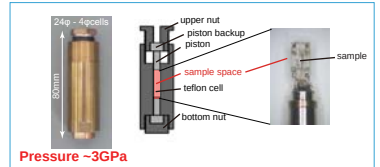
Experimental

- Sample preparation
 - Czochralski pulling method in a tetra-arc furnace



- Residual resistivity ratio (RRR) > 100
- Anneal: 900°C, 2×10^{-4} , 1 week

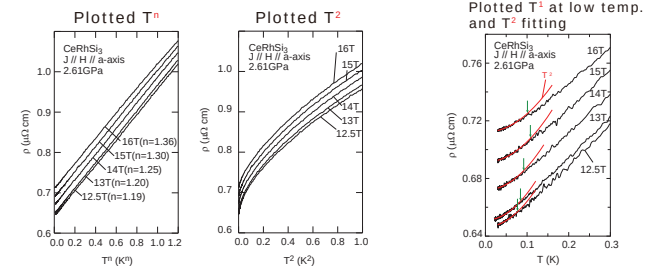
- Hydrostatic pressure system
 - Ni-Cr-Al/BeCu Clamped piston cylinder cells
 - equal mixture of n - and i -propanol



- Resistivity • Low ac four-terminal method
- $^3\text{He}/^4\text{He}$ dilution refrigerator with 17T-superconducting magnet

2.61 GPa, resistivity at vicinity of the H_M

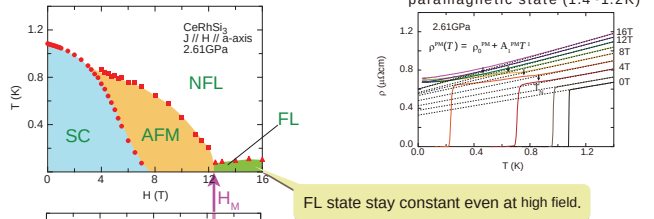
- The resistivity plotted against above H_M



$\rho(T)$ changes in behavior from T^2 to T^n at low temperatures below about 0.8 K, with increasing the field, n in $\rho(T) = \rho_0 + A_n T^n$ is slightly increases.

In low temperature, $\rho(T)$ obeys T-square, upper limit of T^2 behaviors slight change.

- Search for a induced QCP

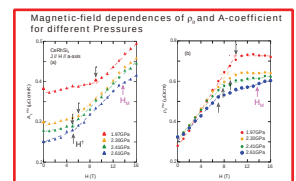
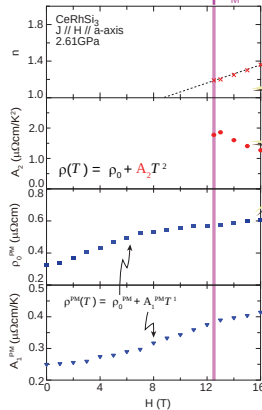


FL state stay constant even at high field.

The exponent increases with increasing field, suggesting a gradual recovery to FL state. However, surprisingly, recovery of the FL state is unlikely to start from H_M .

No enhancement of A_2 and A_1^{PM} at H_M , and no anomaly ρ_0^{PM} at H_M .

Not obtain a clear evidence that H_M is the QCP!!



References and more information of this study

- [1] T. Sugawara, et al., J. Phys. Soc. Jpn. 81 (2012) 054711.
- [2] N. Kimura et al., J. Phys. Soc. Jpn. 76 (2007) 051010.
- [3] H. Iida, et al., to be published in physical status solidi

"Search for a Quantum Critical point in CeRhSi_3 via Electrical Resistivity"

Reaction Cross Sections of the Deformed Halo Nucleus ^{31}Ne

Y. Urata, K. Hagino, and H. Sagawa, Phys. Rev. C86, 044613 (2012)

Yasuko Urata

Department of Physics, Tohoku University

1

Halo Nuclei

- Large interaction cross section σ_I

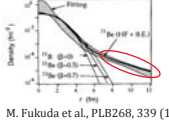
e.g. ^{11}Be 

$$\sigma_I \sim \pi(R_{\text{projectile}} + R_{\text{arg.}})^2 \rightarrow \text{Large radius for } ^{11}\text{Be}$$

- Halo structure

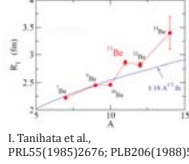
Spatially extended density distributions of the weakly bound valence neutron(s)

Density distribution of ^{11}Be



- reproduce the experimental cross section.
- Root-mean-square radii of s- or p-wave states diverge in the weakly bound region.
- s-wave halo nuclei: ^{11}Be , ^{19}C , ...
- p-wave halo nuclei: ^{11}Li , ^6He

Radii for Be isotope



I. Tanihata et al., PRL55(1985)2676; PLB206(1988)592

$$\psi(r) \sim e^{-Ar}$$



^{31}Ne Nucleus

- Large Coulomb breakup cross section

(T.Nakamura et al., PRL103,262501(2009))

- Large interaction cross section

(M.Takeuchi et al., Phys. Lett. B 707, 357 (2012))

Halo Structure of ^{31}Ne

Mean Field Picture

Nucleons are independent particles which move in some average potentials created by all the nucleons in the nucleus.

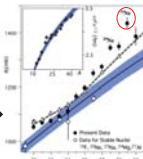
- Naive spherical shell model

$\rightarrow 1f_{7/2}$ configuration for the valence neutron of ^{31}Ne

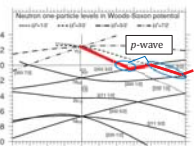
- Deformation of ^{31}Ne nucleus

- single particle states in a deformed potential $V(r, \theta)$

$\rightarrow \beta \sim 0.2-0.3$: $[330\ 1/2]$, $0.4-0.6$: $[321\ 3/2]$ $\rightarrow$ p-wave halo



Interaction cross sections



Nilsson diagram
I.Hamamoto, Phys.Rev.C 81, 021304(R) (2010)

Motivations

In the Nilsson model, the rotational excitation energy of the core nucleus is neglected. In reality, however, ^{30}Ne has the first 2^+ excited state at 0.801 MeV.

- Inclusion of the finite excitation energy of the core nucleus with a particle-rotor model

- Calculations of Coulomb breakup cross sections and reaction cross sections

(Y. Urata, K. Hagino, and H. Sagawa Phys. Rev. C 83, 041303(R) (2011))

- The effect of the finite excitation energy
- Ground state properties of ^{31}Ne

this work

2

Particle-Rotor Model

- ^{31}Ne : (deformed core ^{30}Ne) + n

- Axial quadrupole deformation of the core

- The core nucleus has a rotational band.

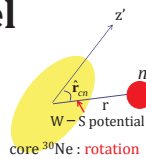
Deformed Woods-Saxon potential :

$$V(r, \hat{r}_{cn}) = \frac{V_0}{1 + \exp[(r - R_0 - R_0\beta_2 Y_{20}(\hat{r}_{cn})/a]}$$

$$\rightarrow H = H_0 + V_2(r)Y_{20}(\hat{r}_{cn}) + H_{\text{rot}}$$

spherical deformed mixture of angular momenta

$$\Psi_{IM} = \sum_{I_c j l_c} \alpha_{j l_c} \left(\begin{matrix} 31\text{Ne} \\ I_c j l_c \end{matrix} \right)_{IM} = \text{For example, } 0^+_{1/2} + 2^+_{p_{3/2}} + 4^+_{p_{3/2}} + \dots$$

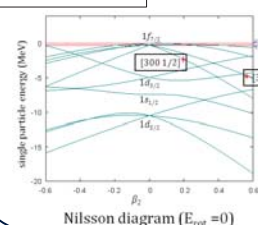


core ^{30}Ne : rotation

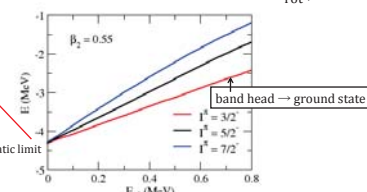
Rotational band
 $I_c(I_c+1)\hbar^2/2J$

Ground State

$H_{\text{rot}}(E_{\text{rot}}) = 0 \rightarrow$ Nilsson diagram (ϵ_k) : K is a good quantum number
adiabatic limit



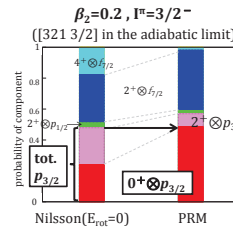
The non-adiabatic effect of $E_{\text{rot}} \neq 0$



3

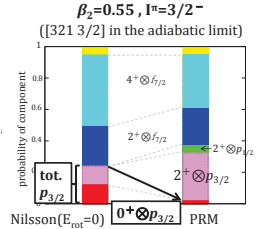
Results

- Probability of each component in the ground state wave function



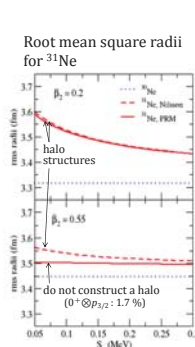
Calculation : $S_n = 0.2$ MeV
Experimental S_n :
 $S_n = 0.29 \pm 1.64$ MeV

✓ In PRM calculations, the relevant quantity to the halo structure is the 0^+ component.



- The reaction cross sections σ_R for $^{30,31}\text{Ne}$ as a function of the one-neutron separation energy S_n
- Comparison to the experimental interaction cross section σ_I

Reaction cross sections σ_R for ^{31}Ne



- The halo contribution to the reaction cross section for ^{31}Ne is small.
- The adiabatic approximation works reasonably well.

Comparison with the experimental data

One-neutron removal cross sections σ_{in} for ^{31}Ne

$$\sigma_{\text{in}}(^{31}\text{Ne}) \sim \sigma_R(^{31}\text{Ne}) - \sigma_R(^{30}\text{Ne})$$

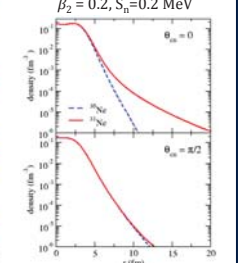
The increase of the reaction cross sections from ^{31}Ne to ^{30}Ne

(W. Horiuchi et al., Phys. Rev. C81, 024606 (2010))

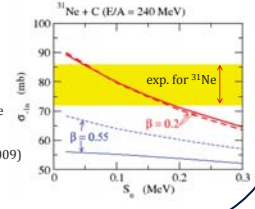
Experimental $\sigma_{\text{in}}(^{31}\text{Ne})$: T. Nakamura et al., PRL103, 262501 (2009)

- $I^\pi = 3/2^-$ configuration at $\beta_2 = 0.2$ well accounts for both σ_R and σ_{in} for ^{31}Ne .

Density distributions for $^{30,31}\text{Ne}$ (in the adiabatic limit)



One-neutron removal cross sections σ_{in} for ^{31}Ne



4

Summary

- Ground state properties of the deformed halo nucleus ^{31}Ne $\rightarrow$ particle-rotor model (PRM)
- the finite rotational excitation energy of the core nucleus
- Reaction cross sections for $^{30,31}\text{Ne}$ on the ^{12}C target $\rightarrow$ Glauber theory
- Results**
- The small non-adiabatic effects for the reaction cross sections of ^{31}Ne
- The reaction cross section of $I^\pi = 3/2^-$ configuration at $\beta_2 = 0.2$
 - reproduces the experimental interaction cross section.
 - leads to a consistent description for the one-neutron removal cross section.

$\rightarrow$ A very promising candidate for the ground state of ^{31}Ne

- consistent with the analysis of the Coulomb dissociation cross section of ^{31}Ne with the PRM

(Y. Urata, K. Hagino, and H. Sagawa Phys. Rev. C 83, 041303(R) (2011))

Reaction cross sections

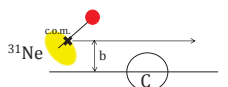
Calculations of reaction cross sections with the Glauber theory which describes high-energy nucleus-nucleus collisions

- Eikonal approximation : scattering in the forward angle
- Adiabatic approximation : neglect the intrinsic excitation energy for the large incident energy

$$\sigma_R = \int d\mathbf{b} \left(1 - \frac{1}{2^{1+1}} \sum_M |\langle \Psi_{IM} | S_c \cdot S_n | \Psi_{IM} \rangle|^2 \right)$$

(P. Batham, I.J. Thompson, and J.A. Tostevin, Phys. Rev. C 71, 064608 (2005))

$|\Psi_{0+}\rangle$: initial state wave function (ground state) with particle-rotor model



Generalized geometric approach to non-geometric spaces

Shuhei Sasa (Physics, D2)

In collaboration with T. Asakawa, S. Watamura

@Tohoku University

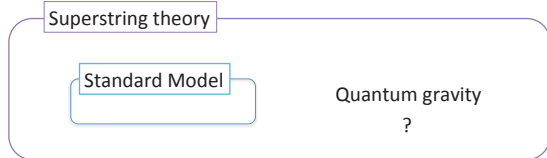
The 5th International GCOE Symposium

2013/3/4

Introduction

Superstring Theory:

- a candidate of quantum theory of gravity
- has the possibility to include the standard model of particle theory



On the other hand,

Gravity = Geometry

What is a geometrical description behind string theory?

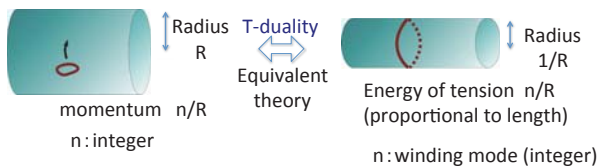
Remarkable feature) T-duality

T-duality is a symmetry under which two different compactified spaces with a U(1) isometry are indistinguishable from the viewpoint of string theory.

- Simplest example: S^1 compactification

Radius of S^1 : $R \xrightarrow{\text{T-duality}} \frac{1}{R}$

Kaluza-Klein mode (tangent vector field) $\xleftrightarrow{\text{exchange}}$ Winding mode (one-form)



- General background with U(1) isometry:

for NS-NS flux: a metric g
a Kalb-Ramond 2-form B (called B-field)
a dilaton ϕ

→ T-duality in these background is known as Buscher rule.

What is the T-duality invariant formulation in SUGRA?

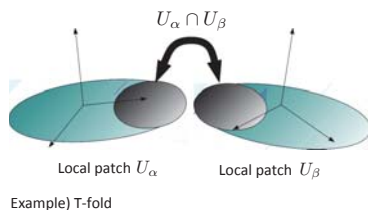
→ Candidate: Generalized Geometry

- is easier than an analysis of string theory (= conformal field theory)
- makes clear the geometrical meaning of string theory

(There is another candidate; the doubled field theory which is locally same.)

Non-geometric Q-flux spaces:

- appear as T-dual space of geometric space with H-flux.
- are endowed with local coordinates and can be glued with [stringy symmetry](#): Diff., gauge tr., T-duality.
- can be locally described as geometric, but [globally non-geometric](#).



Motivations:

String, membrane

(Proposal)

Non-commutative geometry and Non-associative geometry

$$[x^\mu, x^\nu] = \oint Q_\rho^{\mu\nu} dx^\rho \quad [\text{Mathai-Rosenberg 2004}]$$

$$[x^\mu, [x^\nu, x^\rho]] + c.p. = R^{\mu\nu\rho} \quad [\text{Lust 2011}][\text{Schupp, Szabo 2012}]$$

Supergravity

Non-geometric flux compactification [Shelton, Taylor, Wecht 2006]

Moduli stabilization [Micu, Palti, Tasinato 2007]

de Sitter vacua [de Carlos, Guarino, Moreno 2009]

10-dim origin of 4-dim non-geometric potential [Patalong 2012]

However the definition of non-geometric space is still obscure.

In this propose, we propose

- a natural definition of non-geometric Q-flux spaces
- an effective theory of non-geometric Q-flux spaces

H-flux : twist in generalized geometry

Q-flux : ?

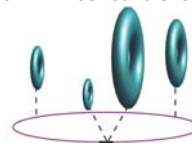
Example: T-fold

[Hull 2004]

- arise as T-duals along y,z-direction for three-dimensional torus with H-flux

$$ds^2 = dx^2 + \frac{1}{1 + N^2 x^2} (dy^2 + dz^2) \quad B = \frac{Nx}{1 + N^2 x^2} dy \wedge dz$$

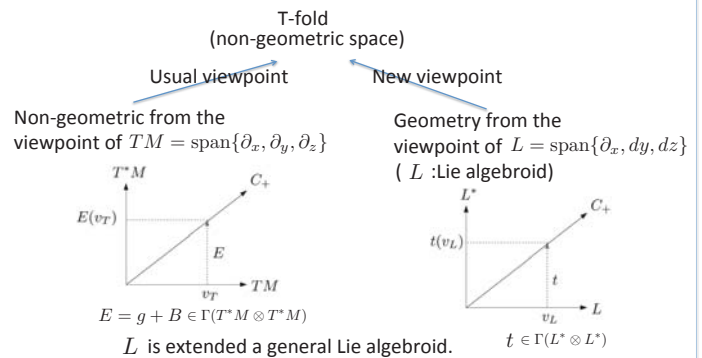
T-fold: $\mathbb{T}^2$ "fiber bundle" over S^1



Locally: geometric
Globally: non-geometric
(g, B : ill-defined)

T-duality and B-field gauge tr.
 $x \sim x + 1$: periodic

New interpretation of T-folds



Statement

We have analogue discussions of generalized geometry if we replace TM with L .

Generalized Geometry

$(TM, [\cdot, \cdot]_{\text{Lie}})$

$TM \oplus T^*M$

H-flux

Courant algebroid

$$\text{SUGRA} \quad \mathcal{R} = R + \frac{1}{12} H^2$$

New Geometry

$(L, [\cdot, \cdot]_{\text{Lie}})$

$L \oplus L^*$

Q-flux

new algebroid

$$\hat{\mathcal{R}} = \hat{R} + \frac{1}{12} Q^2$$

Summary:

- We proposed the definition of non-geometric space.
- We have analogue discussions of generalized geometry if we replace TM with L .

Continuous Time Quantum Monte Carlo study of strong coupling superconductivity in Holstein-Hubbard model

Satoshi Yamazaki, Shintaro Hoshino, and Yoshio Kuramoto

Department of Physics, Tohoku University, Sendai, Japan

Holstein-Hubbard model

Electron-phonon strongly coupled systems (ex. MgB_2 , A_3C_{60} , AOs_2O_6 , etc)

$$\mathcal{H} = \sum_{\langle i,j \rangle, \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + \omega_0 \sum_i b_i^\dagger b_i + g \sum_i (b_i + b_i^\dagger) \delta n_i + U \sum_i n_{i\uparrow} n_{i\downarrow} - \mu \sum_{i\sigma} n_{i\sigma} \quad \delta n_i = \sum_{\sigma} n_{i\sigma} - 1$$

Effective interaction

$$U_{eff}(\omega) = U + \frac{2g^2\omega_0}{\omega^2 - \omega_0^2} \xrightarrow{\omega_0 \rightarrow \infty} U - \lambda, \quad \lambda = \frac{2g^2}{\omega_0}$$

- Polaron-Bipolaron transition W. Koller, et al. EPL, **66**, 559 (2004)
- Charge density wave (CDW), Antiferromagnetism J. Bauer, A. C. Hewson, PRB **81**, 235113 (2010)
- Superconductivity(SC) → Effect of U, μ ? J. Bauer, et al, PRB **84**, 184531 (2011)

Weak coupling approach

BCS theory, Migdal-Eliashberg theory → Breakdown → Strong coupling region

Purpose

Properties of SC in strong coupling region

Method

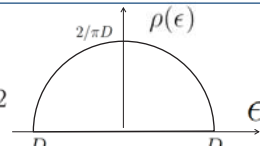
Dynamical mean field theory (DMFT+CT-QMC)

E. Gull, et al., Rev. Mod. Phys. **83**, 349 (2011).

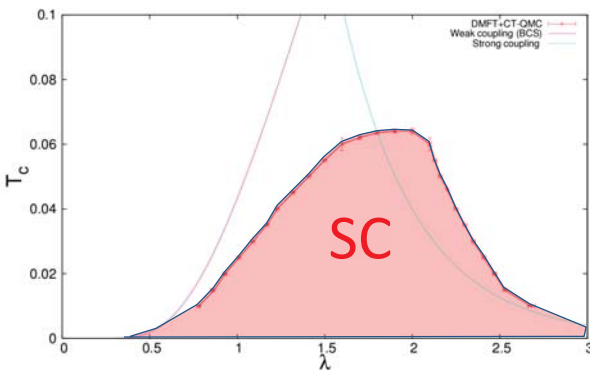
- Electron-phonon interaction → Exact solution in infinite dimension P. Werner and A. J. Millis, PRL **99**, 146404 (2007).
- Finite temperature

Condition parameter T, λ, U

- Lattice vibration $\omega_0 = 1$, Half band width $D = 2$
- Semi circular type density of states
- Paramagnetic phase + Superconducting phase (No long range order except SC)



Phase diagram in Holstein model $U = 0$



BCS (weak coupling limit) $T_c = \omega_0 \exp(-1/\lambda \rho_F)$

Strong coupling limit $T_c = \frac{D^2}{4\lambda} e^{-2\lambda/\omega_0} \left[1 + \sum_{n=1}^{\infty} \frac{(\lambda/\omega_0)^n}{(1 + \lambda/\omega_0)(2 + \lambda/\omega_0) \cdots (n + \lambda/\omega_0)} \right]$

J. K. Freericks, et al, PRB **48**, 6302 (1993)

• T_c has a maximum $\lambda_{max} \sim 1.9$

cf. Attractive Hubbard model ($\omega_0 \rightarrow \infty$)

A. Koga and P. Werner, PRA **84**, 023638 (2011).

$$\lambda_{max}^{(\infty)} \sim 4.0$$

• Strong coupling region $\lambda > \lambda_{max}$ T_c decrease exponentially

Properties of superconductivity

Order parameter & Double occupancy (half filling)

Temperature dependence of order parameter

- Increase monotonically decreasing T
- Saturate in low T

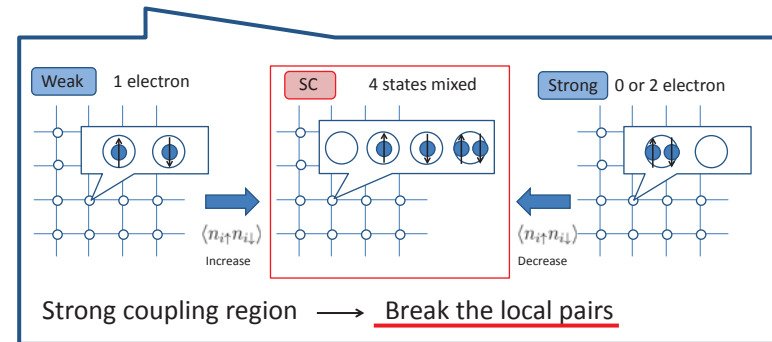
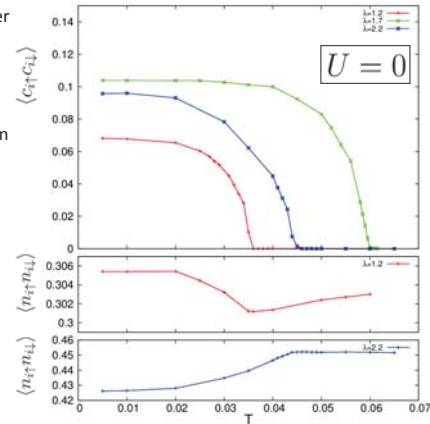
$\rightarrow$ Saturate slowly in strong coupling region

$\rightarrow \Delta_{T=0}/T_c$ is larger

Temperature dependence of $\langle n_{i\uparrow} n_{i\downarrow} \rangle$

Double occupancy with decreasing T

	$T < T_c$	$T > T_c$
Weak	Increase	Decrease
strong	Decrease	Increase



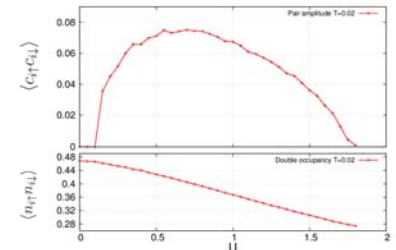
Coulomb interaction effect in strong region

Coulomb interaction



Induce SC by depairing

Double occupancy decrease



Filling dependence of T_c $U = 0$

$T_{CDW} \geq T_{SC}$ at half filling

Transition temperature with decreasing carrier density

CDW (staggered order)

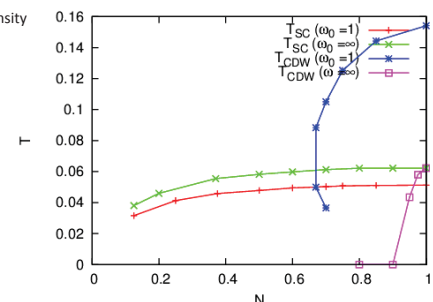
- Rapidly decrease
- Reentrant behavior away from half filling

Superconductivity

- Decrease monotonically
- Robust at any filling

$$T_{SC}(\omega_0 = 1) / T_{SC}(\omega_0 = \infty) \cong 0.82$$

Phase diagram ($\lambda=1.5$)



Conclusion

Characteristic properties in strong coupling superconductor

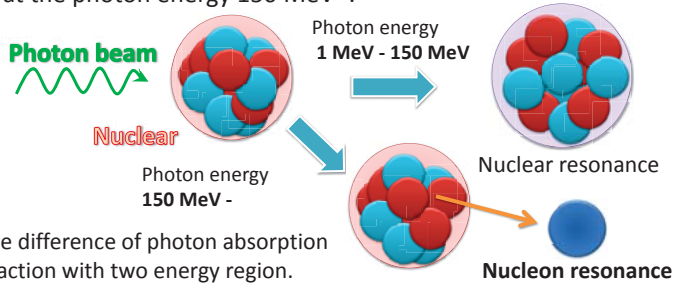
- Retardation effect $\rightarrow$ Suppress superconductivity
- Double occupancy decrease below T_c

P-21 Study of double delta photoproduction on the deuteron target

Fumiya Yamamoto for the NKS2 collaboration, Department of Physics, Tohoku Univ.

✓ Introduction Nuclear photon absorption

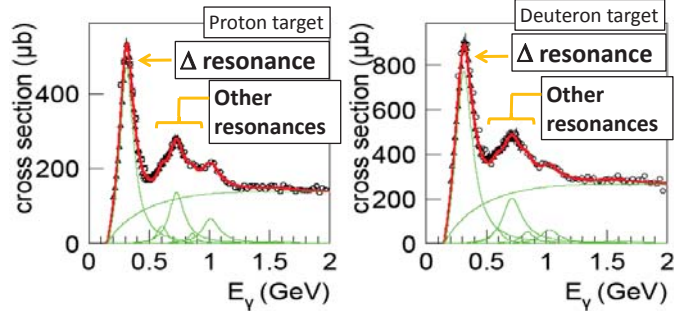
- When photon beam impinged on the nucleus,
- * nucleus is excited at the photon energy 1 MeV - 150 MeV.
- * single nucleon (general term of proton and neutron) is excited at the photon energy 150 MeV -.



The difference of photon absorption reaction with two energy region.

Nucleon resonance

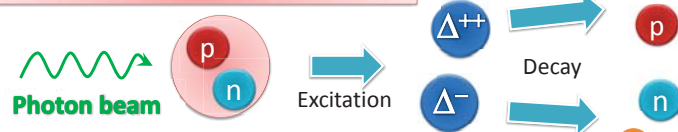
The nucleon resonances are the excited states of nucleon. These emit mesons (π or η etc.) and decay. Delta particle is one of the nucleon resonances and decay for πN . The life time is very short 10^{-23} s. The mass is 1232 MeV.



The probability of photon absorption on the proton and deuteron. Single nucleon is excited, and nucleon resonances is generated.

Double delta photoproduction process on the deuteron

Deuteron : The simplest compound nucleus consisting of proton and neutron



Double delta excitation in the intermediate state of $\pi^+\pi^-$ photoproduction process. Since the wave length for photons is smaller than the average internucleon spacing for deuteron, it is wonderful that two nucleons excite.

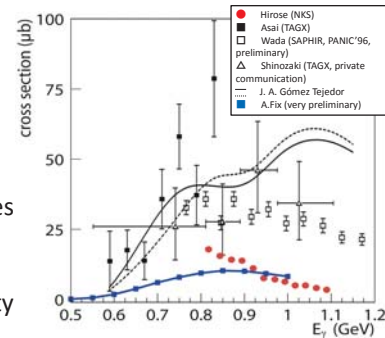
Right figure : the reaction probability of double delta photoproduction. The experimental data is poor.

Physics :

- Interaction between nucleon resonances
- Mechanism of double delta process

Aim of study:

- Determination of the reaction probability of double delta process

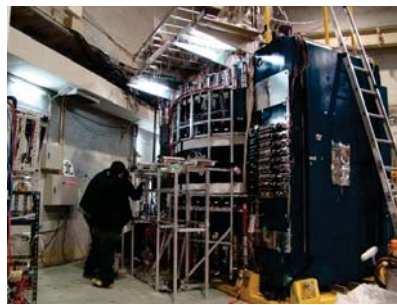


✓ Experiment

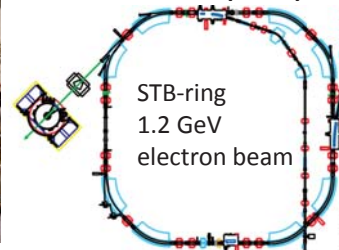
Neutral Kaon Spectrometer 2 (NKS2)

Measurement of the velocity and momentum of the charged particle Component :

- * Dipole magnet : Momentum analysis
 - * Hodoscope : Time of flight
 - * Drift chamber : Detection of track
- Right figure : NKS2 seen from the photon beam down stream



The photon beam at Research Center for Electron Photon Science (ELPH)



- The photon beam is generated via bremsstrahlung.
- The photon energy is tagged by the detected scattering electron.

✓ Analysis

Identification for π^+ , π^- and proton

- Using of the velocity β and momentum p of the charged particle, the particle mass is calculated.

$$m^2 = p^2 (\beta^{-2} - 1)$$

Selection of $\gamma d \rightarrow \pi^+ \pi^- p n$ reaction

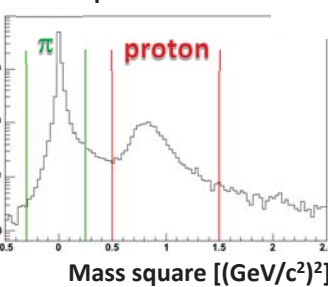
- Missing particle : Non detected particle. From energy-momentum conservation, the mass of missing particle is calculated and the neutron is identified.

$$M_X^2 = E_X^2 - P_X^2$$
$$E_X = E_\gamma + M_d - (E_{\pi^+} + E_{\pi^-} + E_p)$$
$$P_X = P_\gamma - (P_{\pi^+} + P_{\pi^-} + P_p)$$

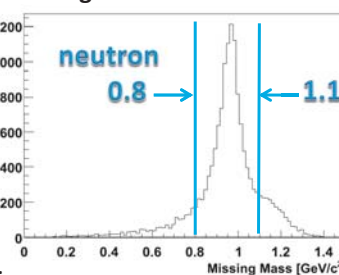
M : Particle mass E : Particle energy
 P : Particle momentum

*A lower index expresses the kind of particles.

Mass square distribution



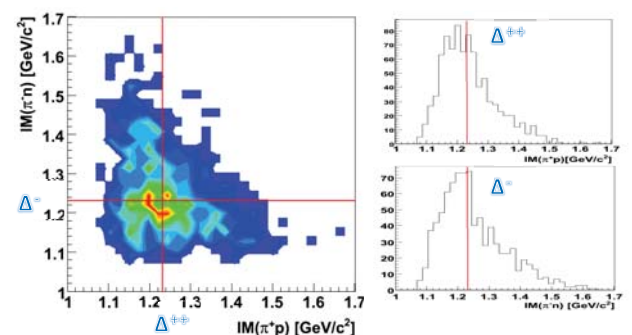
Missing mass distribution



✓ Result

Double delta excitation

- Invariant mass : From energy-momentum conservation before and after decay, the mass of particles before decay are calculated. For example, since the delta particles are decayed for π and N ,
- $$M_\Delta^2 = (E_\pi + E_N)^2 - (P_\pi + P_N)^2$$
- A lower figures are the invariant mass distribution $\pi\pi N$. Left side: 2 dimension of invariant mass $\pi^+ p$ and $\pi^- n$. Right side top : Invariant mass of $\pi^+ p$. Right side bottom : Invariant mass of $\pi^- n$.
 - From left side figure -> double delta excitation.



✓ Summary

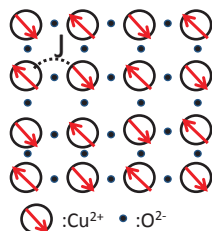
- Double delta photoproduction is the process which excited double delta in the intermediate state.
- The experiment is carried out at ELPH.

- π^+ , π^- and proton were detected using NKS2.
- Neutron was identified using the missing mass.
- Double delta excitation in the intermediate state was shown using the invariant mass distribution $\pi^+ p$ and $\pi^- n$.

Pulsed Neutron Scattering Study of Magnetic Excitation in Dilute-Doped Bi2201-System

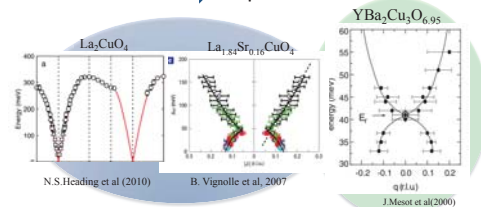
Dept of Physics, Tohoku Univ, IMR, Tohoku Univ ^A,
Kyusyu Institute of Technology ^B, KEK ^C,
K. Tsutsumi, M. Fujita ^A, M. Enoki ^B, M. Matsumura ^A, K. Sato, K. Yamada ^C.

Spin correlation on CuO₂ plane



Super exchange constant J is determined
by electron hopping parameter t &
Coulomb interaction U

insulator → superconductor



spin wave excitation Hourglass-shaped excitation

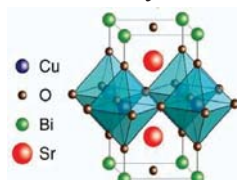
Magnetic excitation evolves with carrier doping
from spin wave to hour glass excitation

Comparison between LSCO with YBCO

	LSCO	YBCO
Hourglass in SC phase	○	○
High-energy excitation	○	Unknown
Collective excitation	○	Unknown

Hourglass excitation has been observed in the
two systems, however, the universality is not
yet clear due to the different crystal structure

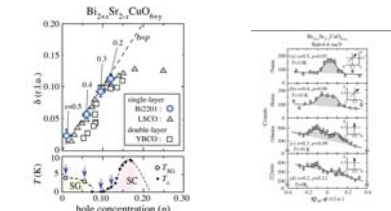
Bi2201-system



Bi2201 has single CuO₂ layer,
like LSCO

Universality could be extracted.

Current research



M. Enoki et al. 2013

Doping dependence of low energy is similar with LSCO

Motivation

Comparing with LSCO,
showing universality of
high energy excitation
we prepared dilution doped sample
→ because of clearly magnetic excitation
was observed in low energy region

Set up

sample: Bi_{2.4}Sr_{1.6}CuO_{6+d}

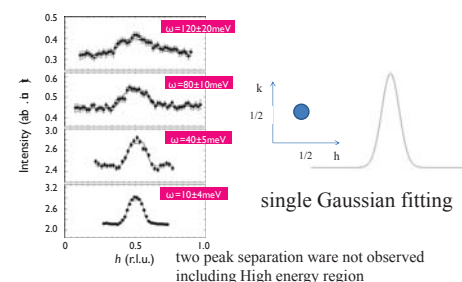
Volume: about 27g

Temperature: 7K

Ei=40,70,120,240 [meV]

Equipment: ISIS-Merlin

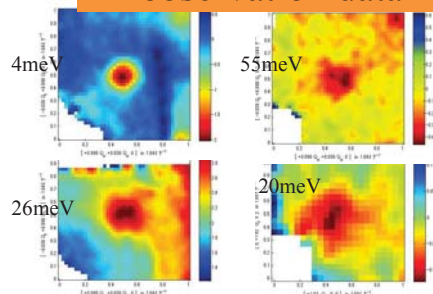
→ easily observed wide q-w range



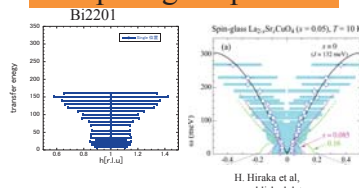
single Gaussian fitting

two peak separation were not observed
including High energy region

2D observation data

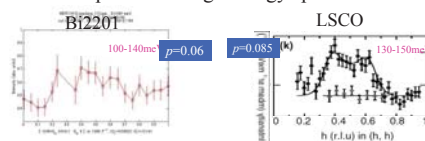


Comparing Dispersion



Bi2201 dispersion has extreme spread
about high energy region ~100meV

comparison of high energy spectrum



Bi2201 energy spectrum is clearly damped and
broadening in high energy region

Summary

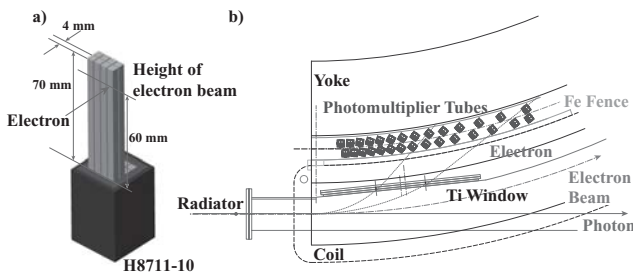
- Dilution doping Bi2201, we first observed magnetic excitation ~160meV.
- Increasing energy transfer, magnetic peak extreme broadening, and intensity being weak.
- This result shows that Bi2201 has large J but not collective excitation.
- Mechanism of high-Tc superconductor cuprates might be common with large J of magnetic excitation.



Meson Photoproduction

Meson photoproduction off the nucleons is one of a prime tools to study the properties of the strong interaction in the non-perturbative domain of QCD. Single pion photoproduction has been studied intensively to get informations for nucleon resonances especially for the Δ -excitation, while double pion photoproduction gives complementary informations, particularly on resonances that couple weakly to a single pion. Among three channels, the double neutral pion channel ($\gamma p \rightarrow \pi^0 \pi^0 p$) is the most selective one, which provides interesting details because Born terms are strongly suppressed and ρ meson can not directly decay into two neutral pions. Moreover, interesting physics involving two indistinguishable π^0 system can be investigated through this channel.

Photo Beam



Bremsstrahlung photons are generated by inserting a carbon fiber into 1.2 GeV circulating electrons in a synchrotron ring. Scattered electrons are bended to Tagger system to determine the energy of bremsstrahlung photons with energy resolution 1-3 MeV.

Event Selection

Reaction $\gamma p \rightarrow \pi^0 \pi^0 p \rightarrow 4\gamma p$ is reconstructed by detecting its final state products 4 photons and one proton. Event from FOREST dataset survived after following selection condition will be accepted as true event for $\gamma p \rightarrow \pi^0 \pi^0 p$:

- Two pairs of photons with time difference within $[-1, 1]$ ns.
- One charged cluster in time window $[1, 10]$ ns (Figure 1) with respect to the average time of four photons ($t_{4\gamma}$). No other clusters within $[1, 10]$ ns.
- Kinematic fitting

All kinematic variables are fitted to the hypothesis of $\gamma p \rightarrow \pi^0 \pi^0 p$. The fitting result of chi-square (χ^2) probability are used in confidence level (CL) cut.

7 constraints are applied (4 from energy-momentum conservation and other 3 from invariant masses of two pions and one proton). Event with chi-square probability larger than 20% will be accepted (Figure 2).

The error estimation of kinematic variables are checked by PULL distribution (Figure 3). It is sufficiently close to a normal Gaussian distribution $N(0, 1)$.

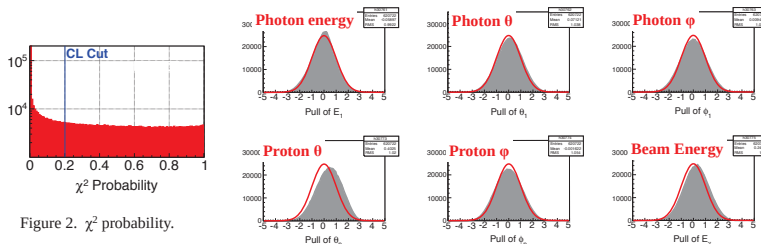
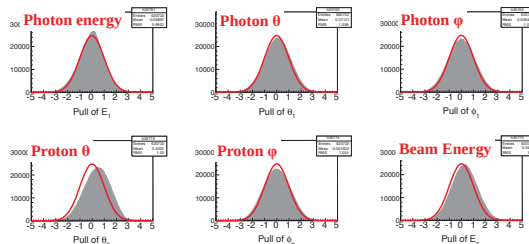
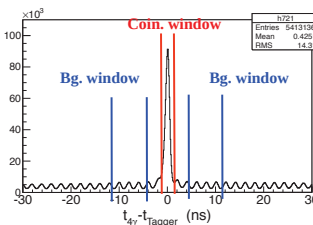
Figure 2. χ^2 probability.

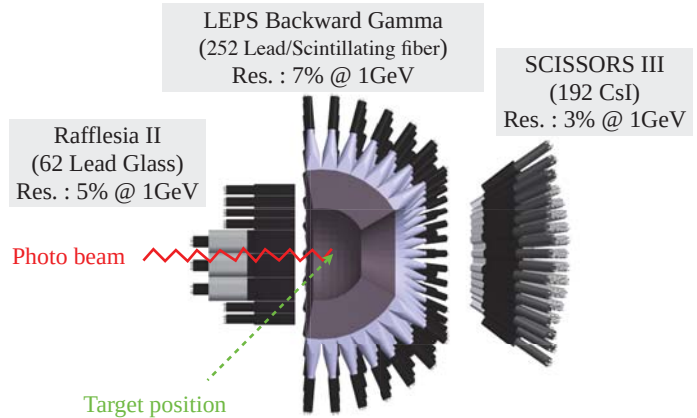
Figure 3. Pull distribution.

Background Events Subtraction

Accidental coincident events between FOREST and Tagging spectrometer have been eliminated by subtraction of scaled distributions of random background events outside the true coincidence time window.



FOREST Detector System (90% solid angle cover)



Preliminary Results

- Invariant mass of two photons and missing mass of $\gamma p \rightarrow \pi^0 \pi^0 X$

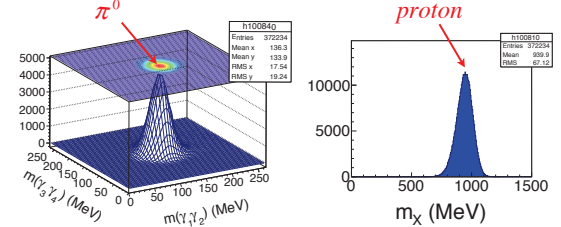
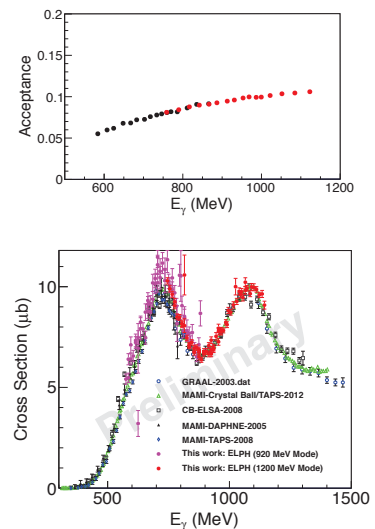


Figure 4. Left: Two photons invariant masses $m(\gamma_1, \gamma_2)$ vs. $m(\gamma_3, \gamma_4)$. Right: Missing mass (m_X) spectra for $\gamma p \rightarrow \pi^0 \pi^0 X$. π^0 and proton signal are clearly shown in invariant mass and missing mass spectra.

- Total cross section of $\gamma p \rightarrow \pi^0 \pi^0 p$ has been obtained preliminarily and compared with previous data (Figure 5).

Figure 5. Up: Acceptance. Down: Total cross section for $\gamma p \rightarrow \pi^0 \pi^0 p$.

Beyond the Standard Model :Aspects of Supersymmetry

Wen Yin

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Introduction

Physics history is the history of “**Unification**”.

- Newton unified the motion of a planet and the motion of an apple. (Gravity)
- Maxwell unified electric force and magnetic force. (Electromagnetic force)
- Weinberg and Salam unified weak interaction and electromagnetic interaction. (Weinberg-Salam theory)
- String theory is expected to unify the 4 fundamental forces.

In our nature there are 2 kinds of particles.

Boson: the carrier of interactions **Fermion**: the component of matter
Can we unify these two kinds of particles?

∴ Boson has integer spin . Fermion has half integer spin.

∴ If we unify these two kinds of particles, we have to do some non trivial things like to **extend the concept of spacetime**.

⇒ **SuperSymmetry (SUSY)**

On the other hand, the Standard Model (SM) in particle physics has won a lot of success, because SM agrees very much with the experiment. However, there are some theoretical and cosmological problems.

• **The fine tuning of Higgs mass (Fine-tuning problem)**

• **There is no candidate for dark matter.**

• **The accuracy of gauge unification is not so good.**

• **SM can not be combined with gravity naturally. etc.**

If we extend SM to SUSY SM (SSM), we can solve or alleviate these problems.

Also, if we believe in superstring theory in Planck scale, then our nature, which can be described as the effective theory of superstring theory **must have SUSY**.

SUSY Algebra

$$\{Q_\alpha, Q_\beta^*\} = \sum_{\mu=0}^3 P^\mu \sigma_{\mu\alpha\beta}$$

$$\{Q_\alpha, Q_\beta\} = 0$$

$$\sigma_{0\alpha\beta} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \sigma_{1\alpha\beta} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_{2\alpha\beta} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_{3\alpha\beta} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

where Q_α and Q_β^* are fermionic. P^μ is the generator of spacetime translational

symmetry. With the generator of rotational symmetry of spacetime, P^μ forms the usual Poincaré symmetry which is also called as special relativity.

If we think of the theory with particle masses, Coleman-Mandula theorem says that **the only extension of Poincaré algebra is superPoincaré algebra which is equal to Poincaré algebra + SUSY algebra**.

Taking the expectation value of the first formula on a general momentum eigenstate, we get

$$\langle a | \{Q_\alpha, Q_\beta^*\} | a \rangle = \left\langle a \left| \sum_{\mu=0}^3 P^\mu \sigma_{\mu\alpha\beta} \right| a \right\rangle$$

Tracing the index α, β , we get

$$|Q_1 a|^2 + |Q_2^* a|^2 + |Q_2 a|^2 + |Q_1^* a|^2 = \langle a | 2P^0 | a \rangle$$

∴ left hand side ≥ 0 ,

∴ The expectation value of P^0 (energy) is positive or 0.

This formula will hold whether SUSY is broken or not. Let $|a\rangle = |0\rangle$ (vacuum state),

$$\langle 0 | 2P^0 | 0 \rangle = 0 \Leftrightarrow |Q_\alpha 0|^2 = 0 \text{ and } |Q_\beta^* 0|^2 = 0 \Leftrightarrow \text{SUSY is not broken.}$$

$$\langle 0 | 2P^0 | 0 \rangle > 0 \Leftrightarrow \left(\text{one or more of } |Q_\alpha 0|^2, |Q_\beta^* 0|^2 \right) > 0$$

$\Leftrightarrow$ SUSY is spontaneously broken.

⇒ **Vacuum energy is the order parameter of whether SUSY is spontaneously broken or not.**

Next, let's think about a massive bosonic state at rest.

The first formula $\rightarrow \{Q_\alpha, Q_\beta^*\} |1, m\rangle = m \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} |1, m\rangle$

∴ Q acts as fermionic version of creation operator in harmonic oscillator.

Assuming $Q_\beta^* |1, m\rangle = 0$, there exist other states $Q_1 |1, m\rangle, Q_2 |1, m\rangle, Q_1 Q_2 |1, m\rangle$.

∴ Q is fermionic

∴ $Q_1 |1, m\rangle, Q_2 |1, m\rangle$, are fermionic. $|1, m\rangle, Q_1 Q_2 |1, m\rangle$ are bosonic.

⇒ There are 4 states, 2 are fermionic, 2 are bosonic.

We know

- SUSY is a symmetry that interchanges bosons and fermions.
- In SUSY theory, the number of bosonic particle states is equal to the number of fermionic particle states (for nonzero momentum particles).
- The vacuum energy (cosmological constant) vanishes.
- When we extend SM to SSM, we should add particles. **So some of these can be dark matter candidates.**
- The gauge unification accuracy can increase or decrease since SSM has different particle components from SM. In fact, **the accuracy increases.**

Superspace Formulation

Ordinal field theory: local theory of spacetime coordinate

P^μ conjugates to	$x^\mu \equiv$ spacetime coordinate
Spacetime symmetry	Poincaré symmetry (rotation and translation in spacetime)
⇒ Action	$S = \int d^4x \mathcal{L}(x)$
Scalar field	$\phi(x)$

∴ SuperPoincaré algebra is the extension of Poincaré algebra.

∴ We can use the analogy of ordinal field theory to formulate SUSY field theory. Changing spacetime into superspace, we get the table below.

SUSY field theory: local theory of superspace coordinate

$(P^\mu, Q_\alpha, Q_\beta^*)$ conjugates to	$(x^\mu, \theta_\alpha, \theta_\beta^*) \equiv$ superspace coordinate
Superspace symmetry	superPoincaré symmetry (rotation and translation in superspace)
⇒ Action	$S = \int d^4x d^2\theta d^2\theta^* K(x, \theta, \theta^*) + \int d^4x d^2\theta W(x, \theta) + h.c$
Chiral superfield	$\Phi(x, \theta) = \phi(x) + \theta_\alpha \psi^\alpha(x) + \theta^2 F(x)$

K is called Kähler potential, and W is called superpotential. **The chiral superfield can be thought as the unification of fermionic fields and bosonic fields.**

W is holomorphic function of chiral superfield. Holomorphic function means W does not contain complex conjugate of $\Phi(x, \theta)$. Holomorphy and ordinary symmetry keep W invariant under perturbative renormalization. This is called non-renormalization theorem.

Thanks to this theorem, there's no more fine-tuning problem. So **SUSY is a major resolution of the fine-tuning problem.**

SUSY Breaking

∴ SM is very consistent with the experiment.

∴ **SUSY must be broken down spontaneously**. However, if SUSY breaks down classically in the SSM, there would remain a sum rule for particle masses.

$$\Sigma m_{\text{boson}} - \Sigma m_{\text{fermion}} = 0$$

This sum rule contradicts with the experiment.

∴ SUSY can't be broken classically in SSM sector.

⇒ three possibilities

1, SUSY is broken classically in the other sector.

2, SUSY is broken non-perturbatively (i.e. quantumly in this case) in our sector.

3, SUSY is broken non-perturbatively in the other sector.

∴ Non-perturbative breakdown of a symmetry $\Leftrightarrow$ chiral symmetry breaking (maybe)

If 2, our elementary particles are not all elementary and some are composite which we don't want it to be. (Although there is some work about this type.)

∴ We think about 1 and 3. ∴ **SUSY breaking happens in the other sector.**

∴ There should be some fields to mediate the SUSY breaking effect to our sector.

Candidates for the “mediator”: ① SM gauge field, ② gaugino, ③ gravity etc.

∴ SUSY breaks $\Leftrightarrow \langle 0 | 2P^0 | 0 \rangle > 0$

∴ Our vacuum has positive energy i.e. the positive cosmological constant.

But the cosmological constant is $\sim 10^{-59} \text{TeV}^4$ while (the SUSY breaking scale)⁴ $\sim 10^4 \text{TeV}^4$. We have to cancel the positive energy. There is a known mechanism. That is to extend a global SUSY to a local SUSY i.e. SuperGRAvity (SUGRA). SUGRA can produce a negative cosmological constant which cancel the positive cosmological constant produced by SUSY. In this sense **SUSY breaking expects SUGRA**.

My Work

We think that gravity mediates SUSY breaking. So our model belongs to ③. On the other hand, superstring theory predicts a 10-dimensional universe with 6 compact dimensions so that we feel just 4 dimensions. The low energy effective theory of a theory with compact dimensions always has moduli fields. So in our model there are 3 sectors, ①: SSM sector ②: SUSY breaking sector ③: moduli sector. ① directly interacts with ② by higher dimensional terms, and ③ interacts with ①, ②, ③ by gravity. We assume a general Kähler potential correction generated by the quantum correction for ③. The Kähler potential stabilizes the moduli field with negative energy which will cancel the positive energy due to the minimized superpotential in ② in order to fine-tune the cosmological constant. Assuming squark mass $\sim 10 \text{TeV}$ (in order to predict Higgs mass 125 GeV) we get the spectrum below.

Sparticle (Super partner for SM particle)	Mass
Squark, Slepton	$\sim 10 \text{TeV}$
Gaugino	$\sim 3 \times 10^{-2} - 3 \times 10^{-1} \text{TeV}$
Gravitino	$\sim 3 \times 10^2 - 3 \times 10^3 \text{TeV}$

Reference: The Quantum Theory of Fields 3, S. Weinberg
Supersymmetry and Supergravity, Wess, Bagger

Study of the Painting Injection including the Space Charge Effect for the High-Intensity Proton Accelerator

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Abstract

For the hadron accelerator, the beam loss should be minimized because the beam loss causes the activation. In the high-intensity accelerator, the space charge force increases and causes the beam loss. Therefore, the Japan Proton Accelerator Research Complex (J-PARC) 3GeV rapid cycling synchrotron (RCS) is injected the beam with spreading the circulating beam size intentionally. This injection method is called "Painting Injection". In order to optimize this method furthermore, we are studying with considering the optical parameter moderation by the space charge force.

Introduction

J-PARC 3GeV Rapid Cycling Synchrotron (RCS)



Fig : Overview of J-PARC

<RCS Parameter>

Bunch : 2
Inj. Energy : 181 (400) MeV
Ext. Energy : 3 GeV
Repetition : 25 Hz
Power : 300 kw (1MW)

J-PARC comprises three accelerators and experimental facilities.

The accelerators play a role of source of the "high-intensity" proton beam.

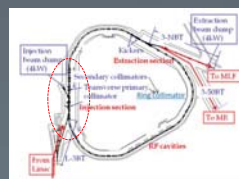


Fig : Overview of RCS

Incoherent tune spread due to the space charge effect

The particles go through while oscillating.

The number of oscillation of one turn $\rightarrow$ **Betatron Tune (ν_x, ν_y)**

An accelerator has the tune points where the oscillation amplitude increases immediately. $\rightarrow$ **Resonance Points (or Lines)**

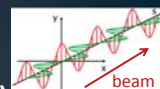


Fig : The schematic drawing of particle oscillation

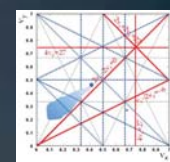


Fig : Tune diagram with the resonance lines of RCS

In the high-intensity accelerator...

A repulsive force due to the charge which each particle has (**Space charge force**) decreases the external convergent force.

The tune of each particle is decreased with each different decrement.

$\rightarrow$ **Incoherent Tune Spread (ν_{incoh} spread)**

we should suppress the ν_{incoh} spread in order to avoid the resonance lines !!

Injection Method of RCS

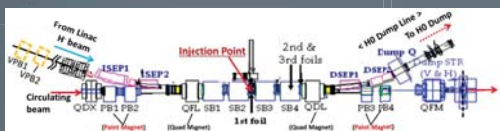


Fig : Injection area of RCS

To achieve "High-Intensity"...

• The beam is injected turn by turn dividing 235 pulses.

• The H⁻ beam is injected and exchanged to proton by charge-exchange foil at Injection point.

$\rightarrow$ **Multi-Turn Charge-Exchange Injection** has been adopted.

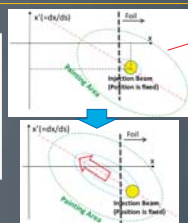
Painting Injection

During injection, we change the injection beam position and angle depending on time.

Horizontal



Fig : The schematic drawing of Painting Injection and phase space at 1st Foil



In the accelerator, the particles move along the phase space oval each turn.

If position and angle is moved as follow...

$$x, x' \propto \sqrt{\text{time}}$$

The Painting Area is filled with injection beam uniformly.

Vertical

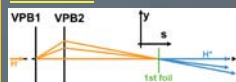
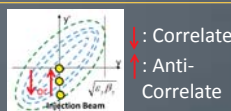


Fig : The schematic drawing of Painting injection and phase space at 1st Foil



• Correlate
• Anti-Correlate

The charge density is reduced and formed uniform.

$\rightarrow$ The ν_{incoh} spread is suppressed !

ϵ : Beam emittance (phase area size)

β : Twiss parameter (beam width)

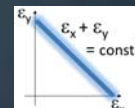


Fig : K-V distribution condition

If the distribution is ideal "**K-V distribution**", all particles receive same space charge force.

$\rightarrow$ ν_{incoh} doesn't spread (only shift) and **Anti-Correlate Painting Injection can make the K-V like distribution !!**

Painting Injection Modification

To realize K-V like distribution, 2 manipulations are applied as follow.

1. Applying y' offset



Fig : Anti-Corr. Painting injection for RCS

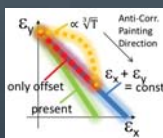
Painting Injection is performed to let Injection beam tail into same emittance area for Horizontal and Vertical.

But...

Because the slope of injection beam oval is opposite from the Ring, Anti-Correlate Painting run off the ideal K-V condition (present).

$\rightarrow$ **Add offset to y' (only offset)**

Fig : Anti-Corr. Painting Conditions



2. Time function change

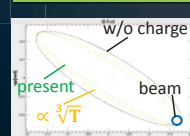


Fig : Horizontal Phase space Oval by Envelope Eq. calculation

When the space charge force is strong... Injection beam less spread than expected on the Horizontal phase space because the oval become narrow.

To reduce the change of the oval and spread injection beam... Modify the time function as follow ($\propto \sqrt[3]{t}$)

$x, x' \propto \sqrt[3]{\text{time}}$

$\rightarrow$ **Tune shift and ν_{incoh} spread is reduced !!**

Optical Parameter Moderation by the space charge force

Transverse motion including space charge force satisfy the "Envelope equation" regardless of the distribution approximately as follow...

$$\frac{d\tilde{x}'}{ds} + k(s)\tilde{x} = \frac{\epsilon_x^2}{\tilde{x}^3} + k_{sc} \frac{1}{\tilde{x} + \tilde{y}}$$

External convergent force by Magnets

Space charge force

This Equation indicate that...

The β is changed by the space charge force.

$\rightarrow$ **Phase space oval is changed.**

Particular, Horizontal Phase space oval become long and narrow in RCS.

$$\epsilon_{rms} = \sqrt{\langle x^2 \rangle \langle p_x^2 \rangle - \langle xp_x \rangle^2}$$

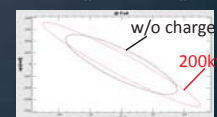


Fig : Horizontal Phase space Oval by Envelope Eq. calculation after Anti-Corr. Injection ($\epsilon = 100\pi$ mm mrad)

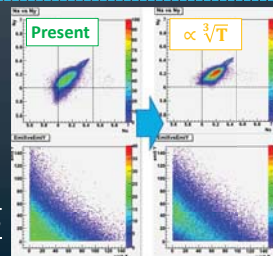


Fig : Tune diagram and emittance distribution after Painting Injection

Summary

We are studying for the Painting Injection including the space charge force in order to reduce the beam loss as possible.

It is expected that the tune shift and the ν_{incoh} spread could be reduced using existing system more than ever before.

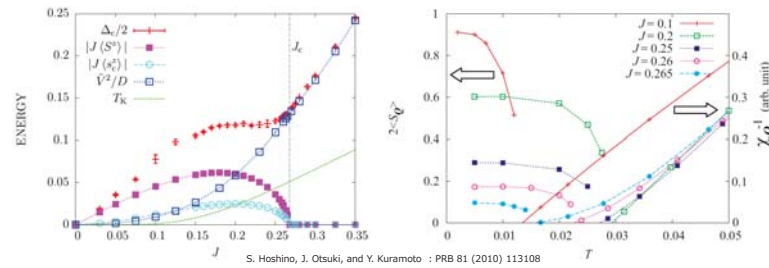
Anisotropic magnetic response in Kondo lattice with antiferromagnetic order

T. Kikuchi, S. Hoshino, Y. Kuramoto

Department of Physics, Tohoku University

Introduction

Kondo lattice model



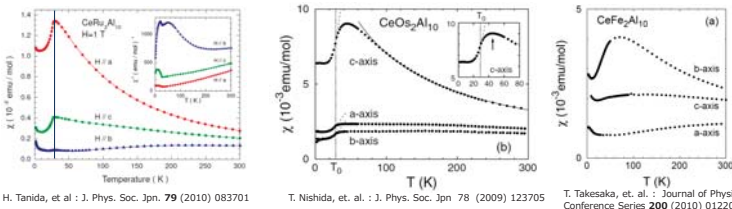
① $J \leq 0.10 \rightarrow$ Curie-Weiss law $\rightarrow$ mean field theory in Heisenberg model

② $J \geq 0.27 \rightarrow$ Kondo effect is dominant $\rightarrow$ Kondo insulator (no antiferromagnetic order)

$0.10 < J < 0.27 \rightarrow$ competition between RKKY interaction and Kondo effect

CeT₂Al₁₀

CeRu₂Al₁₀ $\rightarrow$ CeRu₂Al₁₀, CeOs₂Al₁₀, CeFe₂Al₁₀



- Antiferromagnetic (AF) order in CeRu₂Al₁₀, CeOs₂Al₁₀ at low temperature
- magnetic susceptibility decreases in all directions below the Neél temperature T_N in CeRu₂Al₁₀, CeOs₂Al₁₀

Purpose

Simple Heisenberg model cannot account for the decrease of susceptibilities in all directions.

We deal with the region ($J = 0.1 \sim 0.27$) where the AF order occurs under the strong influence of the Kondo effect.

Model

Calculation method for Kondo lattice model

- Kondo lattice model

$$\mathcal{H}_{\text{KL}} = \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + J \sum_i \mathbf{S}_i \cdot \mathbf{s}_{c,i}$$

We solve lattice model with the dynamical mean-field theory combined (DMFT)
 $\rightarrow$ We have to solve impurity model A. Georges, G. Kotliar, W. Krauth and M.J. Rozenberg, Rev. Mod. Phys. **68** (1996) 13.

$$\mathcal{H}_{\text{Kondo}} = \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + 2JS \cdot \mathbf{s}_c$$

We apply the continuous-time quantum Monte Carlo method (CT-QMC)
E. Gull, et al., Rev. Mod. Phys. **83** (2011) 349(56)

J expansion for transverse magnetization

- Kondo model

$$\mathcal{H}_{\text{Kondo}} = \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} + 2JS \cdot \mathbf{s}_c$$

Kondo model plus transverse magnetic field

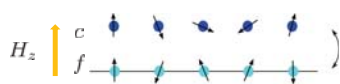
$$\mathcal{H}_0 = \sum_{k\sigma} \epsilon_{k\sigma} c_{k\sigma}^\dagger c_{k\sigma} - \sum_{\sigma} \frac{J_{\sigma\sigma}}{2} c_{\sigma\sigma}^\dagger c_{\sigma\sigma} + \sum_{k\sigma} \hbar_{k\sigma\bar{\sigma}} c_{k\sigma}^\dagger c_{k\bar{\sigma}}$$

$$\mathcal{H}_1 = \sum_{\sigma\sigma'} J_{\sigma\sigma'} X_{\sigma\sigma'} (c_{\sigma\sigma'}^\dagger c_{\sigma\sigma'} - \alpha \delta_{\sigma\sigma'}) + J\alpha$$

(Isotropic interaction)
 $J_{\uparrow\uparrow} = J_{\downarrow\downarrow} = J_{\uparrow\downarrow} = J_{\downarrow\uparrow}$

+ Zeeman term

$$\mathcal{H}_{\text{Zeeman}} = - \sum_{\sigma} H_z s_{\sigma\sigma} (c_{\sigma\sigma}^\dagger c_{\sigma\sigma} + X_{\sigma\sigma})$$



$$\sum_{k\sigma} \hbar_{k\sigma\bar{\sigma}} c_{k\sigma}^\dagger c_{k\bar{\sigma}}$$

energy for transverse mag

- Initial condition

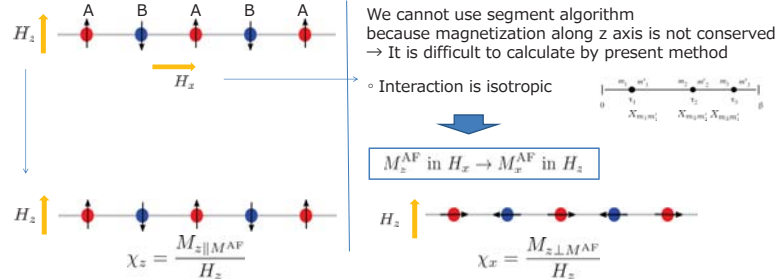
$$\hbar_{k\sigma\bar{\sigma}} = \hbar_{\text{constant}}$$

$$\sigma\bar{\sigma} = \uparrow\downarrow \text{ or } \downarrow\uparrow$$

- $\hbar_{k\sigma\bar{\sigma}}$ is determined by DMFT loop

Magnetic susceptibilities

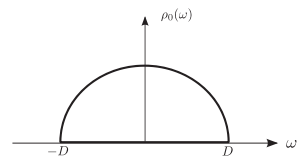
We calculate uniform longitudinal and transverse susceptibilities by applying magnetic field parallel and perpendicular to AF magnetization



Result

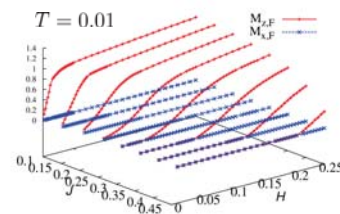
- Band width $D = 1$
- Filling $n_c = 1$

$$\rho_0(\epsilon) = \frac{2}{\pi D} \sqrt{1 - \left(\frac{\epsilon}{D}\right)^2} \theta(D - |\epsilon|)$$

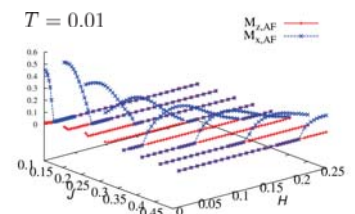


Field dependence of Magnetization

- Uniform magnetization

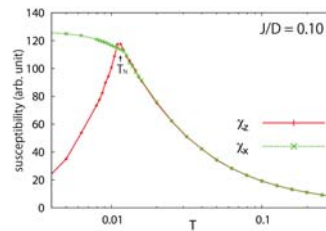


- Staggered magnetization

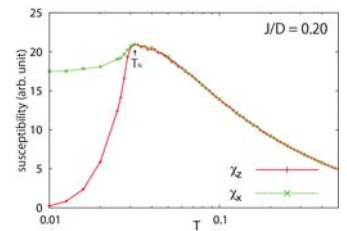


Susceptibilities

- $J = 0.10, H_z = 0.005$

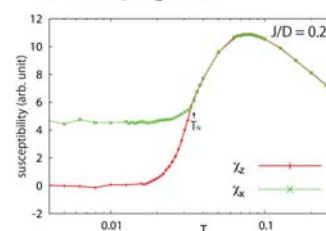


- $J = 0.20, H_z = 0.01$

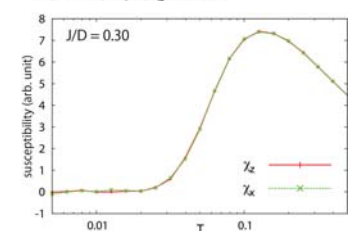


$\Leftrightarrow$ CeRu₂Al₁₀

- $J = 0.25, H_z = 0.01$



- $J = 0.30, H_z = 0.01$



$\Leftrightarrow$ CeOs₂Al₁₀

$\Leftrightarrow$ CeFe₂Al₁₀

Summary

Behavior of susceptibilities depend on the value of J

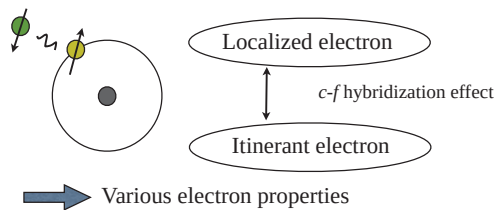
- $J = 0.10 \rightarrow$ mean field theory in Heisenberg model (RKKY interaction)
- $J = 0.20 \rightarrow$ both longitudinal and transverse susceptibilities decrease below T_N $\rightarrow$ CeRu₂Al₁₀
- $J = 0.25 \rightarrow$ both longitudinal and transverse susceptibilities start to decrease above T_N $\rightarrow$ CeOs₂Al₁₀
- $J = 0.30 \rightarrow$ Kondo insulator without magnetic order $\rightarrow$ CeFe₂Al₁₀

Neutron scattering study on f electron states of Pr-based compounds

H. Kobayashi, K. Iwasa, ¹K. Tomiyasu, ²N. Nagasawa, ²K. T. Matsumoto, ²T. Onimaru, ²T. Takabatake, ³S. Kawamura, ³T. Kikuchi, ³K. Nakajima
Dept. of Phys. Tohoku Univ., ¹Center for the Advancement of Higher Education, Tohoku Univ., ²AdSM Hiroshima Univ., ³MLF Division, J-PARC Center, JAEA

Introduction

Strong correlated f electron system



- Kondo semiconductor
- Valence fluctuation
- Non BCS-type superconductivity
- Heavy electron system
- **Multipolar ordering**

$\text{PrT}_2\text{Zn}_{20}$ are remarkable compounds because of low- T properties due to degrees of freedom.

Objective

The aim of this study is to investigate the f electronic states of $\text{PrT}_2\text{Zn}_{20}$ ($T = \text{Ru, Rh and Ir}$) by using neutron scattering experiments.

Experiments

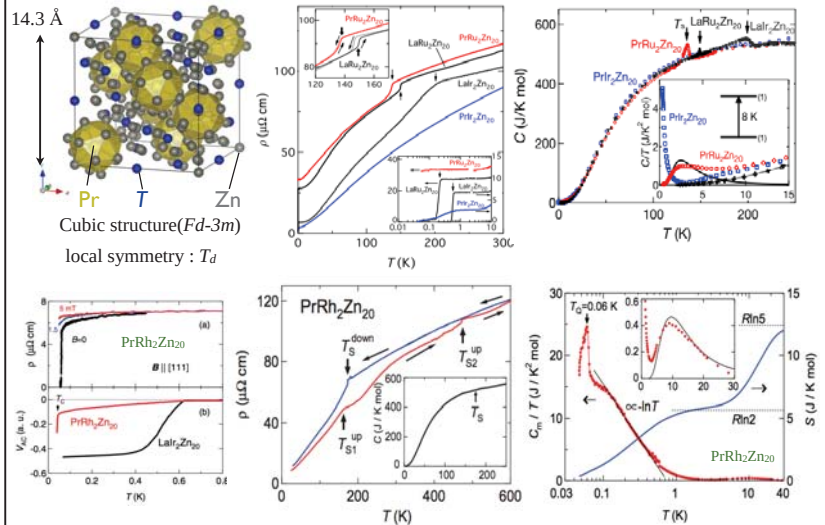
Samples (AdSM Hiroshima Univ.)

Neutron scattering measurements

- $\text{PrT}_2\text{Zn}_{20}$ polycrystal by zinc self-flux method.

- TOPAN 6G, JRR-3, JAEA
- BL-14, J-PARC, JAEA

Properties of $\text{PrT}_2\text{Zn}_{20}$ ($T = \text{Ru, Rh and Ir}$)



$\text{PrIr}_2\text{Zn}_{20}$: Superconductivity ($T_c < 0.05$ K), quadrupolar ordering ($T_Q = 0.11$ K)[1,2]

$\text{PrRu}_2\text{Zn}_{20}$: No anomaly in low temperature. Structural transition ($T_S = 138$ K) [1]

$\text{PrRh}_2\text{Zn}_{20}$: Superconductivity ($T_c = 0.06$ K), structural transition ($T_S = 140$ K), quadrupolar ordering ($T_Q = 0.06$ K), heavy-electron-like behavior[3]

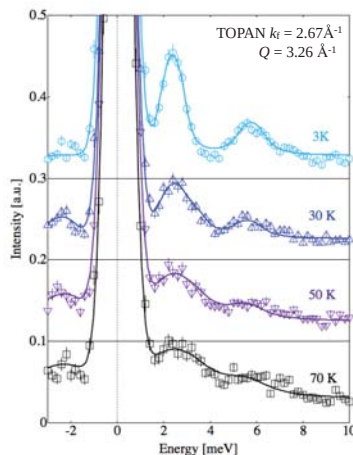
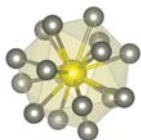
Results & Analysis

$\text{PrIr}_2\text{Zn}_{20}$

Γ_5 (5.80 meV)
 Γ_1 (5.67 meV)

Γ_4 (2.36 meV)

Γ_3 (0)



- The spectra composed of sharp peaks → Crystal field (CF) excitation
The f electrons are well localized.
- CF ground state is Γ_3 doublet
The f electrons have a quadrupolar degree of freedom.

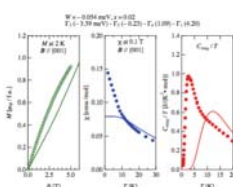
$\text{PrRu}_2\text{Zn}_{20}$

Γ_1 (7.59 meV)

Γ_4 (4.48 meV)

Γ_5 (3.16 meV)

Γ_3 (0)



- Well localized f electrons
- The spectra seems to be consistent with T_d symmetry, but it does not reproduce M , χ and C/T .

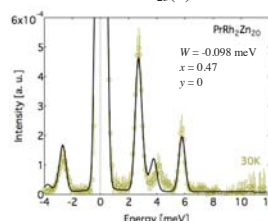
$\text{PrRh}_2\text{Zn}_{20}$

Γ_1 (6.77 meV)

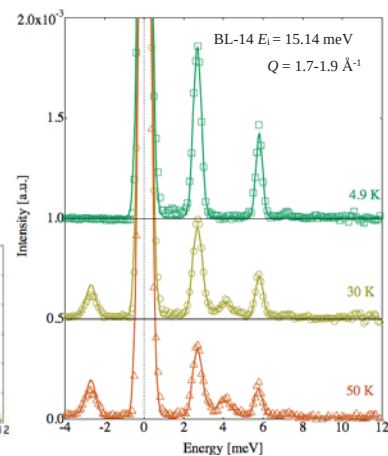
$\Gamma_4^{(2)}$ (5.78 meV)

$\Gamma_4^{(1)}$ (3.48 meV)

Γ_{23} (0)



- CF ground state is Γ_3 doublet
- Pr-site local symmetry becomes lower on the structural transformation ($T_d \rightarrow T$)



Discussions

- Because $\text{PrRu}_2\text{Zn}_{20}$ undergoes structural transition, the CF model analysis with T_d symmetry is not correct. We need a detailed analysis for whole understanding of the data.
- $\text{PrT}_2\text{Zn}_{20}$ ($T = \text{Ru, Rh, Ir}$) investigated in this study show clear CF excitations. We did not observe any significant broadening of excitations or quasielastic scattering. PrInAg_2 , which shows a large Sommerfeld coefficient $6.5 \text{ J}/(\text{mol.K})^2$, was confirmed to exhibit the well-defined excitation peaks between CF splitting levels with Γ_3 doublet ground state[4]. The strong correlation between f and conduction electrons in three compounds does not give renormalization effect on the magnetic excitation spectra, in contrast to the conventional Kondo-effect systems.

Summary

- In this study, we investigated that $\text{PrT}_2\text{Zn}_{20}$ ($T = \text{Ru, Rh and Ir}$) show clear CF excitations. The ground states are Γ_3 doublet which have a quadrupolar degree of freedom.
- The local symmetries of Pr-ion site in the three compounds are different from each other. Details of crystal structures in the low- T phases should be determined.

[1]T. Onimaru *et al.*: J. Phys. Soc. Jpn. **79** (2010) 033704. [2]T. Onimaru *et al.*: J. Phys. Rev. Lett. **106** (2011) 177001.
[3]T. Onimaru *et al.*: Phys. Rev. B **86** (2012) 184426. [4]T. M. Kelly *et al.*: Phys. Rev. B **61** (2000) 1831.

Peccei-Quinn invariant extension of the NMSSM with a Higgs mass of 125GeV

Kwang Sik Jeong, Yutaro Shoji, Masahiro Yamaguchi

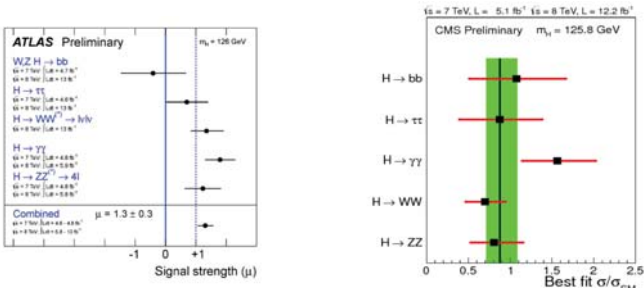
Department of Physics, Tohoku University

Introduction

The discovery of a new boson

A new boson was discovered at the Large Hadron Collider (LHC) in July 2012; a neutral spin0 (or spin2) boson with a mass around 125GeV. It can be the long-sought last piece of the standard model (SM) of particle physics, the Higgs boson. If it is true, it should be closely tied to a mechanism of generating the masses of the elementary particles, which would be forbidden by the underlying symmetries. So far the data obtained at the LHC are consistent with the SM Higgs boson.

However, the Higgs field is just a emergency tire for the SM and detailed research in the future may reveal what are really responsible for the mass generation, or the spontaneous symmetry breaking.



Supersymmetry

Concepts

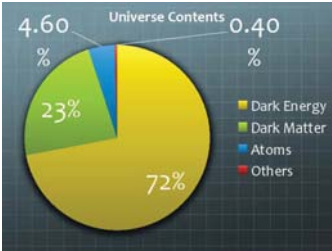
The supersymmetry is a symmetry relating fermions and bosons. Extending the SM with it enables us to address some mysteries in the SM.

Gauge hierarchy problem

Being a spin0 field, the Higgs field is very sensitive to a more fundamental theory through quantum corrections. This implies that the masses of the gauge bosons would be of the same order as the fundamental scale. However, since the gauge bosons have masses around 100GeV, whereas the gravitational scale is around 10¹⁸GeV, the theory seems highly unnatural. This is so-called the gauge hierarchy problem.

Since quantum corrections from fermions and bosons are the same in magnitude but different in sign, the supersymmetry can make them cancel out and solves the problem.

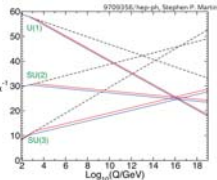
Dark matter



Many astronomical observations such as rotational speeds of galaxies and gravitational lensing effects indicate that there should be a large amount of matter in the universe that cannot be accounted for only by the visible well-known matters. A supersymmetric SM often contains a stable neutral particle and it has a potential to explain the dark matter.

Gauge unification

The gauge coupling constants of the three fundamental interactions depend on the scale where they are observed. If it is true that these interactions have the same origin, these coupling constants should meet at a certain high scale. In the SM, they fail to though they approach each other.



On the other hand, in a supersymmetric SM, the match becomes much more accurate. Thus a grand unified theory prefers the supersymmetry.

Higgs mass

The minimal supersymmetric standard model (MSSM), which is the simplest extension of the SM, predicts the Higgs mass to be around 100GeV. In this sense, the MSSM seems to be favored by the experiment. However, a close investigation reveals that 125GeV is slightly heavy and we need to fine-tune the parameters.

PQ-NMSSM

Philosophy

Higgs mass

A supersymmetric model need not be minimal and can contain another gauge singlet pair. Such a model is called the next-to-MSSM (NMSSM) and is favored also by a theoretical point of view. It has additional contributions to the Higgs mass and alleviates the problem.

Tadpole problem

Since the singlet is not forbidden to couple to heavy fields in a more fundamental theory, it would bring the energy scale of a fundamental theory into the model. This problem, called the tadpole problem, is overcome by imposing a symmetry. One of the most economical way is to assign the singlet a charge of the Peccei-Quinn symmetry, which is originally introduced to solve the strong CP problem.

Constraints

Z boson invisible decay

Since the Peccei-Quinn symmetry forbids the mass term of the singlino, the fermionic component of the singlet, the lightest supersymmetric particle (LSP) becomes very light. This enables the Z boson to decay into a pair of singlinos. However, the Z boson invisible decay is highly constrained by the LEP experiments.

Higgs boson invisible decay

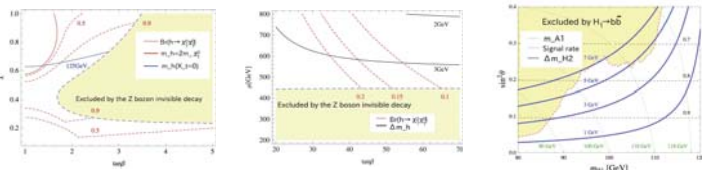
Also the Higgs boson can decay into a pair of singlinos but since we've observed its signal, its decay mode should not dominate.

χ₁⁰χ₂⁰ production

The process that the LSP and the next-to-LSP (NLSP) are produced in a e⁻e⁺ collider and the NLSP decays into the LSP and the Z boson is constrained by the LEP experiments.

Results

We've investigated three possible regions and found the 125GeV mass can be naturally explained in this model evading all the experimental constraints.



[1] Kwang Sik Jeong, Yutaro Shoji, and Masahiro Yamaguchi, "Peccei-Quinn invariant extension of the NMSSM", JHEP, 1204, 022, 2012

[2] Kwang Sik Jeong, Yutaro Shoji, and Masahiro Yamaguchi, "Singlet-doublet Higgs mixing and its implications on the Higgs mass in the PQ-NMSSM", JHEP, 1209, 007, 2012



Electron Correlation Induced Spontaneous Symmetry Breaking in a Strongly Spin-Orbit Coupled System

Akihiko Sekine and Kentaro Nomura, *Institute for Materials Research, Tohoku University*

INTRODUCTION

◆ Three-dimensional (3D) Topological Insulators

= bulk energy gap + gapless surface states

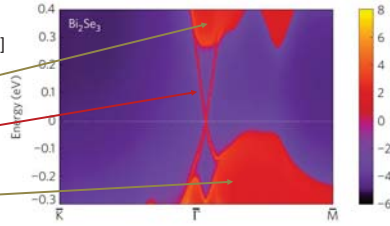
Bi_2Se_3 (most famous 3D TI)

[H. Zhang *et al.*, Nat. Phys. 5 (2009)]

Bulk conduction band

Surface band

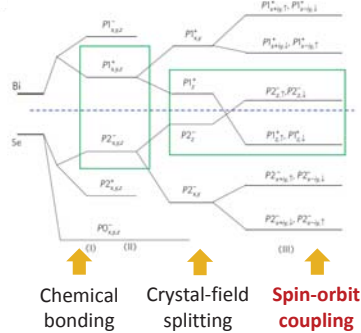
Bulk valence band



Surface states : two-component massless Dirac fermions

Bulk states : four-component massive Dirac fermions

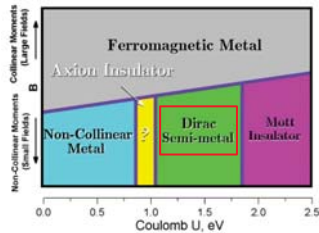
Strong spin-orbit coupling is the key to realize a topologically nontrivial phase.



◆ Weyl Semimetals (Topological Semimetals)

= Three-dimensional analog of graphene

= predicted in pyrochlore iridates (such as $\text{Y}_2\text{Ir}_2\text{O}_7$)



[X. Wan, A. Turner, A. Vishwanath & S. Savrasov, PRB 83 (2011)]

A novel phase induced by the interplay of spin-orbit coupling and electron correlation.

PURPOSE

◆ We investigate the effect of electron correlation in a 3D Dirac fermion system which describes a topological phase.

◆ We search for novel phases induced by the interplay of spin-orbit coupling and electron correlation.

MODEL

◆ Effective Model for 3D Topological Insulators

$$\mathcal{H}_0(\mathbf{k}) = \sum_{\mu=1}^4 R_{\mu}(\mathbf{k}) \cdot \alpha_{\mu} \quad \begin{cases} R_j(\mathbf{k}) = \sin k_j, \\ R_4(\mathbf{k}) = m_0 + r \sum_j (1 - \cos k_j) \end{cases}$$

$$\alpha_j = \begin{bmatrix} 0 & \sigma_j \\ \sigma_j & 0 \end{bmatrix}, \quad \alpha_4 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad \alpha_5 = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

= Dirac fermion on a lattice (called the Wilson fermion)

Z_2 invariant of the system [L. Fu, C. L. Kane & E. J. Mele, PRL 98 (2007)]

$$(-1)^{\nu} = \prod_{i=1}^8 \{ -\text{sgn} [R_4(\Lambda_i)] \} \quad \text{topologically nontrivial} \\ = \begin{cases} -1 & (0 > m_0 > -2r \text{ or } -4r > m_0 > -6r) \\ +1 & (\text{others}) : \text{trivial} \end{cases}$$

◆ Coulomb Interaction between the bulk electrons

$$H_{\text{int}} = \frac{V}{2N} \sum_{\mathbf{k}, \mathbf{k}', \mathbf{q}} \sum_{\alpha, \beta} c_{\mathbf{k}+\mathbf{q}, \alpha}^{\dagger} c_{\mathbf{k}', -\mathbf{q}, \beta}^{\dagger} c_{\mathbf{k}', \beta} c_{\mathbf{k}, \alpha}$$

$\alpha, \beta = (A, \uparrow), (A, \downarrow), (B, \uparrow) \text{ or } (B, \downarrow)$ (a component of spinor)

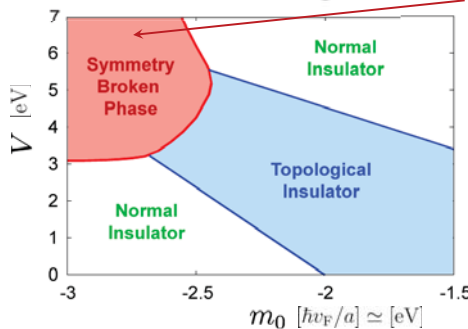
SUMMARY

◆ Spontaneous time-reversal symmetry and inversion symmetry breaking is induced by electron correlation.

◆ This phase is considered to be the condensed-matter analog of the corresponding phase in the lattice QCD.

RESULTS

◆ Ground State Phase Diagram



$\Delta_5 \neq 0$

The matrix α_5 breaks both time-reversal and inversion symmetries :

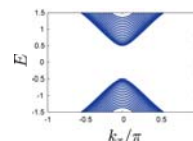
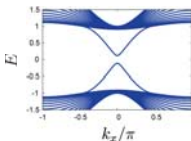
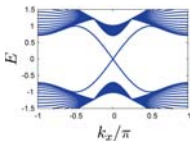
$$\begin{cases} \mathcal{T} \alpha_5 \mathcal{T}^{-1} = -\alpha_5, \\ \mathcal{I} \alpha_5 \mathcal{I}^{-1} = -\alpha_5 \end{cases}$$



Electron correlation induces spontaneous symmetry breaking.

TI Phase ($m_0 = -2.5$)

Symmetry Broken Phase ($m_0 = -2.5$)



Mean-field approximation

$$H_{\text{int}}^{\text{MF}} = \frac{V}{2N} \sum_{\mathbf{k}, \mathbf{k}'} \sum_{\alpha, \beta} [c_{\mathbf{k}\alpha}^{\dagger} c_{\mathbf{k}\beta} c_{\mathbf{k}'\beta}^{\dagger} c_{\mathbf{k}'\alpha} - 2(c_{\mathbf{k}\alpha}^{\dagger} c_{\mathbf{k}\beta} c_{\mathbf{k}'\beta}^{\dagger} c_{\mathbf{k}'\alpha})] \quad (\text{Fock term})$$

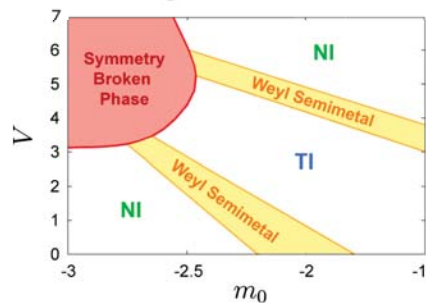
"Gap Equation"

$$\hat{\Delta}_{\alpha\beta} \equiv \frac{1}{N} \sum_{\mathbf{k}} \langle c_{\mathbf{k}\alpha}^{\dagger} c_{\mathbf{k}\beta} \rangle = (\Delta_4 \alpha_4 + \Delta_5 \alpha_5)_{\alpha\beta} \quad \{ \alpha_{\mu}, \alpha_{\nu} \} = 2\delta_{\mu\nu}$$

Δ_4 : Chiral (scalar mode) condensate
 Δ_5 : Pion (pseudoscalar mode) condensate

Analogy with lattice QCD

◆ Phase Diagram with a Perturbation Term



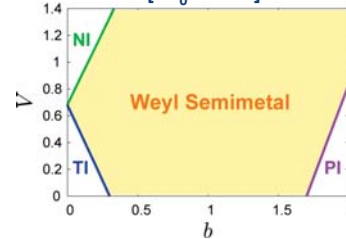
$$\mathcal{H}_{\text{imp}}(\mathbf{k}) = b \begin{bmatrix} \sigma_z & 0 \\ 0 & \sigma_z \end{bmatrix}$$

[A. Burkov, M. Hook & L. Balents, PRB 84 (2011)]

magnetic impurities (or an external magnetic field along z-axis)

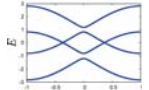
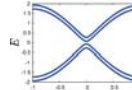
Symmetry broken phase is robust against perturbation.

[$m_0 = -0.3$]



TI Phase

WSM Phase



PI : Polarized Insulator

The ground state of the system is obtained as the stationary point of the free energy :

$$\frac{\partial F(\Delta_4, \Delta_5)}{\partial \Delta_4} = \frac{\partial F(\Delta_4, \Delta_5)}{\partial \Delta_5} = 0 \quad \text{where} \quad F(\Delta_4, \Delta_5) = 2V (\Delta_4^2 + \Delta_5^2) - \frac{2}{N} \sum_{\mathbf{k}} E_{\mathbf{k}}$$

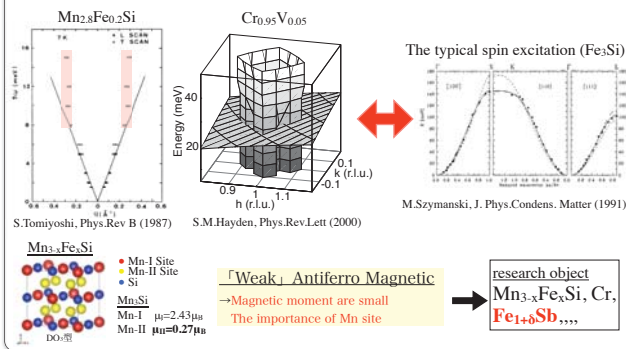
$$E_{\mathbf{k}} = \sqrt{\sum_j \sin^2 k_j + [m_0 - V\Delta_4 + r \sum_j (1 - \cos k_j)]^2 + (V\Delta_5)^2}$$

The roles of excess Fe for magnetic in antiferromagnetic metal $\text{Fe}_{1+\delta}\text{Sb}$

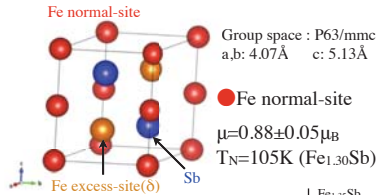
Phys. Dept. Tohoku Univ.^A, IMR. Tohoku Univ.^B, IMSS KEK^C.S-C. Choi^A, H. Hiraka^B, K. Ohoyama^B, Y. Yamaguchi^B, S. Nara^A, K. Iwasa^A, K. Yamada^C

Scientific Background

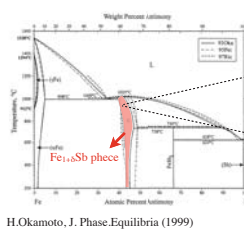
magnetic excitations with anomalously steep dispersions

 $\text{Fe}_{1+\delta}\text{Sb}$

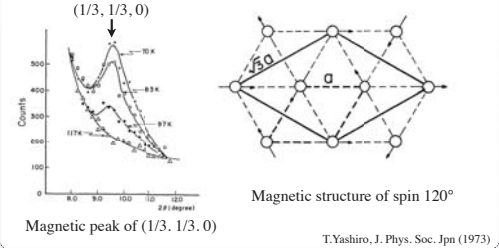
Crystal Structure (Hexagonal)



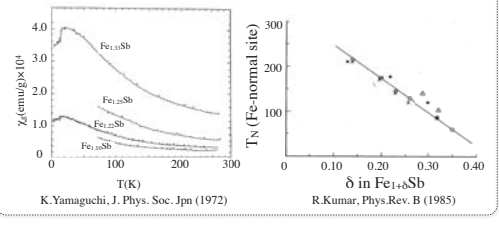
Fe-Sb



Neutron diffraction



Susceptibility



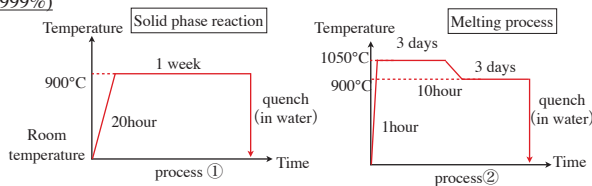
Research purpose

In our research, we aim to clarify the relationship between bulk properties and spin dynamics in itinerant-electron systems. In typical antiferromagnetic 3d metals, Cr, $\text{FeTe}_{1-x}\text{Se}_x$, $\text{Mn}_{2-x}\text{Fe}_x\text{Si}$, similar magnetic excitations with anomalously steep dispersions are observed. We expect that the anomaly in magnetic excitation must be a common property in antiferromagnetic 3d metals. To confirm the universality, we investigate magnetism of $\text{Fe}_{1+\delta}\text{Sb}$ as the other target in the antiferromagnetic 3d metals. In this study, we aim at clarifying microscopic magnetic properties in this antiferromagnetic metal $\text{Fe}_{1+\delta}\text{Sb}$ by neutron diffraction. The purpose of the high-purity sample preparation for this purpose

Specimen preparation

Material : Fe(99.9%), Sb(99.9999%)

Encapsulate the Ar-gas to unclarity silica tube

Speed up quench $\rightarrow$ We expect high purity powder

Sample creation

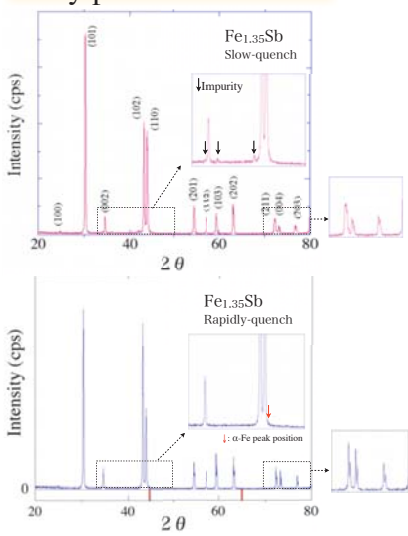
	Vacuum-encapsulate	Ar-encapsulate
$\text{Fe}_{1.40}\text{Sb}$	process ① $\rightarrow$ ①	process ① $\rightarrow$ ①
$\text{Fe}_{1.35}\text{Sb}$	process ① $\rightarrow$ ②	process ① $\rightarrow$ ①
$\text{Fe}_{1.30}\text{Sb}$	process ①	process ① $\rightarrow$ ①
$\text{Fe}_{1.25}\text{Sb}$	process ①	
quench-time	few minutes	few seconds



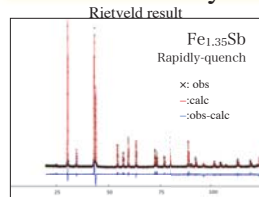
Bridgman furnace photo

Measurement result

X-ray powder diffraction

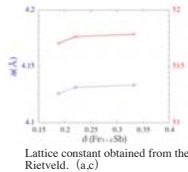


Rietveld analyze

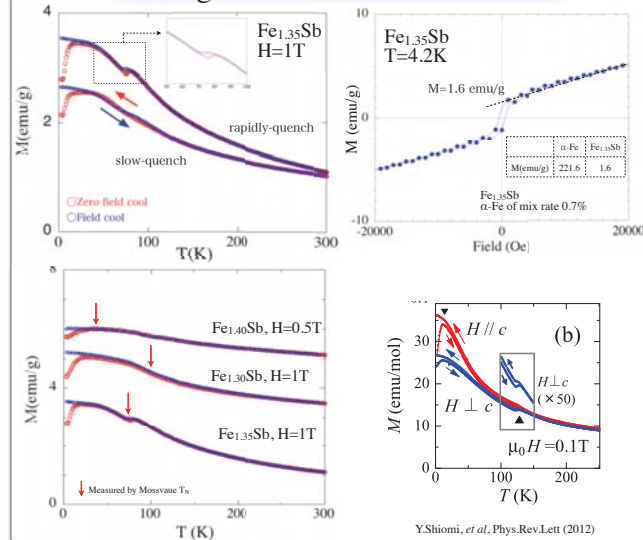


Weighing	excess-Fe occupancy g	Sb occupancy g	a	c
$\text{Fe}_{1.30}\text{Sb}$	0.22(1)	0.92(1)	4.1316(1)	5.1764(1)
$\text{Fe}_{1.35}\text{Sb}$	0.19(1)	0.88(1)	4.12586(7)	5.17047(6)
$\text{Fe}_{1.40}\text{Sb}$	0.33(1)	0.91(1)	4.1336(1)	5.1787(1)

Lattice constant

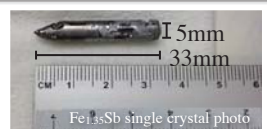


Magnetization measurement



Summary

- By the rapid quench, we can see a clear anomaly in the vicinity of the T_N , we have succeeded in creating the sample.
- We have successfully fabricated sample to less than 3% of the precipitation Fe
- We confirmed the shift in the composition. Thus, there is a need for a composition assessment.



In this presentation has not been said, we have successfully growing up single crystal.



Yusuke TSUCHIKAWA for the FOREST Collaboration

Research Center for Electron Photon Science (ELPH), Tohoku University

Baryon spectroscopy

The mass spectra of baryons: an important testing ground for understanding low energy QCD.

A constituent quark model, describing baryons with three valence quarks, well reproduces the properties of the ground state baryons.

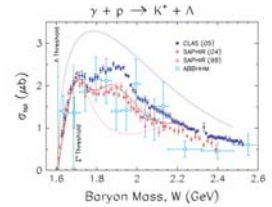
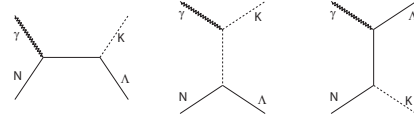
- Yet...
- Molecule-like structure of $\Lambda(1405)$
 - A mass-order-reverse problem (between $N(1535)S_{11}$ and $N(1440)P_{11}$)
 - Exotic hadrons: $\Theta^+(1530)$ pentaquark baryon
- A new effective degree of freedom emerges.

Why $K^0 \Lambda$?

Recent years, the study of the $\gamma n \rightarrow K^0 \Lambda$ reaction has started. Baryon resonances

are expected to contribute to the reaction other than those to the $\gamma p \rightarrow K^+ \Lambda$.

Because both of the 2 particles in the final state are neutral, the kaon exchange and nucleon pole terms are strongly suppressed. Thus, the baryon resonance contribution in the $\gamma n \rightarrow K^0 \Lambda$ is relatively much larger.



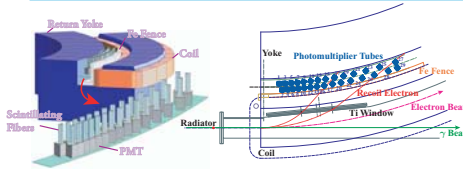
R. Bradford et al., Phys. Rev. C Vol.73, 035202 (2006).

Photon beam

We use **bremsstrahlung photons** which are generated by inserting a carbon fiber to 1.2 GeV circulating electrons in a synchrotron ring. The energy E_γ of the generated photons are determined by detecting recoil electrons.

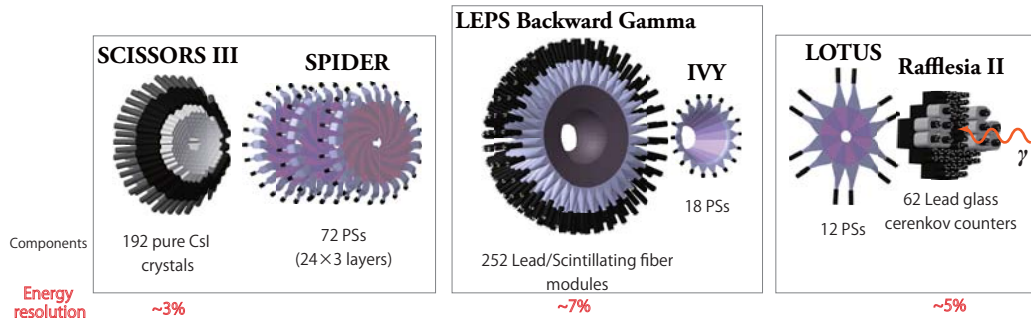
$E_\gamma = 750 \sim 1150$ MeV for 1.2 GeV electrons

Tagging counter rate: ~ 20 MHz



FOREST

FOREST consists of three calorimeters, which cover a **solid angle of 90 %** in total so as to detect most of particles generated in the final state. A plastic scintillator (PS) hodoscope is placed in front of each calorimeter to identify the charge of detected particles.



Analysis of the $\gamma n \rightarrow K^0 \Lambda$

a) Event selection

The $\gamma n \rightarrow K^0 \Lambda \rightarrow \pi^0 \pi^0 p \pi^- \rightarrow 4\gamma p \pi^-$ events are selected by the following conditions:

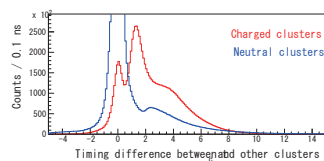
1) 4 neutral clusters are required.

The most probable pair of 2 gammas which have one's origin in the same π^0 , are selected to minimize a following chi square

$$\chi^2 = \frac{(M(\gamma_1, \gamma_2) - m_{\pi^0})^2}{\sigma^2} + \frac{(M(\gamma_3, \gamma_4) - m_{\pi^0})^2}{\sigma^2}$$

Then the timing criterion t_c of each event is set as 4 γ average timing and the timing window is set as $[-5, 15]$ ns from t_c .

2) 2 hits on the PS hodoscopes and no another neutral clusters in the timing window.



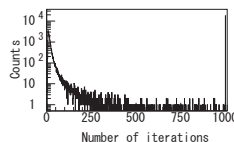
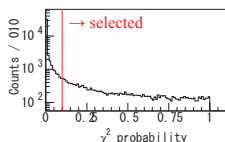
3) Kinematical fitting

The measured values of 4 gammas' are kinematical fitted to satisfy the following conditions:

$$M^2(\gamma_1, \gamma_2) \equiv 2E_1 E_2 (1 - \sin \theta_1 \sin \theta_2 \cos(\varphi_1 - \varphi_2) - \cos \theta_1 \cos \theta_2) = m_{\pi^0}^2$$

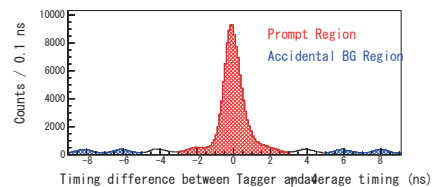
$$M^2(\gamma_3, \gamma_4) \equiv 2E_3 E_4 (1 - \sin \theta_3 \sin \theta_4 \cos(\varphi_3 - \varphi_4) - \cos \theta_3 \cos \theta_4) = m_{\pi^0}^2$$

$$M_X^2(\gamma_1, \gamma_2, \gamma_3, \gamma_4) \equiv E_X^2 - P_X^2 = m_\Lambda^2$$



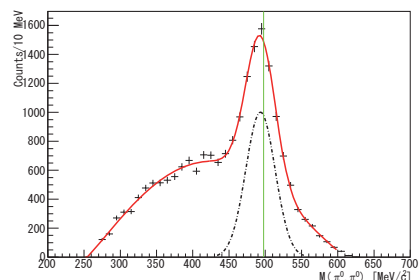
b) Background subtraction

The data include many accidental coincidence events since the tagging rate is high. They are subtracted by using the sideband background events which are indicated in the figure below of the timing difference between 4 γ average timing and tagging counters.



c) Result

The $\pi^0 \pi^0$ invariant mass distribution is obtained for $911 < E_\gamma < 1150$ MeV.



The K^0 peak is clearly observed for $E_\gamma > 911$ MeV.

We have about 5,000 K^0 events in this point.

Development of laser-cooled Fr atom source for the electron Electric Dipole Moment search



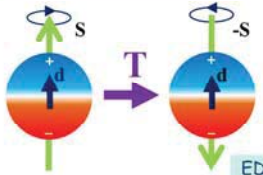
Tomohiro HAYAMIZU*

Department of Physics, Cyclotron and Radioisotope Center (CYRIC)
Tohoku University, Sendai, Miyagi, Japan
*hayamizu@cyric.tohoku.ac.jp



(Abstract) A permanent electric dipole moment (EDM) in an elementary particle indicates the violation of the time-reversal (T) symmetry violation, related to the baryon asymmetry in our universe. Since an heavy alkaline atom, Francium (Fr, Z=87) has a large sensitivity to electron EDM, we have developed laser-cooled Fr source which applied laser-cooling and trapping techniques and a nuclear fusion reaction $^{197}\text{Au} (^{18}\text{O}, \text{xn}) ^{215}\text{xFr}$ with an AVF cyclotron in CYRIC. We built an Fr ion beam line and transport and neutralized Fr ions to the end of it. We shows the present status of these Fr source.

Electric Dipole Moment (EDM)

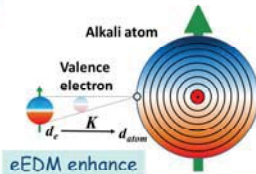


Permanent EDM in an elementary particle
= T violation
= CP violations (via CPT conservation)
⇒ Baryon asymmetry in our universe
(via Sakharov conditions)

EDM and T-violation

Electron EDM d_e in an paramagnetic atom
⇒ enhanced to atomic EDM d_{atom}

$$\text{Enhancement factor } K = \frac{d_{atom}}{d_e} \propto Z^3 \alpha^2$$



Atom	Z	Enhance d_e^{*1}
Rb	37	26
Cs	55	121
Tl	81	466
Fr	87	895

*1: Nataraj PRL 101 (08) PRL 106 (11), Mukherjee J. Phys. Chem. A 113 (09)

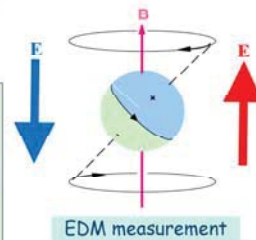
Why Francium?

1. Large enhancement factor d_{atom}/d_e
2. Availability of laser-cooling (718 nm)

EDM search: Apply field B and E to atoms and measure its Larmor frequency

$$\text{EDM Error } \delta d_e = \frac{\hbar F}{e} \cdot \frac{1}{K} \cdot \frac{1}{E} \cdot \frac{1}{\tau} \cdot \frac{1}{\sqrt{N \cdot m}}$$

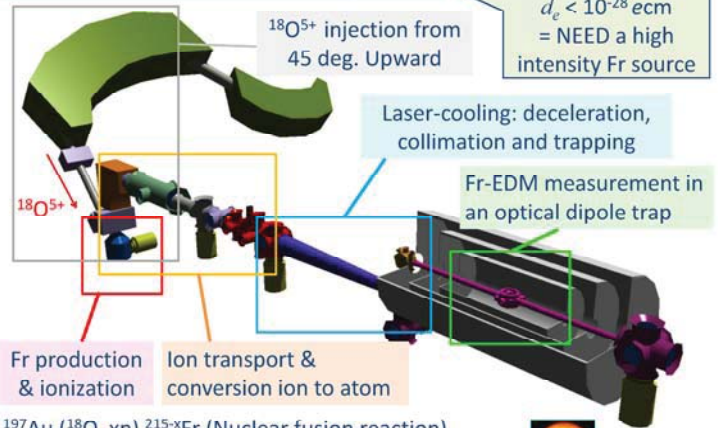
For $d_e < 10^{-28}$ ecm (^{210}Fr , Grand state $F=13/2$)
K : Enhancement d_{atom}/d_e = 895 (Fr)
E : External electric field >100 kV/cm
 τ : Interaction time ~1 sec (Optical trap)
N : Number of atoms > 10^6
m : Measurement time > 10^3



EDM measurement

Francium for EDM

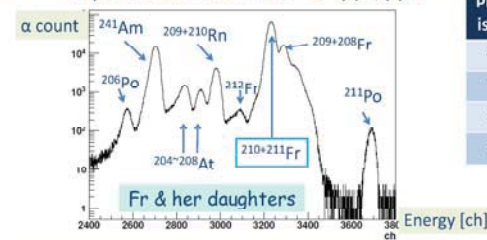
Laser-cooled Fr source for e-EDM @ CYRIC



Challenge
 $d_e < 10^{-28}$ ecm
= NEED a high intensity Fr source

$^{197}\text{Au} (^{18}\text{O}, \text{xn}) ^{215}\text{xFr}$ (Nuclear fusion reaction)

$^{18}\text{O}^{5+}$ beam ($E_{180} = 100$ MeV) + $\phi 12.5$ mm Au target
 $^{210+211}\text{Fr}$ production rate : 3.5×10^7 pps/ μA^{*2}

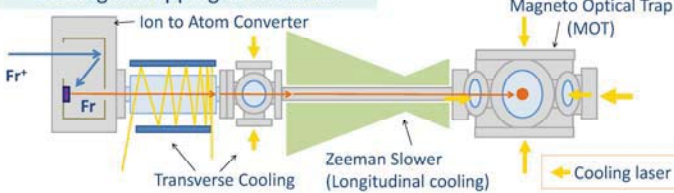


Product isotope	lifetime	Decay- α (MeV)
^{210}Fr	3.18 m	6.545
^{211}Fr	3.10 m	6.437
^{209}Fr	50.1 s	6.646
^{208}Fr	59.1 s	6.636

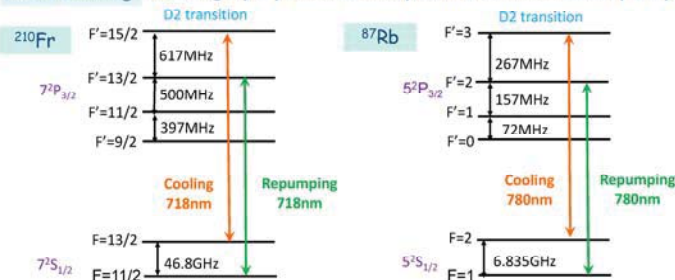
*2: Calculation using Corradi PRC 71 (05) & SRIM data

Cooled Fr atom source

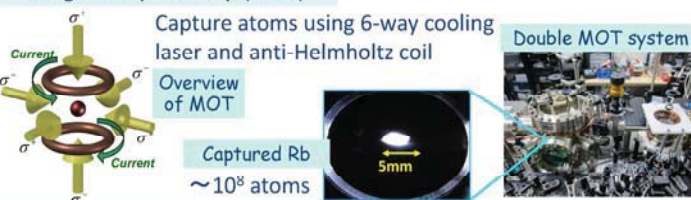
Cooling & Trapping to Fr atoms



Laser cooling Cooling by a photon absorption & emission every 20 μs

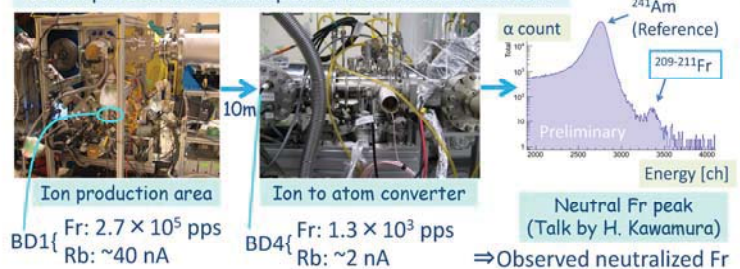


Magneto-optical trap (MOT)



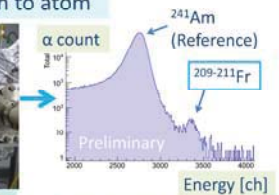
Present status

Fr ion production & transport and conversion to atom



Fr: 2.7×10^5 pps
Rb: ~40 nA

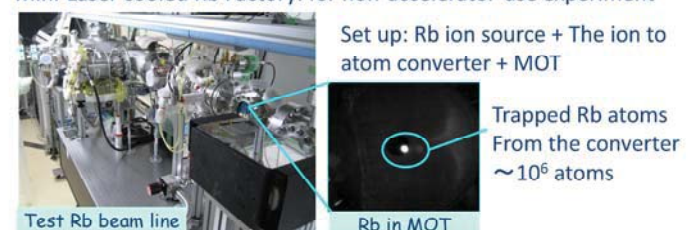
Fr: 1.3×10^3 pps
Rb: ~2 nA



Neutral Fr peak (Talk by H. Kawamura)
⇒ Observed neutralized Fr

Trapping Rb atom converted from ion

Mini-Laser cooled Rb Factory: for non-accelerator-use experiment



(Summary) We have developed laser-cooled Fr source for the electron EDM search. We transported Fr^+ to the ion to atom converter and observed neutral Fr atoms from it. As next steps, we will improve each apparatuses to confirm Fr trap in the MOT. Also we start to develop of the EDM measurement system in the optical dipole trap.

Surface phonon dispersion on the hydrogen-terminated Si(110)-(1×1) surface

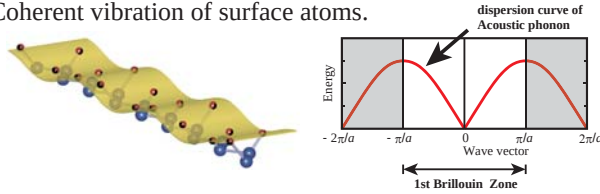
Stephane Yu Matsushita

Department of Physics, Tohoku University

Introduction

What is the surface phonon?

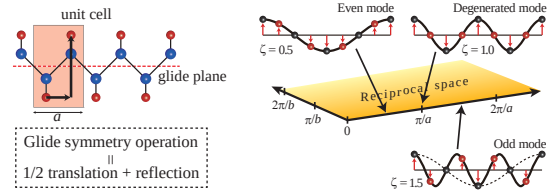
Coherent vibration of surface atoms.



In the reduced zone scheme, it is able to select the wave vector of phonon in "1st Brillouin zone".

There is no systematic investigation on the role of the glide plane.

Selection rules due to the "glide symmetry operation"

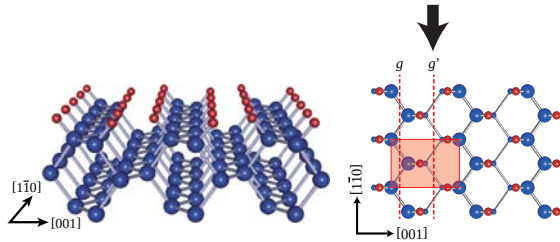


Restrict phonons to the even/odd order zone.

K.C. Prince, J. Electron Spectrosc. Relat. Phenom. 42 (1987) 217.

H:Si(110)-(1×1) surface

- Two glide planes
- Stable structure



Aim

- Prepare a clean, well-ordered H:Si(110)-(1×1) surface.
- Measure the surface phonon dispersion.
- Clarify the role of the glide plane.

Experimental details

Sample preparation

- Wafer : n-type Si(110)
- Etching solution : $\text{NH}_4\text{F}(40\%) + (\text{NH}_4)_2\text{SO}_3(1\%)$
- Etching time : 10 min.

LEED measurements

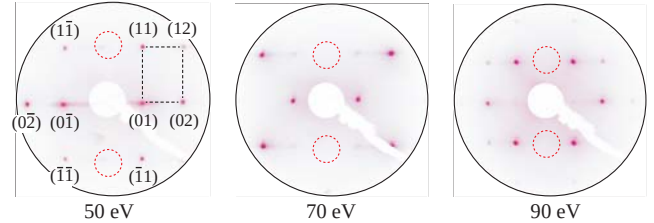
- Incident energy : 30 ~ 200 eV

HREELS measurements

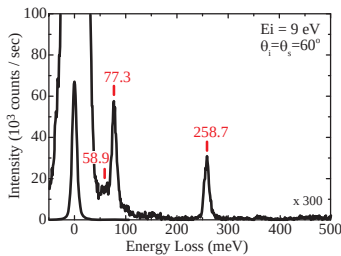
- Incident energy : 8 ~ 100 eV
- Resolution : ~7 meV
- Direction : $\bar{\Gamma}-\bar{X}([1\bar{1}0])$, $\bar{\Gamma}-\bar{X}'([001])$
- Varying the scattering angle

Results & Discussion

(i) LEED images

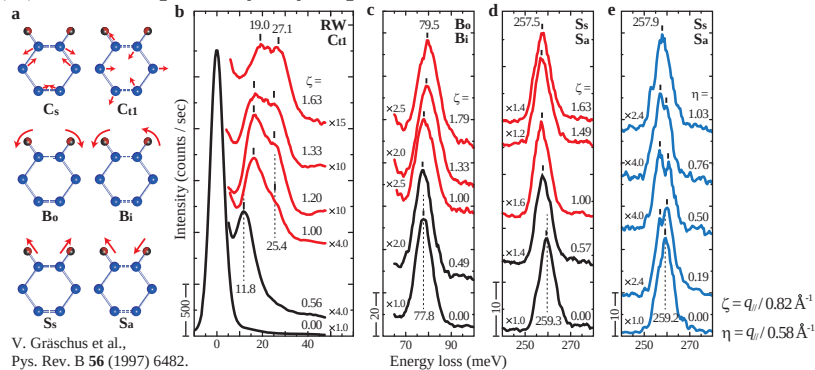


(ii) HREELS spectra of H:Si(110) surface

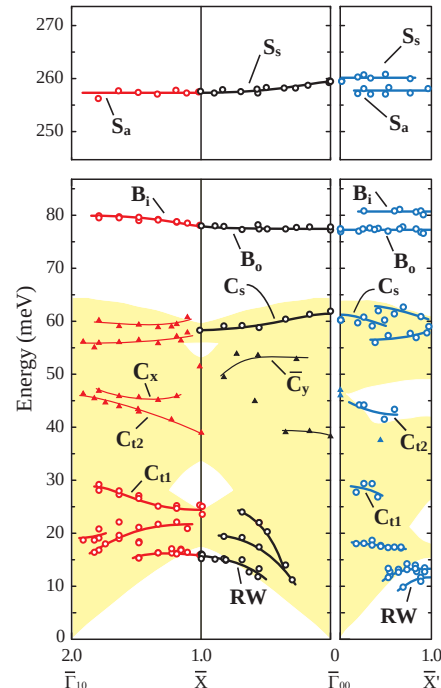


- Vibrational modes of H atoms
 - 77.3 meV → Si-H bending
 - 258.7 meV → Si-H stretching
- Vibrational modes of Si atoms
 - 58.9 meV → Cs
- Vibrational modes of Contaminations
 - 97.3 meV → Si Oxide
 - 156, 362 meV → Hydro-Carbon

(iii) HREELS spectra of surface phonons



(iv) Surface phonon dispersion



- Onset modes (Cs, Ct1) & Even/Odd shift (Ss, Sa, Bo, Bi, RW) → Selection rule due to the glide plane.
- Anisotropic dispersion (Ss, Sa, Bo, Bi) → Vibrational confinement along the [001] direction.

Conclusion

- We successfully prepared a well-ordered, ultra-clean H:Si(110)-(1×1) surface.
- Due to the glide plane, the surface modes are restricted to the 1st or 2nd Brillouin zone.
- Between the hydrogen atoms, there is an interaction along the chain, but not across the chain.

➔ For surfaces which have a glide plane, we need to extend the concept of "Reduced zone scheme" to 2nd Brillouin zone.

Hopf algebraic symmetry of effective theory of string in H-flux background

Department of Physics, Particle Theory and Cosmology Group, D1 Hisayoshi Muraki

Why string theory?

String theory is a candidate of

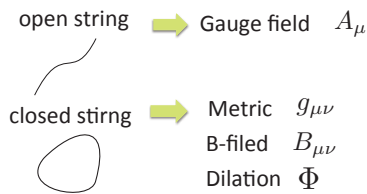
- ◆ Quantum theory of Gravity
- ◆ Unified theory, which describes all known interactions

What is string theory?

- ◆ String is Fundamental object
- ◆ The typical length of the string is Planck length 1.6×10^{-35} [m]
- ◆ Because string is very short, it seems particle for us

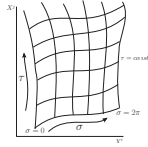


- ◆ Different Particle corresponds to different Oscillate mode



- ◆ Dynamics of string in background fields is described by non-linear sigma-model

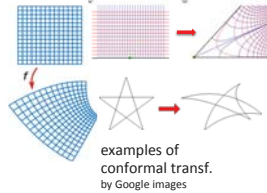
$$S[X] = \frac{1}{2\pi\alpha'} \int_{\Sigma} d^2z \partial X^\mu \bar{\partial} X^\nu (g_{\mu\nu}(X) + \mathcal{F}_{\mu\nu}(X))$$



Σ : World-sheet, which is the trajectory of the string's motion
 X^μ : Coordinates of the string in the D-dim. space-time
 $g_{\mu\nu}$: Metric of the D-dim. space-time
 $\mathcal{F}_{\mu\nu} = B_{\mu\nu} + 2\pi\alpha' F_{\mu\nu}$: Sum of B-field and field strength
 $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$: Field strength

- ◆ Requirement: Theory is Invariant under conformal transformation of the world-sheet

Conformal transformation is the local scale transf. of the parameterization of the world-sheet



- ◆ This requirement determines dimension of the space-time, backgrounds' equations of motion and etc.

$$\beta_{\mu\nu}^{(A)} = G^{\sigma\rho} \nabla_\sigma \mathcal{F}_{\rho\nu} - \frac{1}{2} \Theta^{\rho\sigma} H_{\rho\sigma\lambda} \mathcal{F}^\lambda{}_\nu = 0 : \text{Maxwell's equation}$$

$$\beta_{\mu\nu}^{(g)} = R_{\mu\nu} - \frac{1}{4} H_{\mu\alpha\beta} H_\nu{}^{\alpha\beta} = 0 : \text{Einstein's equation}$$

$$\beta_{\mu\nu}^{(B)} = -\frac{\alpha'}{2} \nabla^\rho H_{\rho\mu\nu} = 0 : \text{Equation of motion of B-field}$$

Gravity and Geometry

- ◆ Classical theory of Gravity: General relativity
Space-time is physical, formulated by Riemannian geometry
Fundamental object is Particle
- ◆ Quantum theory of Gravity: String theory?
Fundamental object is String
String might see space-time differently from particle
→ Quantum gravity could change our notion of space-time

Non-commutative space

- ◆ From the analysis of an opens string in a constant B-field

The Endpoints become non-commutative

$$[X^\mu, X^\nu] = i\Theta^{\mu\nu}$$

$$\text{Here } \Theta = -(g - \mathcal{F})^{-1} \mathcal{F} (g + \mathcal{F})^{-1}$$

- ◆ Reflecting this non-commutativity, the product is replaced with Moyal product, which is non-commutative

$$f * g(x) = \exp\left(\frac{i}{2} \Theta^{\mu\nu} \partial_\mu^{(x)} \partial_\nu^{(y)}\right) f(x) g(y) \Big|_{x=y}$$

$$\text{e.g. } [x^\alpha, x^\beta]_* = x^\alpha * x^\beta - x^\beta * x^\alpha = i\Theta^{\alpha\beta}$$

String in H-flux

- ◆ Non-commutativity appears in constant B-field

What about non-constant?

- ◆ The non-constantness of the B-field is described by

$$\text{H-flux } H_{\mu\nu\rho} = \partial_\mu B_{\nu\rho} + \partial_\nu B_{\rho\mu} + \partial_\rho B_{\mu\nu},$$

which is the field strength of the B-field

- ◆ Taking into account the H-flux in the analysis

Expanding the field in Taylor series,
Regarding the first few terms as interaction,
Calculating the correlation functions perturbatively

- The lowest order in approximation is already done

The Moyal product is generalized,

The corresponding star-product becomes non-associative

$$f \circ g = f * g - \frac{1}{12} \Theta^{\mu\rho} \partial_\rho \Theta^{\sigma\nu} (\partial_\mu \partial_\nu f * \partial_\sigma g + \partial_\sigma f * \partial_\mu \partial_\nu g) + \mathcal{O}(\partial^3)$$

This deformation is the same as the result by Kontsevich, which is derived in the context of the deformation quantization of the algebra of functions on the Poisson manifold.

- Our research is investigating the geometrical structure suggested by this non-associative star-product

Hopf algebra and Moyal product

- ◆ Hopf algebra $\mathcal{H}$ has some algebraic structure
- ◆ We are mainly focusing on co-product $\Delta : \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$
- ◆ We take $\mathcal{H}$ to be the enveloping algebra of vector fields, which can act on functions, i.e. fields
- ◆ Moyal product is formulated by twist element $\mathcal{F}$:
 $f \circ g = m(\mathcal{F}^{-1} \triangleright (f \otimes g)), \quad \mathcal{F} \in \mathcal{H} \otimes \mathcal{H}, \quad m(f \otimes g) := fg$
- ◆ Co-product is also twisted: $\Delta \rightarrow \Delta_{\mathcal{F}} = \mathcal{F} \cdot \Delta \cdot \mathcal{F}^{-1}$
- Poincare transformation, i.e. translation, rotation and Lorentz transformation is twisted as well

The notion of Poincare invariance should be changed!

Results and Future works

- We showed that the star-product can be formulated by quasi-Hopf algebra in similar manner as the Moyal product, which can be formulated by Hopf algebra
- It needs some generalization on the algebraic structure
i.e. Hopf algebra → quasi-Hopf algebra
- We are now investigating its geometrical interpretation

RHEOLOGY OF BLOCK COPOLYMER DROPLETS

4/Mar/2013
K.Sugihara

CONTENTS

- Block Copolymer Droplets
- Outline of study
- Simulation system
- Future plan
- Summary

BLOCK COPOLYMER DROPLETS

Block Copolymer?

- Chemically different subchains are combined.
- Several meso phases in melt.
- A few hundreds of nm.
- Intermediate region between particle and field descriptions.

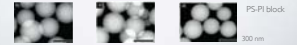


BLOCK COPOLYMER DROPLETS

Experimentally

Macromol rapid commun. T.Higuchi et al. 2010, 31, 1773-1778

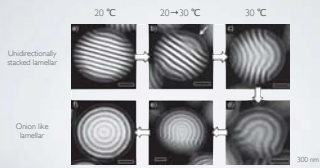
- Made with solvent evaporation process (Self-organized precipitation)
- Structures in droplets dependent on the block ratio (f) and preparation conditions.
- Complex phase separation with symmetric & high molecular weights (even they form in lamellar in films, or melts)



BLOCK COPOLYMER DROPLETS

Temperature sweep

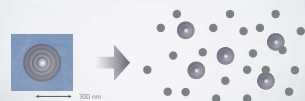
Polystyrene-polyisoprene droplets $f_{PI}=0.43$



OUTLINE OF STUDY

How to treat behavior of many droplets in a framework?

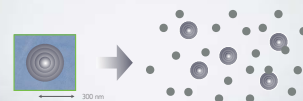
- Self Consistent Field (SCF) for a single droplet
- Coarse grained particle simulation (e.g. Dissipative Particle Dynamics) for droplets



OUTLINE OF STUDY

How to treat behavior of many droplets in a framework?

- Self Consistent Field (SCF) for a single droplet
- Coarse grained particle simulation (e.g. Dissipative Particle Dynamics) for droplets



OUTLINE OF STUDY

Self-Consistent Field theory

e.g.) Density of "red" homopolymer (K)



OUTLINE OF STUDY

Self-Consistent Field theory

Potential which the red segment feels @ r

$$V(\mathbf{r}) = \sum_i V(\mathbf{r} - \mathbf{r}_i)$$

Calculation of the Potential is "heavy"

→ Mean field



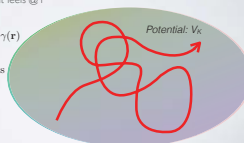
OUTLINE OF STUDY

Self-Consistent Field theory

Potential which the red segment feels @ r

$$V_K(\mathbf{r}) = \sum_{K'} \chi_{KK'} \phi_{K'}(\mathbf{r})$$

K, K' : kinds of segments
 $\chi_{KK'}$: χ parameter
 γ : constraint force



OUTLINE OF STUDY

Self-Consistent Field theory

Statistical weight

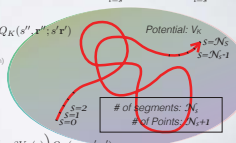
$$Q_K(s, \mathbf{r}; s', \mathbf{r}') = \sum \exp(-\beta H) \quad H = \frac{3}{20\beta} \sum_{i=1}^{s'-1} |\mathbf{r}_{i+1} - \mathbf{r}_i|^2 + \sum_{i=s}^{s'} V(\mathbf{r}_i)$$

$$Q_K(s, \mathbf{r}; s', \mathbf{r}') = \int d\mathbf{r}'' Q_K(s, \mathbf{r}; s'' \mathbf{r}'') Q_K(s'', \mathbf{r}''; s', \mathbf{r}')$$

Markov process
(Chapman-Kolmogorov relation)

Edwards equation

$$\frac{\partial}{\partial s} Q_K(s, \mathbf{r}; s', \mathbf{r}') = \left(\frac{\nabla^2}{6} - \beta V_K(\mathbf{r}) \right) Q_K(s, \mathbf{r}; s', \mathbf{r}')$$



OUTLINE OF STUDY

Self-Consistent Field theory

$$\frac{\partial}{\partial s} Q_K(s, \mathbf{r}; s', \mathbf{r}') = \left(\frac{\nabla^2}{6} - \beta V_K(\mathbf{r}) \right) Q_K(s, \mathbf{r}; s', \mathbf{r}')$$

$$\phi_K(\mathbf{r}) = C \int_0^{N_K} ds \int d\mathbf{r}_0 \int d\mathbf{r}_N Q_K(0, \mathbf{r}_0; s, \mathbf{r}) Q_K(s, \mathbf{r}; N_K, \mathbf{r}_N)$$

$$V_K(\mathbf{r}) = \sum_{K'} \chi_{KK'} \phi_{K'}(\mathbf{r}) + \gamma(\mathbf{r})$$

SIMULATION SYSTEM

Outline

- CPU + GPU hybrid system
- CPU: High calc. power per core w/ a few cores
- GPU: Low calc. power per core w/ a few hundreds cores
- Switching according to simulation box size, # of mesh points, etc.
- C or Fortran for GPU part

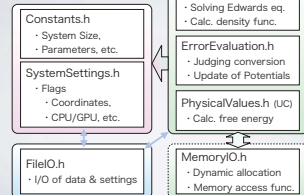
SIMULATION SYSTEM

Self Consistent Field Calculation Code

- Explicit or implicit method for solving Edwards equation
- Single, multi thread or GPU execution
- System size optimization
- Cartesian or Cylindrical coordinates
- Mixture of solvent and polymers

SIMULATION SYSTEM

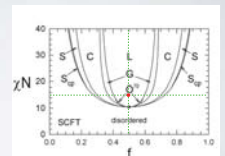
Configuration (SCF4parallel)



SIMULATION SYSTEM

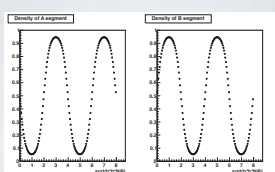
SCF Code (TEST RUN)

- $\chi = 0.06$
- $N = 250$
- $(\chi N = 15)$
- $f_A = 0.5$



SIMULATION SYSTEM

SCF Code (TEST RUN)



FUTURE PLAN

- Simulate the temperature sweep
- On cylindrical coordinate
- Consistency check of theory
- Construction of coarse grained particle system
- Combine behavior of a single droplet into coarse grained particle system



CONCLUSION

- Block copolymer droplets are made by experimentally.
- Several structures are observed.
- Configuration of simulation methods for the droplets.
- SCF part is already made.
- Temperature sweep will be simulate soon.

Impurity effects on dislocation dynamics in Ge

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Introduction

Germanium

High carrier mobility in comparison with those of Si. ($\times 2.5^{th}$)



For practical trend

- low relative materials (to avoid heat generation)
- heavily impurities doped Ge
- low density of dislocations
- control of dislocation dynamics
- To overcome the problems, we should understand interactions of impurities with dislocations in Ge.

Interactions between dislocations and impurities

Dislocation velocity

$$v = v_0 \exp(-E/kT)$$

v_0, n, E : material constant
 τ : shear stress $\tau_0 = 150 \text{ MPa}$

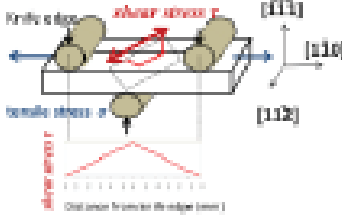


Purpose

We investigated impurity effects on dislocation dynamics in Ge heavily doped with impurities **O**, **As** and **Ga**, quantitatively.

Experimental

Stressed specimen



Scratch

→ Source of dislocation generation

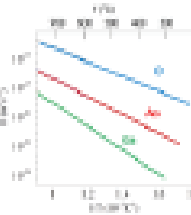
Etching



-Chemical Polishing- 10% HNO₃ : 1:5
-Selective etching- B. Ruppert's etchant at 80 °C

Aging for dislocation locking

Diffusion coefficient



O impurity: high diffusivity
↓
Accumulation on dislocation
↓
locking
Aging is effective?

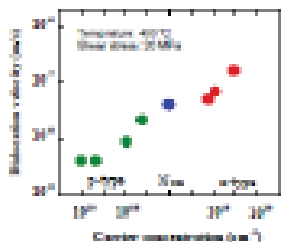
Thermal history Critical stress?



Aging duration: 0, 0.5, 1, 2, 4 h
Deformation temperature: 375-450 °C

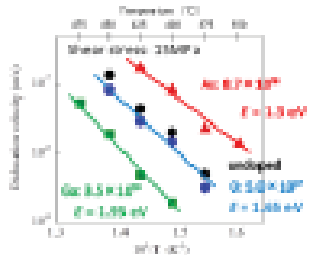
Dislocation velocity (As and Ga)

Concentration dependence

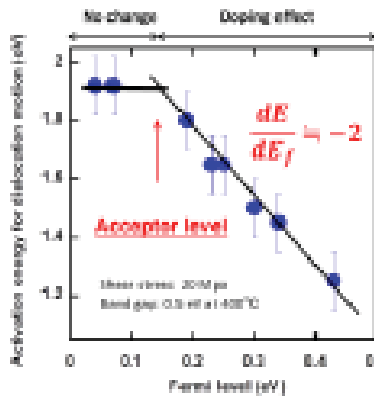


Dislocation velocity is varied dependent on the electrical property of dopants and their concentrations.
→ dependence on the Fermi level

Activation energy



Activation energy E for dislocation motion (on comparison with that in Monoclinic)
O doping: no change
As doping: reduction
Ga doping: increase

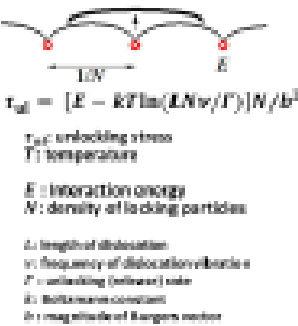
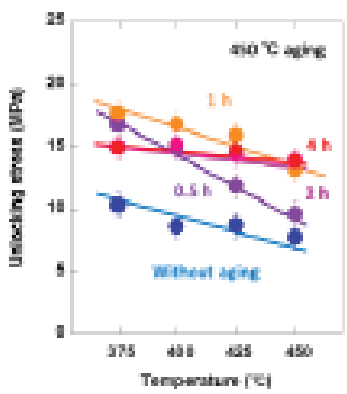


By Hirth and Lothe (1982), the dislocation velocity is proportional to $\exp[-(2E_f + W_L)/kT]$
 E_f : formation energy of a single kink
 W_L : migration energy of a single kink
By Hirth (1978), kink has an acceptor level.
 $dE_f/dE_f = 1$

Acceptor level $E_a \sim E_f + 0.15 \text{ eV}$
Doping effect → kink formation

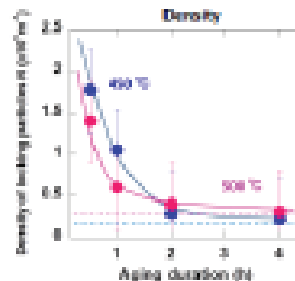
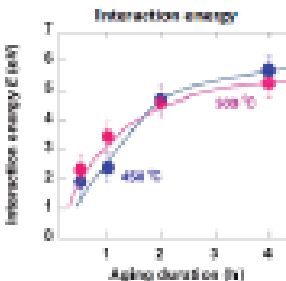
Dislocation locking (O)

Aging effect for unlocking stress by O impurity



E and N were estimated

Variation of interaction energy E and density N



Impurities are gathered along the dislocation and grown up to precipitates with high interaction energy during aging.

Conclusion

We investigated impurity effects on the velocity and locking of dislocations in Ge crystals.

Dislocation velocity

• Impurity concentration dependence of dislocation velocities → Acceptor level $E_a \sim E_f + 0.15 \text{ eV}$

Locking effect

• Aging is effective for dislocation locking by O impurities

Doping effect → kink formation

Density of locking particles N: decrease

Interaction energy E: increase

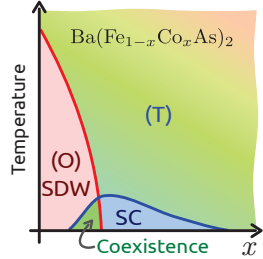
→ Dislocations were locked by O precipitates.

P-37

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Viewpoints & Motivations

Ba(FeAs)₂ is a multi-band semi-metal with structural and electronic (Spin-Density-Wave) instabilities. A chemical doping or a pressure application brings the system to the superconducting ground state.


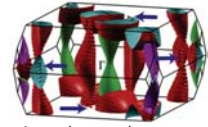
* Rich Physics

Complex Fermi surfaces (FS).
Inter-band pairing mechanism of SC.
Tiny Dirac cone with high mobility carriers.
Sensitive to chemical doping.

* Experimental Freedom

Electrical magneto-transport: informative for multi-FS's structure.

* Focusing Points



Yin et al., Nat. Phys., 2011

Multi FSs: important to the interband SC.

Each pocket has its own carrier number and mobility, which are very different to those of the others.

Magneto-transport properties are complicated.

Doping effects are difficult and unclear.

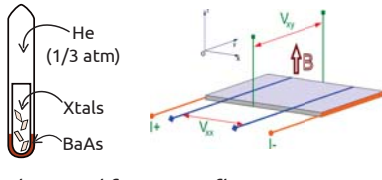
1 Parent compound as a starting point.

2 Completely probe all FS's using high magnetic field transport measurements.

Experiments & Results

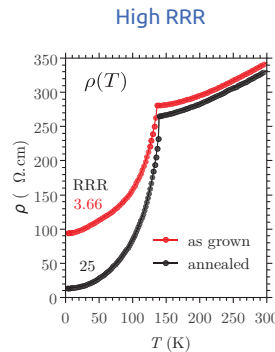
High quality Ba(FeAs)₂ single crystals were annealed to improve the conductivity. Transport properties under high magnetic fields (B) were measured.

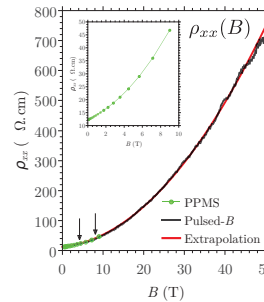
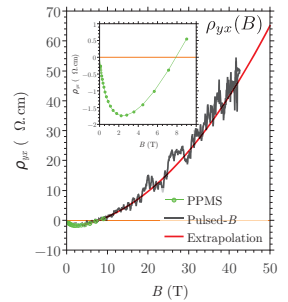
* Synthesis & Measurements



- Single crystal from FeAs flux.
- Annealing: He + BaAs vapor, 850°C, 3 days. (Nakajima et al., PNAS 2011)
- ρ , MR, and R_H were measured using PPMS ($B \leq 9$ T) and ...
- Pulsed magnetic field ($B \leq 50$ T) @ Kyokugen, Osaka Uni.

* Transport properties


Improved low- T conductivity.

No saturation under $B \leq 50$ T.
Complex low- B behaviors.

Small $\rho_{yx}(B)$ & slope-turn.
Complex low- B behaviors.

High- B MR and R_H : characteristics of a compensated semimetal.
Low- B behaviors: existence of small pockets of high mobility.

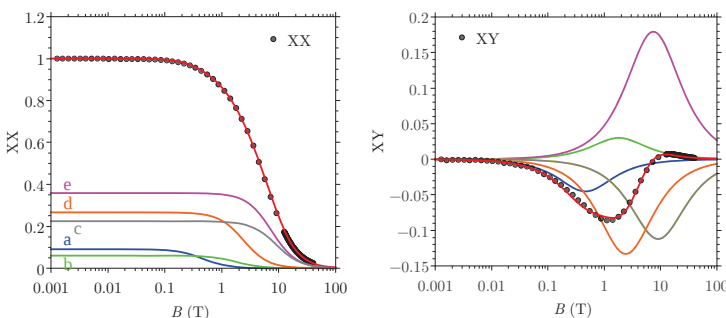
Analysis & Discussions

* Conductivity tensor analysis

(J.S. Kim et al., J. Appl. Phys. 73, 8324 (1993))

Effects of different carrier-types can be resolved in conductivity tensor:

$$\mathbf{j} = \hat{\sigma} \mathbf{E} = (\hat{\rho})^{-1} \mathbf{E} \quad \text{and} \quad \hat{\sigma} = \sum \hat{\sigma}_i$$



1 Semiclassical 5-band model

$$XX = \frac{\sigma_{xx}(B)}{\sigma_{xx}(0)} = \sum \frac{f_i}{1 + (\mu_i B)^2}$$

$$XY = \frac{\sigma_{xy}(B)}{\sigma_{xy}(0)} = \sum \frac{f_i B}{1 + (\mu_i B)^2}$$

$$\sigma_{xx}(0) = e \sum N_i \mu_i$$

For holes: $f_i > 0$, for electrons $f_i < 0$

Simultaneously least-square fit both $\sigma_{xx}(B)$ and $\sigma_{xy}(B)$ to extract μ_i and n_i .

2 In the log-scale:

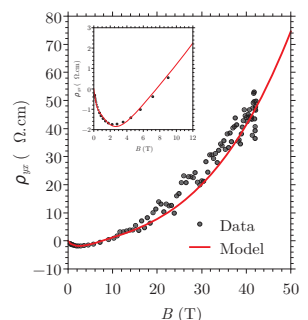
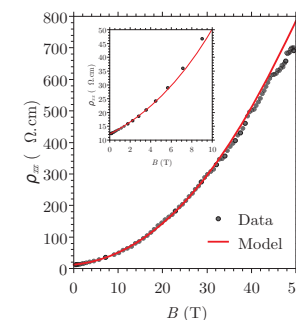
$$XX = \sum \frac{f_i}{1 + e^{2(b - m_i)}}$$

$$XY = \sum \frac{f_i e^{b - m_i}}{1 + e^{2(b - m_i)}}$$

$$b = \ln(B) \quad m_i = \ln(\mu_i)$$

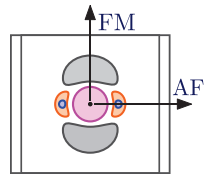
Step-like functions
Peak-like functions

* Interpretations

1 The 5-carrier model fits well to the data. Low- B behaviors successfully included.


2 5 carrier types are obtained. Existence of small Dirac cone confirmed.

type	el	el	h	el	h
μ [cm ² (Vs) ⁻¹]	21,566	4,148	1,343	1,106	5,510
n [cm ⁻³]	2.1e18	3.3e19	1.3e20	1.0e20	5.5e18
	DC	Lagrange DC	Parabolic bands		



3 Additional "hole-pocket": so far not seen (in band calculations & measurements).

Originating from concave segments of the electron-like Fermi pockets (Ong, PRB 43, 1991).

Conclusions

1 All Fermi pockets were successfully detected under $B \leq 50$ T.

2 Four Fermi pockets are necessary to describe the transport properties of Ba(FeAs)₂. Non-trivial FS shape is essential.

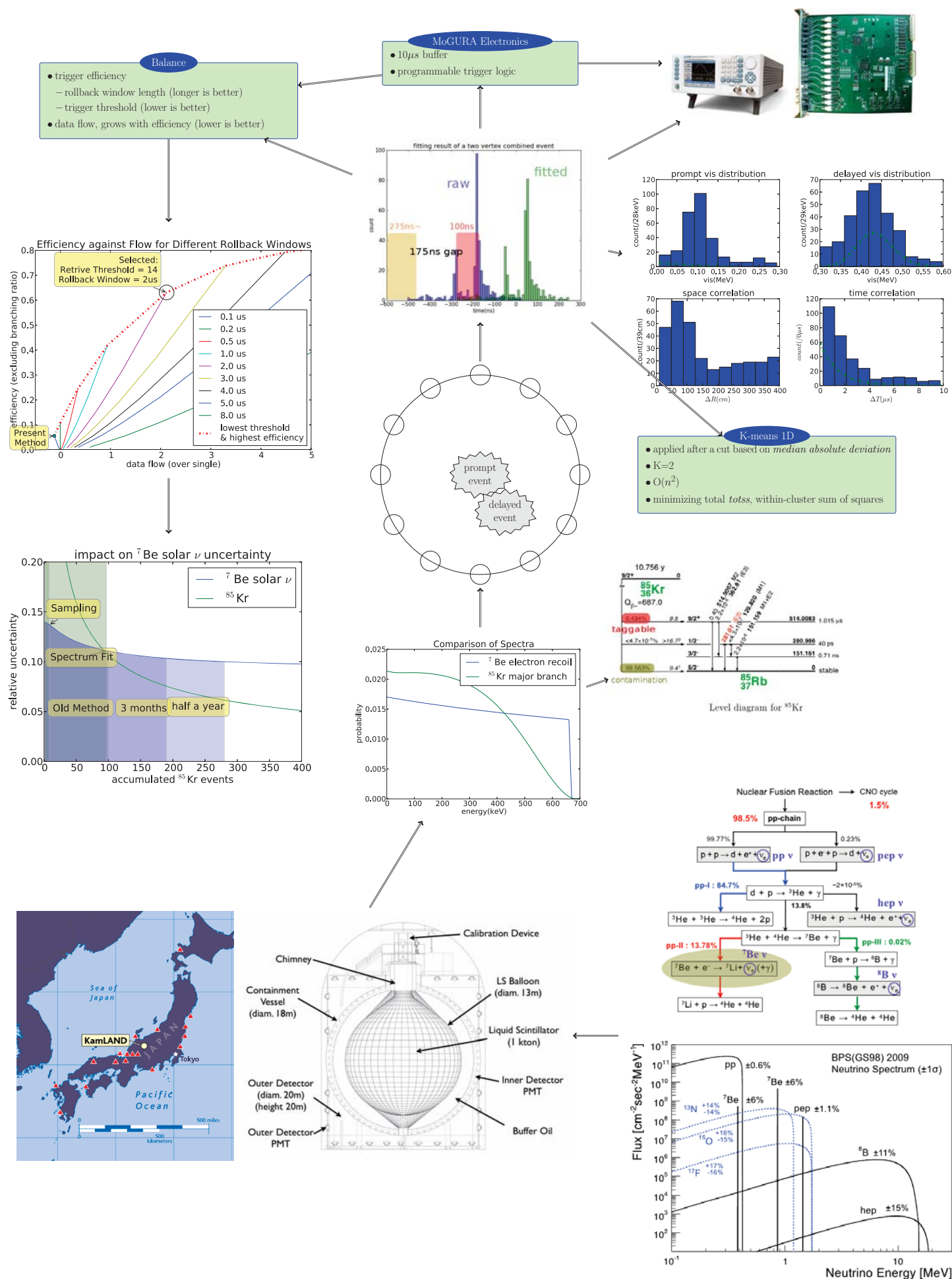
3 Large asymmetry in relaxation times of Fermi pockets.

Analysis of ^{85}Kr concentration in KamLAND with rollback technique

Benda Xu

benda@awa.tohoku.ac.jp

Research Center for Neutrino Science, Department of Physics, Tohoku University



P-39 Solvent effect on temperature dependence of Terahertz conductivity in conducting polymer PEDOT:PSS thin film

ASI RIKEN¹, Grad. Sch. Sci. Tohoku Univ.², IMR Tohoku Univ.³, Univ. Yamanashi⁴
Yusuke Yamada^{1,2}, Masatsugu Yamashita¹, Takahiko Sasaki³, Hidenori Okuzaki⁴, Chiko Otani^{1,2}

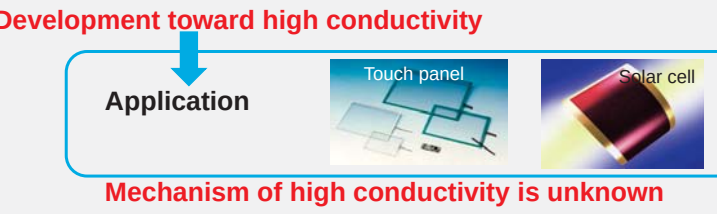
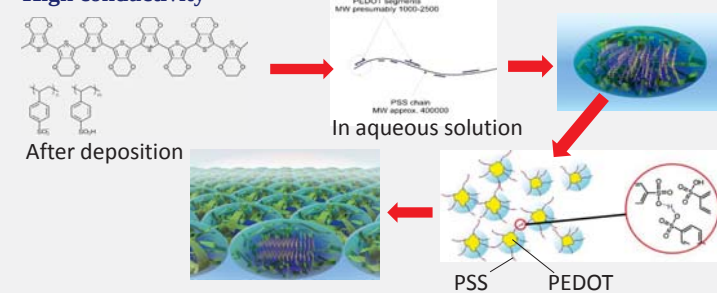
Abstract

Poly(3,4-ethylenedioxythiophene):poly(styrenesulfonate)(PEDOT:PSS) is one of the most successful conducting polymers because of its stable high conductivity and water solubility. However, its mechanism of carrier transport is still poorly understood. We measured DC conductivity and dependence on EG concentration of THz optical conductivity about PH 1000 grade. Moreover the temperature dependence of THz conductivity of PEDOT:PSS PH grade films from 10 K to 300 K by THz time domain spectroscopy and infrared-ultra violet spectroscopy at room temperature to understand the effect of the ethylene glycol (EG) on the carrier transport which improve the crystal structure and morphology of PEDOT:PSS films resulting in the DC conductivity enhancement. The frequency dependences of THz conductivities were well explained by the localization modified Drude (LD) model at higher temperature region which describes the electrical conduction of the weak localized carrier state. On the other hands those at lower temperature deviate from LD model.

PEDOT:PSS {Poly(3,4-ethylenedioxythiophene)/poly(4-styrenesulfonate)}

- Water soluble
- Thermally stable
- High conductivity

It is important to understand how and why we can improve the conductivity



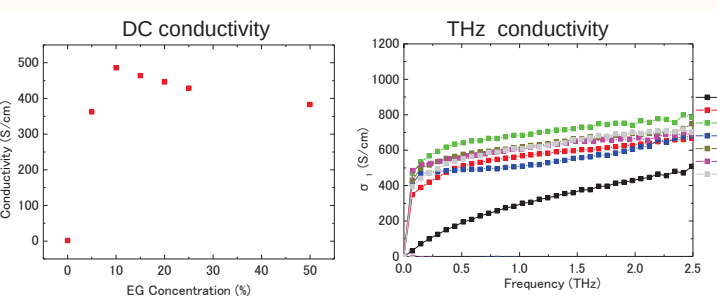
<1>: EG concentration dependence of DC and THz conductivity (PH1000 grade)

conductivity → PH1000>>PH

EG concentration and DC conductivity

EG Concentration	0%	5%	10%	15%	20%	25%	50%
Film thickness (nm)	560.5	567.2	573.0	596.8	562.6	522.2	512
Dc Conductivity (S/cm)	1.6	362.0	486.1	464.2	445.5	428.4	383

DC conductivity and THz conductivity



Analysis

Localization-Modified Drude Model (LD model)

Real part of optical conductivity in LD model

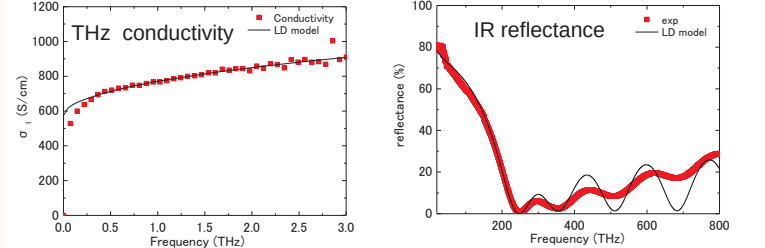
$$\sigma_1(\omega) = \frac{\epsilon_0 \omega_p^2 \Gamma}{\omega^2 + \Gamma^2} \left[1 - \frac{C}{(k_F l)^2} \left(1 - \frac{l}{L_\omega} \right) \right]$$

$k_F l \gg 1$ Metal
 $k_F l \approx 1$ A weakly localized carrier state
 $k_F l < 1$ Anderson localization

L_ω : Diffusion length within a period of the incident radiation
 $C \sim 1$
 $L_\omega = (D/\omega)^{0.5}$
 $D = F l^2 / 3 = v_F^2 / (3\Gamma)$: Diffusion coefficient
 $l = v_F / \Gamma$ $L_\omega = v_F / \sqrt{\Gamma \omega}$

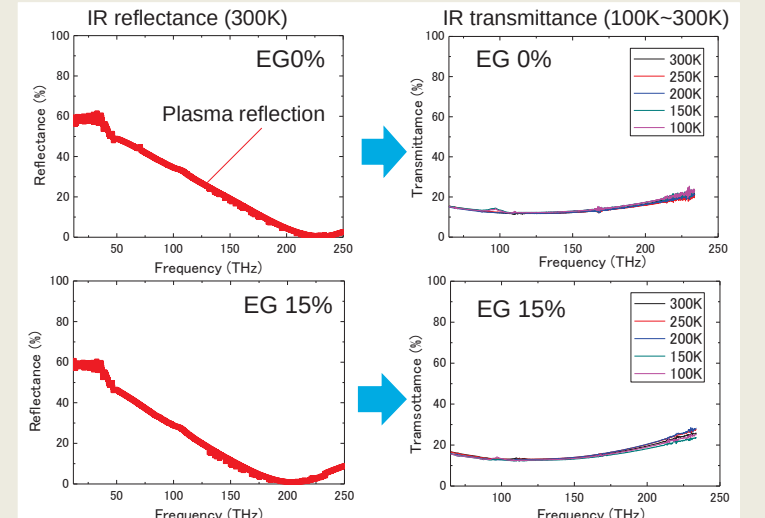
$\mu = \frac{e}{m^* \Gamma} \left[1 - \frac{C}{(k_F l)^2} \right]$ $\omega_p = \sqrt{\frac{N_c e^2}{\epsilon_0 m^*}}$

Model fitting by LD model



THz conductivity and IR reflectance spectra are well reproduced by LD model

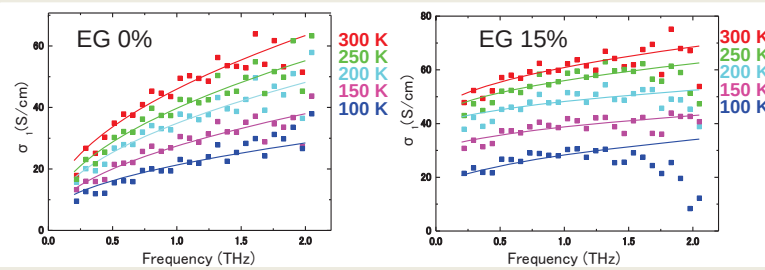
<2>: Temperature dependence of THz-IR Spectroscopy (PH grade)



No temperature dependent IR transmittance
No difference in the condition of EG

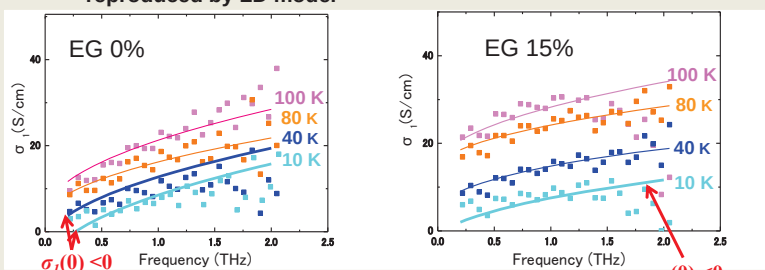
Metallc state in PEDOT chain?

Temperature dependence of THz conductivity (10K-300K) and fitting of the spectrum by LD model



THz conductivity is well reproduced by LD model

Weak localized state



$k_F l = 0.96$ (40K)
 $k_F l = 0.98$ (10K)

LD model is not suitable at low temperature

Different from weak localization?

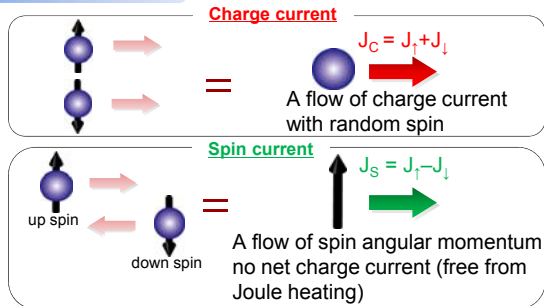
Spin Pumping in High T_c Superconductor $\text{La}_{1.85}\text{Sr}_{0.15}\text{CuO}_4$ thin films

S.M. Haidar¹, Y. Kajiwar¹, Y. Shiomi² and E. Saitoh^{1,2,3,4}

¹Institute for Materials Research, Tohoku University, Sendai, Japan, ²WPI-Advanced institute for Materials Research, Tohoku University, Sendai, Japan, ³CREST, Japan Science and Technology Agency, Tokyo, Japan, ⁴Advanced Science Research Center, Japan Atomic Energy Agency, Tokai, Japan.

Introduction

Spin Current



Inverse Spin Hall Effect

Electric current converted from spin current

$$E_{SHE} \propto j_s \times \sigma$$

S. O. Valenzuela and M. Tinkham, nature, (2006)

Inverse Spin Hall Effect

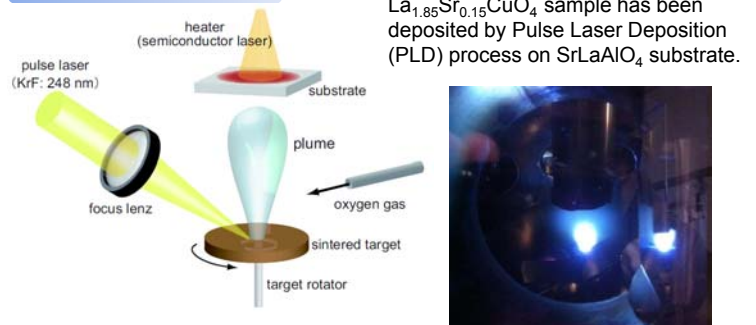
Spin current source by processing moment

$$j_s = \frac{\omega}{2\pi} \int_0^{2\pi/\omega} \frac{\hbar}{4\pi} g_r^{\uparrow\downarrow} \frac{1}{M_s^2} \left[M(t) \times \frac{dM(t)}{dt} \right]$$

Y. Tserkovnyak, A. Brataas and G. E. Bauer, PRL (2002)
 E. Saitoh, M. Ueda, H. Miyajima and G. Tatara, APL (2006)

Method

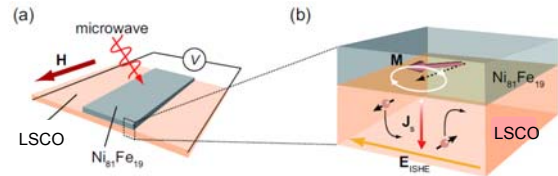
Sample fabrication



PLD deposition condition for La_{1.85}Sr_{0.15}CuO₄ sample at (001) direction:

Deposition Temperature : 775°C
 Deposition Pressure : 1.2×10^{-1} Torr
 Deposition frequency : 4Hz
 Post deposition annealing : 60min @ 400°C, 400 Torr

Fabrication for spin pumping:

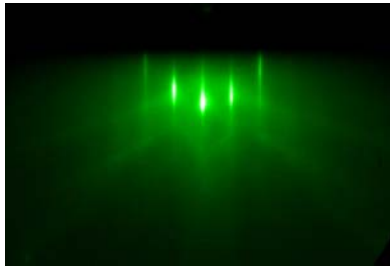


10nm thick Permalloy (Ni₈₁Fe₁₉) layer has been deposited on LSCO (La_{1.85}Sr_{0.15}CuO₄) by evaporation process.

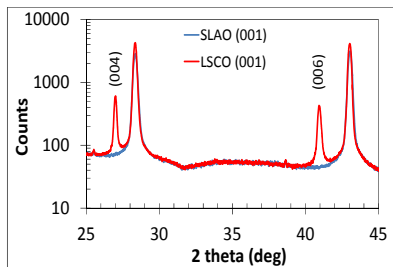
Result and discussion

Thin film properties

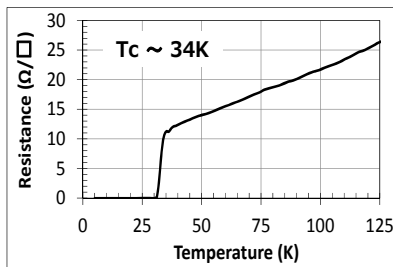
RHEED pattern of La_{1.85}Sr_{0.15}CuO₄ at (001)



XRD analysis



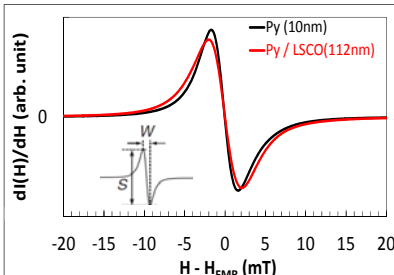
T_c of La_{1.85}Sr_{0.15}CuO₄ at (001)



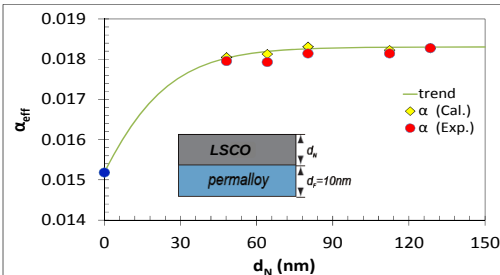
Thin film of La_{1.85}Sr_{0.15}CuO₄ single crystal at (001) direction has been fabricated successfully by PLD process.

Spin pumping

FMR signal of Py/LSCO bi-layer



Damping constant vs. thickness



Measurement

$$\alpha_{eff} = \alpha_0 + \Delta\alpha$$

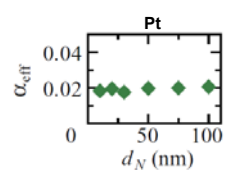
$$\alpha_0 = \frac{\sqrt{3}\gamma}{4\pi f} W_F$$

$$\Delta\alpha = \frac{\sqrt{3}\gamma}{4\pi f} (W_{F/N} - W_N)$$

Calculation

$$\alpha_{eff} = \alpha_0 + \frac{g\mu_B}{4\pi M_S d_F} \times \frac{g^{\uparrow\downarrow}}{1 + (2\sqrt{\epsilon/3} \tanh(d_N/\lambda))^{-1}}$$

H. Nakayama et al., PRB (2012)



LSCO's damping constant is lower than Pt's

Conclusion

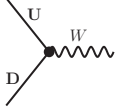
1. The Gilbert damping Constant α_{eff} for LSCO is ~ 0.018 which is lower than that of Platinum.
2. From calculation, mixing conductance $g^{\uparrow\downarrow}$ and spin diffusion length λ is predicted as $\sim 5 \times 10^{12} \text{ (m}^{-2}\text{)}$ and $\sim 35\text{nm}$.
3. Experiment on LSCO at lower thickness is necessary to verify the prediction.

Study of $B^0 \rightarrow DK^{*0}(892)$ for ϕ_3 extraction at Belle

1. Motivation

Lagrangian of charged current weak interaction

$$\mathcal{L}_{int} = -\frac{g}{\sqrt{2}} (\bar{U}_L \gamma_\mu V_{CKM} D_L W_\mu^+) + h.c.$$



U = (u, c, t)

D = (d, s, b)

U_L, D_L : Left handed

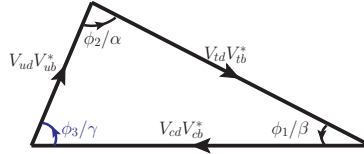
CKM(Cabbibo-Kobayashi-Masukawa) matrix

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

Unitarity condition

$$V_{CKM} V_{CKM}^\dagger = 1 \quad \text{1 row, 3 column elements} \quad V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

Unitarity triangle



$$\phi_1 = (21.15^{+0.90}_{-0.88})^\circ$$

$$\phi_2 = (89.0^{+4.4}_{-4.2})^\circ$$

$$\phi_3 = (68^{+13}_{-14})^\circ$$

Unitarity triangle is described on complex plane, and represents CP-violation.

To understand CP-violation,

the angles of this triangle should be measured precisely.

In the present limits,

measurement accuracy of ϕ_3 is not so good.

Need to study more for ϕ_3 .

2. Analysis

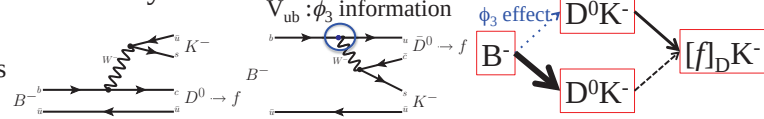
$$\phi_3 \equiv \arg \left(\frac{V_{ud}V_{ub}^*}{-V_{cd}V_{cb}^*} \right) \\ \sim -\arg(V_{ub})$$

ϕ_3 is measured with the decay include b $\rightarrow$ u transition.

e.c. $B^\pm \rightarrow D^{(*)}K^\pm$

Influence of CP violation is expected to appear due to the interference between the two amplitudes of $\bar{D}^0$ and D^0 decays into a common final state.

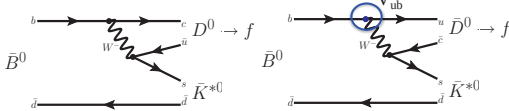
Decay $B^\pm \rightarrow DK^\pm$



Neutral B Decay $B^0 \rightarrow DK^{*0}$

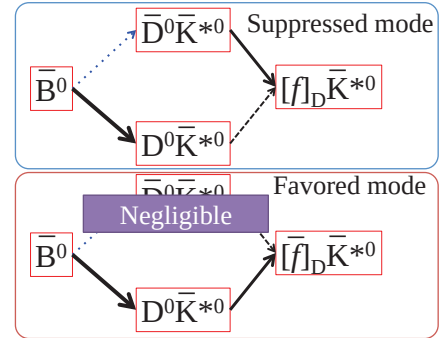
1. More effect of ϕ_3

2. Less signal events $\rightarrow$ Large backgrounds

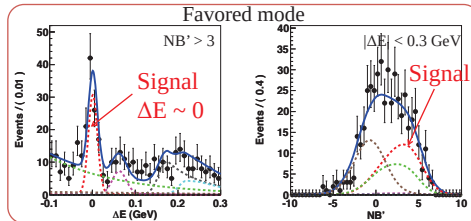
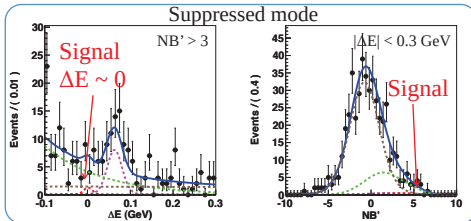


Where, I define $f = K\pi^+$.

$$R_{DK^*} \simeq \frac{\Gamma(B^0 \rightarrow [K^+\pi^-]_D K^{*0}) + \Gamma(\bar{B}^0 \rightarrow [K^-\pi^+]_D \bar{K}^{*0})}{\Gamma(B^0 \rightarrow [K^-\pi^+]_D K^{*0}) + \Gamma(\bar{B}^0 \rightarrow [K^+\pi^-]_D \bar{K}^{*0})} \\ = r_S^2 + r_D^2 + 2kr_Sr_D \cos(\delta_S + \delta_D) \cos\phi_3$$



D $\rightarrow$ $K^+\pi^-$ result



I perform 2D fit for ΔE and NB' .

NB' is one of the parameter for background suppression.

In suppressed mode, there is no signal.

I obtain R_{DK^*} and 95 % C.L. upper limit.

$$R_{DK^*} = (4.1^{+5.6+2.8}_{-5.0-1.8}) \times 10^{-2} \\ < 0.16$$

I update R_{DK^*} upper limit world record.

This R_{DK^*} indicates small r_S value.

I'll check r_S value with more sensitive way D $\rightarrow$ $K_S\pi\pi$ Dalitz plot analysis.

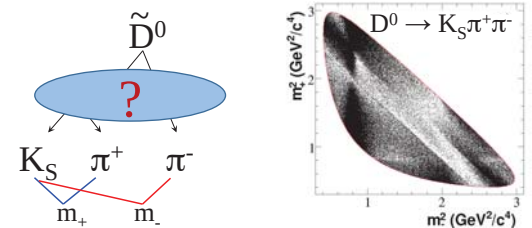
3. Plan (Dalitz plot analysis)

It was understood that $B \rightarrow DK$, $D \rightarrow K_S\pi\pi$ can be detected but D decays into $K_S\pi\pi$ via certain intermediate processes.

(e.g. $D \rightarrow K^{*+}\pi^- \rightarrow [K_S\pi^+]_{K^{*+}}\pi^-$,

$D \rightarrow \rho^0 K_S \rightarrow [\pi^+\pi^-]_{\rho^0} K_S \dots$ etc.)

These processes should be divided.



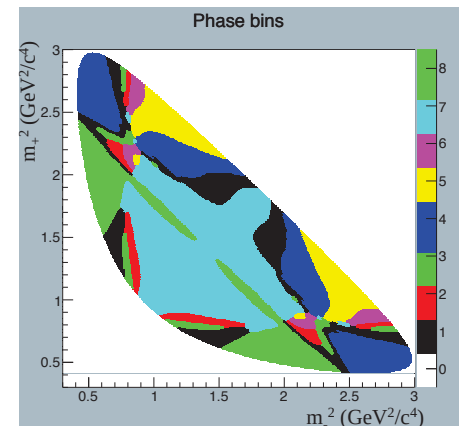
When D decays into 2 particles, and one of them continues to decay furthermore into 2 particles, the reconstructed mass of the correct pair combination yields a mass of a certain particle.

Therefore to verify intermediate states, the plot of combination A versus combination B is used. This is the so called Dalitz plot which is used to extract the value of ϕ_3 .

This method of analysis is the first measurement of ϕ_3 using model-independent Dalitz analysis of $D \rightarrow K_S\pi^+\pi^-$ from $B^\pm \rightarrow DK^\pm$. The data sample used is 710 fb $^{-1}$ Belle collected. In the super-B factory era, ϕ_3 with this method will be dominated by systematic error, esp. Model error.

However, the binned approach allows not only to get rid of the model error, but also to reduce the systematic uncertainties. In my analysis, I try to develop the analysis procedure that minimizes the systematic uncertainties, in view of the future high-precision analyses at the super-B factory where systematics can become a limiting factor.

In model-independent Dalitz analysis(binned method), I take account the signal events number, and obtain CP asymmetry for each bin. Each δ_D for bin is measured, it is equivalent to obtain sixteen $A_{DK^*}(=2r_Sr_D\sin(\delta_S + \delta_D)\sin\phi_3/R_{DK^*})$. corresponding bin δ_D value.



One example of binning on Dalitz plot.

Polaron Dynamics Properties with Magnetic Impurity in Conjugated Polymers

Wenjing Min^{*,†} and Sun Yin^{*}

^{*} Department of Physics, Shandong University, Jinan, China

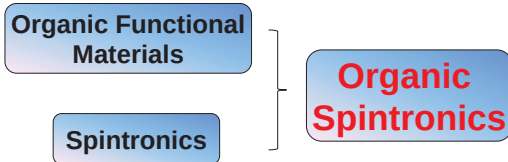
[†] Department of Physics, Tohoku University, Sendai, Japan

(Present supervisor: Sumio Ishihara[†])

Introduction

Background

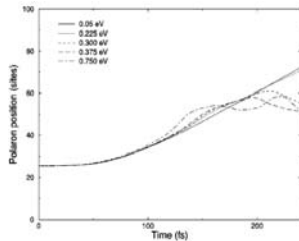
- Organic Spintronics



- Key issues in Organic Spintronics

Generation, transportation, probe and manipulation of spin signals in organic functional devices

Purpose

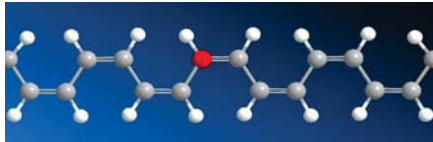


Clebar da Silva et. al., Phys. Rev. B65, 094304 (2002)

charge transport manipulated by impurity

No spin signal

Spin transport manipulated by Magnetic impurity doped in the polymer chain



Model & Method

Model

- One-Dimensional extended Hubbard Model

$$H = -\sum_{n,\sigma} t_{n,n+1} \left(e^{-i\gamma A} c_{n+1,\sigma}^\dagger c_{n,\sigma} + h.c. \right) + \frac{M}{2} \sum_n \dot{u}_n^2 + \frac{K}{2} \sum_n (u_{n+1} - u_n)^2 + U \sum_{n,\sigma} \left(c_{n,\sigma}^\dagger c_{n,\sigma} - \frac{1}{2} \right) \left(c_{n,-\sigma}^\dagger c_{n,-\sigma} - \frac{1}{2} \right) + V \sum_{n,\sigma,\sigma'} \left(c_{n,\sigma}^\dagger c_{n,\sigma} - \frac{1}{2} \right) \left(c_{n+1,\sigma'}^\dagger c_{n+1,\sigma'} - \frac{1}{2} \right)$$

t : transfer integral
 U : on-site Coulomb interaction
 V : neighbouring-site Coulomb interaction

- Magnetic Impurity

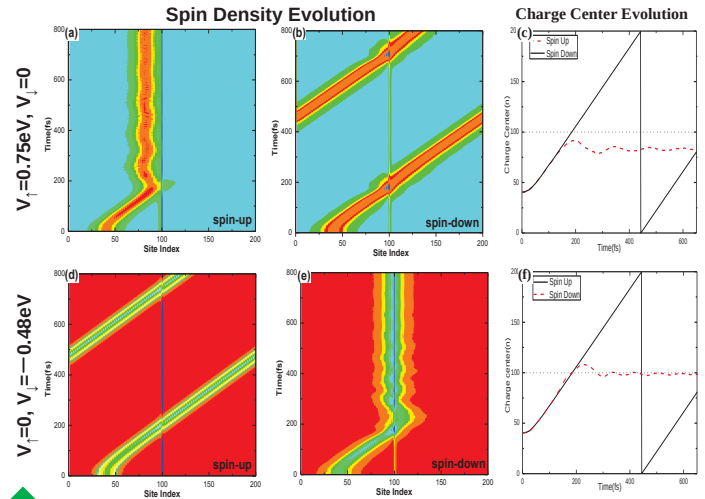
$$H_m = \frac{1}{2} \sum_{m,\sigma} (V_{m,\sigma} c_{m,\sigma}^\dagger c_{m,\sigma} + h.c.) \quad \begin{cases} m: \text{magnetic impurity location} \\ V_m: \text{magnetic impurity potential} \end{cases}$$

Method

time-dependent Hartree-Fock approximation

Results

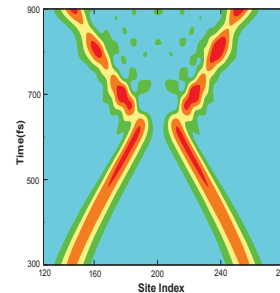
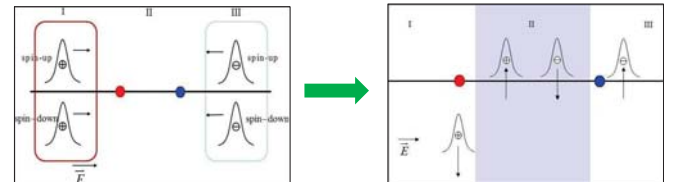
- Spin-filter Effect caused by magnetic impurity by adjusting its potential values ($V_\uparrow, V_\downarrow$)



asymmetric values of impurity potential when spin-filter effect happens caused by strong electron-phonon interaction in organic polymers

Application

- spin-Organic Light Emitting Diode theoretical model based on the spin-filter effect caused by two magnetic impurities (••) in a polymer chain



Collision of negative and positive polaron with antiparallel spin in region II

largest yield of singlet exciton

Summary

- Spin-filter effect occurs with the presence of magnetic impurity
- Yield of singlet exciton is largest in the spin-OLED model

On-going Research

- Photo-induced cooperative phenomena in correlated electron system
 - Photo-induced superconductivity phenomena

Electronic structure of Rb intercalated bilayer graphene

P-43

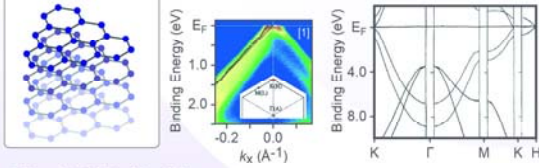
Tohoku University Department of Physics^A, Tohoku University WPI-AIMR^B
James Kleeman^A, Toru Takahashi^A, Katsuaki Sugawara^B, Takafumi Sato^A, Takashi Takahashi^{A,B}

Introduction

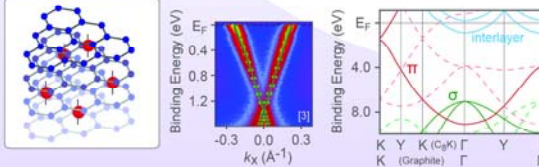
Fabrication

Measurement

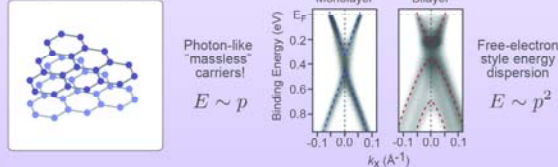
Graphite (C₆)



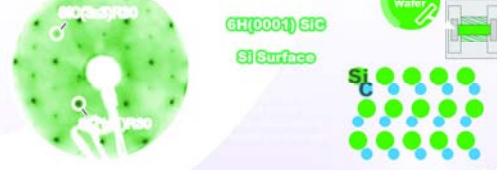
Alkali-GIC (C₈X)



Graphene (C₆)



SiC Substrate



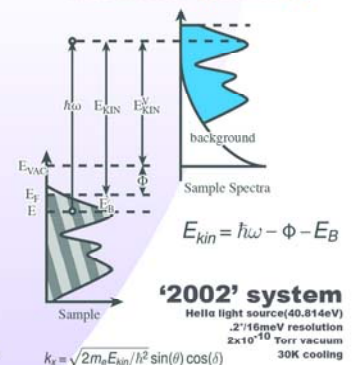
Bilayer Graphene



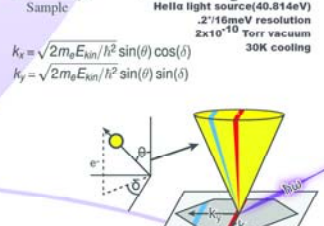
Rb Intercalation



ARPES



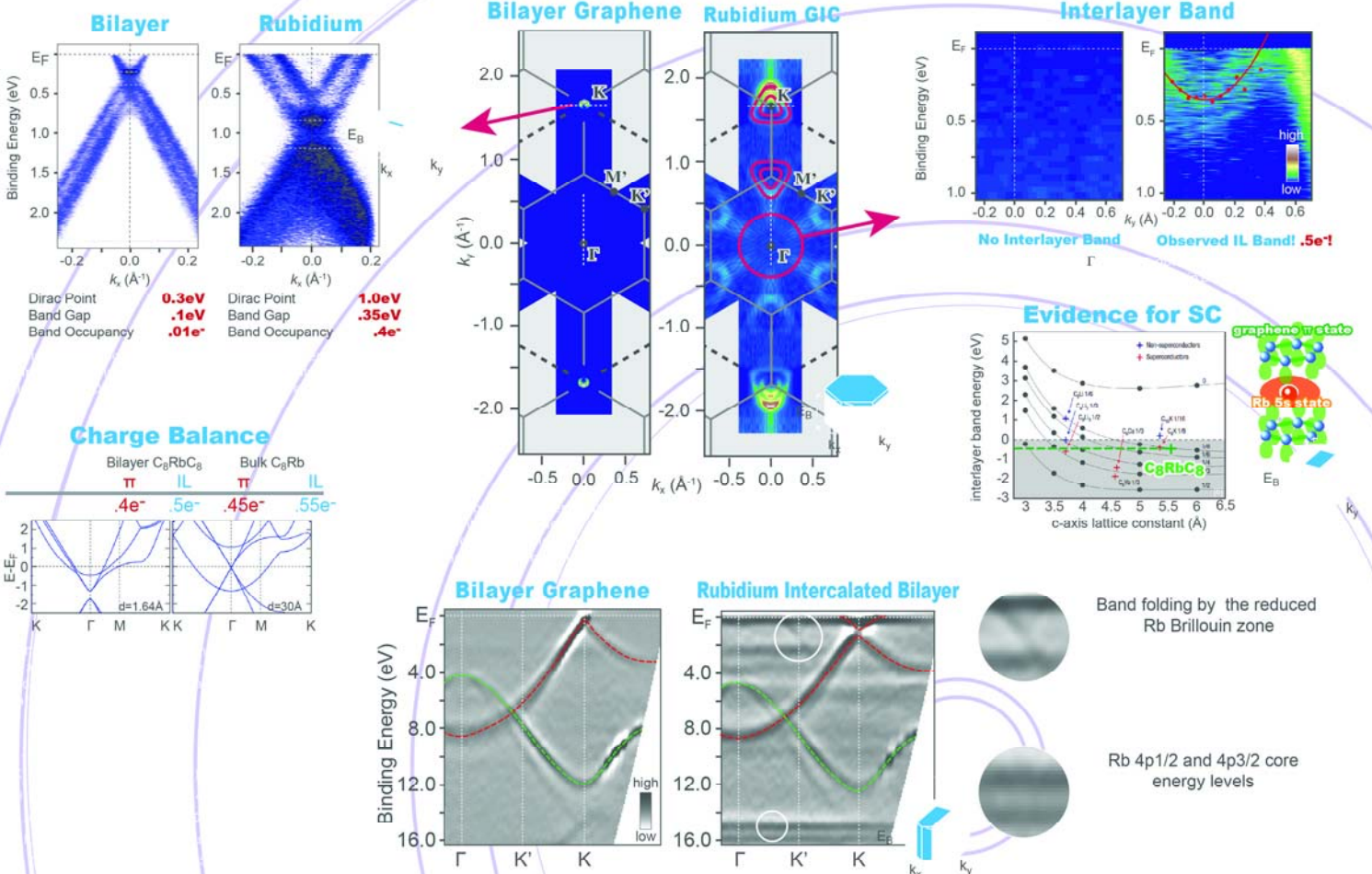
'2002' system



Alkali-Graphene Intercalation Compound (C₈RbC₈)

How does the electronic structure compare to graphene and to 3D GICs?

Metallic with superconductivity? Doping based on metal species? 2-D Band Structure with interlayer band?



Successfully fabricated C₈RbC₈!

Band folding analogous to the bulk case. Increase in the band gap to .35eV, 1.0eV Dirac cone shift. Metallic doping at ~1e⁻/Rb atom (full ionization). Interlayer band implying superconductivity.

Investigation on Gain Properties of Organic Single Crystals towards Electrically Driven Lasers

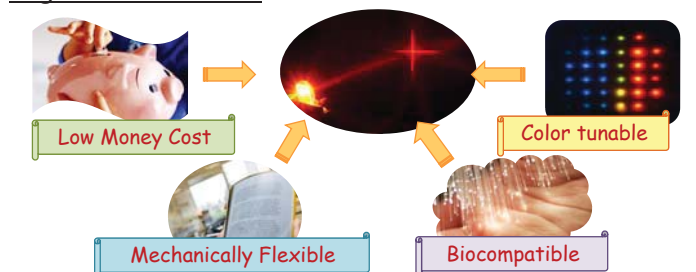
Hui Shang^{1*}, Susumu Ikeda², Kanagasekaran Thangavel¹, Hidekazu Shimotani¹, Kazuaki Oniwa¹, Tienan Jin², Naoki Asao², Yoshinori Yamamoto², Hiroyuki Tamura², Ikutaro Hamada², Kenta Abe¹, Masayuki Yoshizawa¹, Katsumi Tanigaki^{1,2}

¹ Department of Science, Tohoku University, Japan

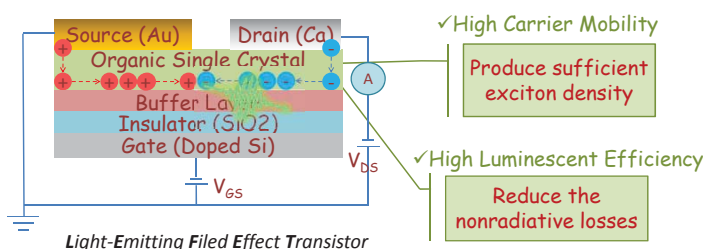
² WPI Advanced Institute for Materials Research (WPI-AIMR), Japan

Introduction

Organic Solid Lasers

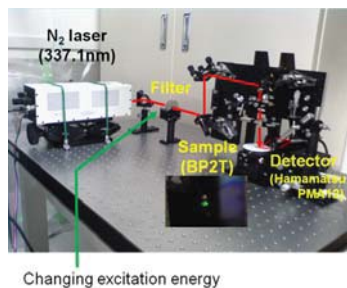


Electrically driven OLs (Still a challenge)

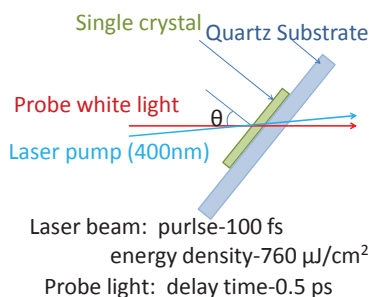


Experimental Processes

Amplified Spontaneous Emission

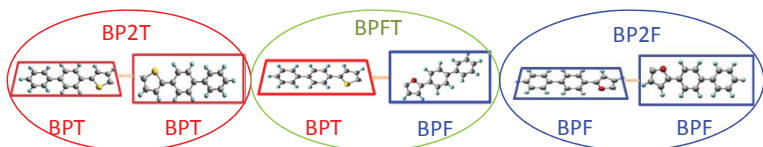


Transient Absorption



Potential Reasons

Two Parts of Delocalized π-Conjugation of Electrons

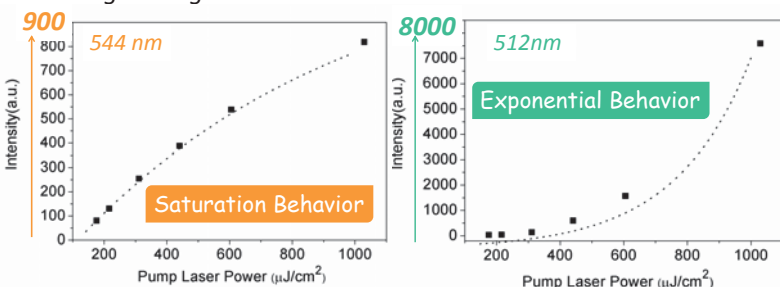


Wavelength of fluorescent spectrum is determined by degree of delocalization

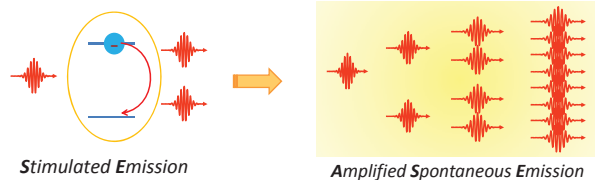
T. J. Dingemans, et al., *Synth. Mater.*, 171, 105, 1999.

✓ BPFT spectrum dose not cover those of BP2T and BP2F as expected.

Singlet-Singlet Annihilation

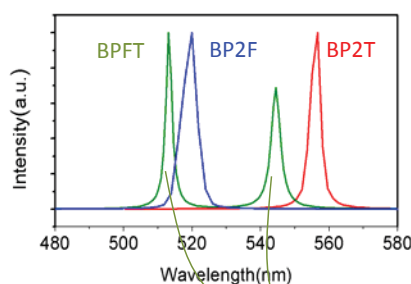


Gain Property (Crucial Factor)



ASE Characterization (Previous Work)

Hui Shang, et al., MRS-2012 Spring Meeting, U. S. A



BP2T

✓ New Materials

BPFT

BP2F

Research Focus

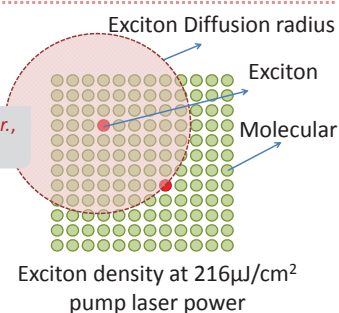
✓ Investigate the reasons of dual gain narrowing peaks

$$S_1 + S_1 \rightarrow S_n^* + S_0$$

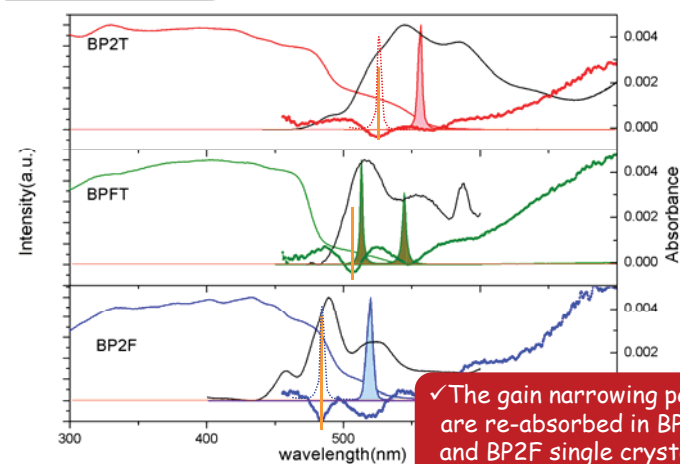
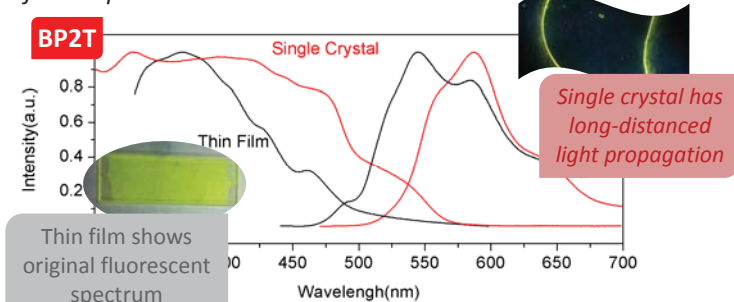
$$S_n^* \rightarrow S_1 \rightarrow S_0 \text{ Non-radiated process}$$

D. Fichou, et al., *Adv. Mater.*, 1178, 9, 1997.

✓ Singlet-Singlet Annihilation can only explain the saturation behavior of the peak at 544nm.



Self-Absorption



✓ The gain narrowing peaks are re-absorbed in BP2T and BP2F single crystals.

Conclusions

✓ The number of gain narrowing peaks are determined by re-absorption.

The NIR spectroscopy of the galaxies in the SSA22 protocluster at $z=3.09$

2013/4

Mariko Kubo(D2, Astronomical institute)
Yuka Uchimoto, Toru Yamada,
Masayuki Akiyama, Tomoki Hayashino(Tohoku university),
Yuichi Matsuda(Dahram university),
Masaru Kajisawa(Ehime university)

1. The SSA22 protocluster at $z=3.09$

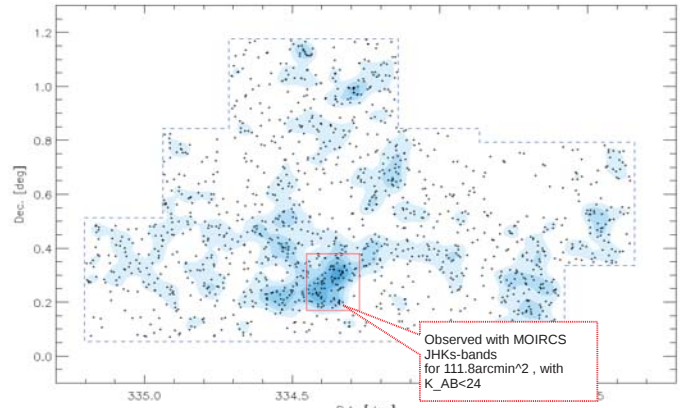
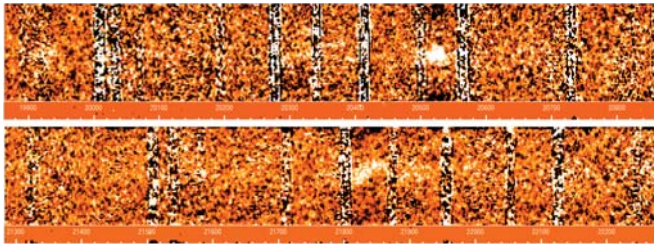
At $z > 2-3$, several large scale high density region of the galaxies which are thought to evolve into the present-day clusters have been identified. Among them, the SSA22 protocluster at $z=3.09$ is known to be one of the most outstanding structure. The number density of the Lyman Break Galaxies(LBGs) and the Lyman Alpha Emitters (LAEs) in the $10' \times 10'$ area is about 6 times the average. The density excess is ~ 15 times of the expected mass fluctuations at that scale. Thus the SSA22 protocluster is well characterized as the significant high density peak at high redshift. Fig.1 is the sly distribution of the LAEs at $z=3.09$.

The overdensity of ASTE/AzTEC submm sources, extended Ly α nebulae(Ly α Blobs LABs) and the excess hosting rate of Active galactic nuclei (AGNi) among the LBGs, LAEs are also reported. These result suggest that the massive galaxies would be rapidly growing in the high density region.

2. MOIRCS JHK survey in the SSA22 protocluster

In this work, we analysed the galaxies in the protocluster based on the stellar mass. For the purpose, we used the Subaru MOIRCS(Multi Objects InfraRed Camera and Spectroscopy) deep imaging($K<24$) at the highest density region in the protocluster. We selected the candidate of the protocluster galaxies with photometric redshift $z_{\text{phot}}=2.6-3.6$ estimated from spectral energy distribution (SED) fitting using UBVRIzJHK and Spitzer IRAC 3.6, 4.5, 5.8, 8.0 μm bands photometries.

Fig.2 is the comparison of photo-z and spec_z of the K-selected galaxies. We obtained good photometric redshifts. The surface number density of the K-selected galaxies is 1.7 times larger than that in GOODS-North field at same redshift range. While there are uncertainty of the photometric redshifts, we need spectroscopic follow up to confirm them as the protocluster member.



The sky distribution of the LAEs (Yamada et al. 2012). red square region is our survey field.

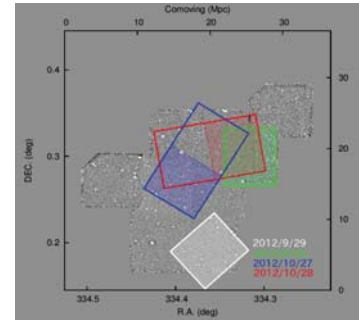
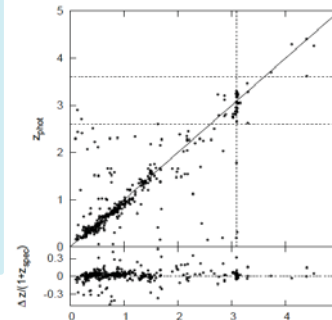


Fig.2 The spectroscopic redshift v.s. photometric redshift we obtained
Fig.3 The target field in this observation

3. The spectroscopic observations

To confirm the redshift of the candidates of the protocluster galaxies, we conducted NIR spectroscopic observations.

The description of the observations are as below.

Targets: K-band selected galaxies with $z_{\text{phot}}=2.6-3.6$

Date: 2012/9/29-30 (Full), 2012/10/27-28 (half nights)

Instrument: Subaru telescope MOIRCS, Multi-Object Spectroscopy Using newly developed "VPH-K" grism and HK500 grism. Slit width = $0.7'' \times 0.8''$

Seeing: $0.4''-0.7''$

Exposure time: Each masks are observed for 3.6-5.5h

Data reduction: MCSRED (Tanaka et al.) was used.

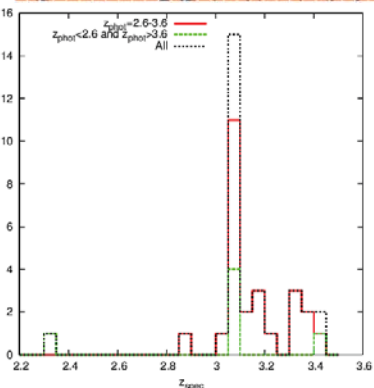
Result; Fig. 4 are example of the obtained spectra. Fig. 5 is the spectroscopic redshift distribution. There are clear spike at $z=3.09$.

The emission lines are detected for 32/67 objects are confirmed.

(26 / 55 of $z_{\text{phot}}=2.6-3.6$ & 6 / 12 of fillar objects)

20/32 are at $z_{\text{spec}} \sim 3.09$!

Fig.4 The obtained spectra
OIII line and H β line is seen in the top panel
And H α emission line is detected in bottom panel.
Fig.5 the spectroscopic redshifts distribution of the confirmed objects.
Red: $z_{\text{phot}}=2.6-3.6$
Green: fillar objects.
e.g., MIPS sources, DRGs
Black dash: All confirmed.



4. Discussion

What are the ingredient of the objects we confirmed?

Fig.6 is the stellar mass, J-K color and SFR_UV,corr distributions of the objects we confirmed. They have larger stellar mass, red colors and higher SFR than those of the rest-frame UV selected and confirmed galaxies.

Fig. 7 is one of the very interesting objects in this protocluster.

This object is counterpart of the sub-mm source. There are multiple components with $z_{\text{phot}}=2.6-3.6$ and show extremely red colors ($J-K>2.1$). We confirmed two of this object. This suggest the multiple merging formation of the massive galaxies occurring in this protocluster. One of two is the AGN and another one shows very passive stellar population like the local elliptical galaxies.

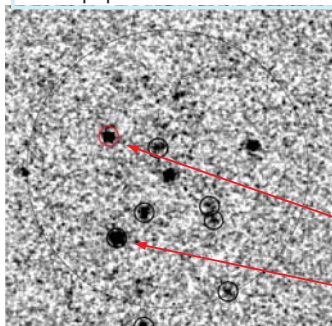


Fig. 7 The counterpart of ASTE/AZTEC submm source.
Black circled objects are those with $z_{\text{phot}}=2.6-3.6$. Most of them shows red color $J-K>2.1$. We confirmed two of the counterparts of this object.

$z_{\text{spec}}=3.087$
Showing $4000 \text{ \AA} \sim 3.09$

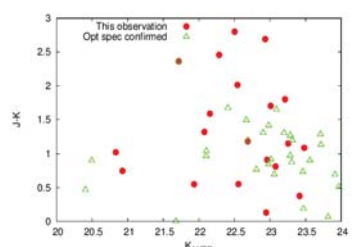
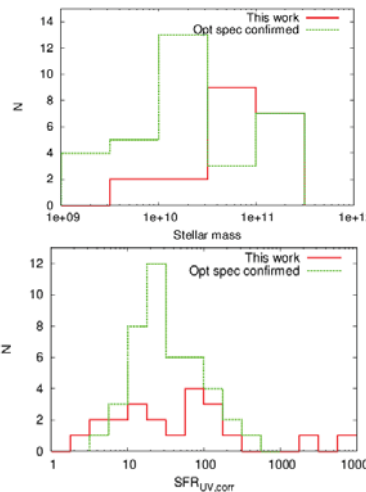


Fig. 6
Top left: Stellar mass ($K<24$),
Top right: J-K color v.s. K-band mag
Bottom left: SFR_UV,corr, distributions of the galaxies with $z \approx 3.09$ in the SSA22 protocluster from this work (red) and other work (Optically confirmed, green).

5. Future work and conclusion

- We successfully confirmed K-selected galaxies with $2.6 < z_{\text{phot}} < 3.6$ to be the members of the SSA22 protocluster.
- We also confirmed the K-band counterparts of LABs and AzTEC sub-mm source.
- **This was the first time to confirm such large number of galaxies from [OIII]5007 $\text{\AA}$ at $z \sim 3$.**
- **In this observation, we observed 1/6 of our K-selected candidates of the galaxies in the SSA22 protocluster. Further observation is needed to reveal the whole picture of the protocluster.**

On the pulsation modes of OSARGs in the LMC

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Abstract

Wray et al. (2004) and Soszynski et al. (2004) found a number of red giant variables in the Galactic bulge and the LMC/SMC showing relatively small photometric amplitude and irregular (multi-periodic) variability. Such variables were named “OSARGs (OGLE Small Amplitude Red Giant variables)” after the observation campaign, “OGLE”. Three and four ridges were appear on the Period-luminosity planes of RGB OSARGs and AGB OSARGs, respectively due to their multi-periodic variability.

In this poster, comparing the periods and period ratios of the RGB OSARGs with our theoretical models, we show that their three ridges(b1, b2, b3) on the PL plane correspond to the radial first, second and third overtone, and nonradial dipole P4 and quadrupole P2 mode. As a result of this, we also show to obtain the initial mass range of $\sim 0.9 - 1.4 M_{\odot}$. Using the Mass - Luminosity relation, we have found that the scaled optimal frequency, $\nu_{\max}$, for the solar like oscillations goes through roughly the middle of the three ridges on the PL plane. It suggests that the stochastic excitations are likely the case of the pulsations in OSARGs.

4-1. Mode identification(radial mode)

Since the pulsation period itself depends on stellar radius and mass, the period ratios are useful for determining pulsation modes, while pulsation periods are used to determine the appropriate luminosity (or mass) ranges.

Fig. 1 shows comparisons with the radial pulsations in the Period - Period Ratio diagram(Petersen diagram) for RGB OSARGs, respectively. From these figures we conclude that radial 1st, 2nd and 3rd overtone correspond to b1, b2 and b3, respectively.

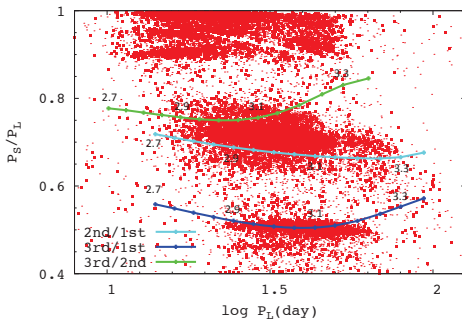


Fig. 1 Petersen diagram of 1.1Msun red giant models are compared with RGB OSARGs. Numbers written along lines indicate $\log(L/L_{\odot})$.

1. OSARGs

OSARGs are usually within the period range of $\sim 10 - 100$ days and feature multi-periodic variability. Typical values of period ratios of RGB OSARGs are $\sim 0.5, 0.7, 0.9$ and 0.95 . The period ratios of ~ 0.5 correspond to the b3/b1 while ~ 0.7 correspond to the b1/b2 and b2/b3. Soszynski et al. (2004, 2007) showed that each of the sequence b2 and b3 has two narrow sub-ridges in addition to the main ridge, and they concluded that the period ratio of ~ 0.9 and 0.95 was consistent with the pair of the main ridge and the sub-ridge.

3. Data selection

We have obtained the pulsation periods and V- and I- band mean photometric magnitudes of RGB OSARGs ($\sim 45,500$) of the LMC from OGLE-III. Some OSARGs have Long Secondary Periods(LSPs) that are mysterious long period($\sim 500 - 1500$ day) unsettled variable phenomenon. We have excepted stars having larger period than $\log_{10} P(\text{day}) = 2.1$ and smaller period ratios then 0.4 then we have obtained non-LSP OSARGs($\sim 8,500$).

2. Models

We have obtained linear nonadiabatic radial and nonradial pulsation periods for envelope models along the evolutionary tracks calculated by the MESA code(Paxton et al. 2011) with several initial masses adopting a mixing-length of 1.5 pressure scale height. We have adopted the chemical composition $(X, Z)=(0.71, 0.01)$ for the LMC and used OPAL (Iglesias and Rogers 1996) opacity tables.

4-2. Mode identification(nonradial mode)

Since period ratios larger than ~ 0.9 were not explained by radial pulsations, we have considered nonradial pulsations. The presence of such high period ratios indicates that each ridge in PL plane might consist of more than one mode. Figs. 2 and 3 show period ratios obtained between dipole and radial modes, and quadrupole and radial modes, respectively for 1.1Msun RGB models. According to Fig. 1, we have considered only luminosity range of $3.0 < \log(L/L_{\odot}) < 3.15$ for 1.1Msun models corresponding to RGB OSARGs. Those figures show that the presence of dipole P4 mode in the b3 ridge correspond to a period ratio of ~ 0.9 while the presence of quadrupole P2 mode in the b2 ridge correspond to a period ratio of ~ 0.95 . In addition the pair of dipole P4 and radial 2nd overtone and the pairs of quadrupole P2 and each radial 1st and 3rd overtone are consistent with a period ratio of ~ 0.7 .

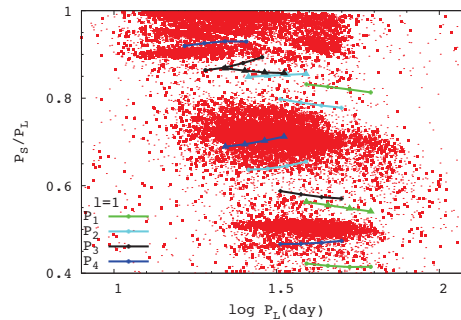


Fig. 2 Period ratios between dipole P1 - P4 and radial modes for 1.1Msun RGB models compare with RGB OSARGs

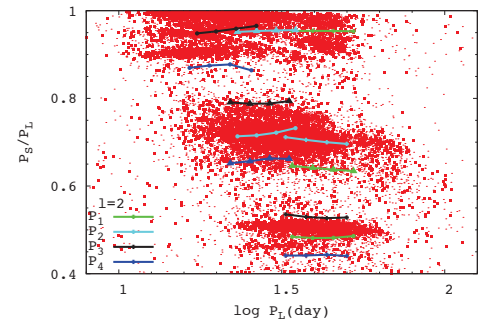


Fig. 3 The same as Fig. 2 but for quadrupole modes

5. Discussion

Even if nonradial pulsations are considered, evolutionary models with an initial mass correspond to only a small part of each ridge on the period - period ratio planes. Therefore we need to consider deferent masses. Fig. 4 shows period luminosity relations of radial 1st - 3rd and nonradial dipole P4 and quadrupole P2 mode for 0.9, 1.1, 1.4Msun RGB models. Each mass models is consistent with three OSARG PL ridges in deferent luminosity range, respectively. We thus have concluded that initial masses of RGB OSARGs should range from $0.9 - 1.4 M_{\odot}$. As a result of this, we have obtained the (initial)mass - luminosity relation:

$$\log(L/L_{\odot}) = 0.91(M/M_{\odot}) + 2.05 - (1)$$

The black dashed line in this figure shows the scaled optimal frequency, $\nu_{\max}$, for solar like oscillations computed by using equation (1) and the effective temperature at each evolutionary phase and it goes through roughly the middle of the three ridges. It suggests that the stochastic excitations are likely the cause of the oscillations in OSARGs.

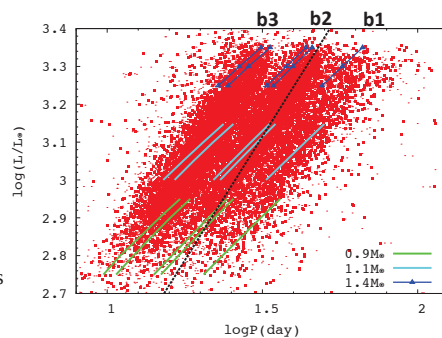


Fig. 4 Period - luminosity diagram of 0.9, 1.1 and 1.4Msun RGB models and the $\nu_{\max}$. The luminosity range for each mass models are determined in 90% of the b3 stars included

6. Conclusion

Comparing the RGB OSARGs in the LMC with linear nonadiabatic radial and nonradial pulsation periods and their period ratios, we have found that radial 1st, 2nd and quadrupole P2, and 3rd and dipole P4 mode for RGB models correspond to the sequence b1, b2 and b3 of RGB OSARGs, respectively. A luminosity range that is consistent with OSARGs PL relations differs by stellar mass. To explain the broad of the ridges or sequences of period - period ratio and period - luminosity relations, we have obtained the (initial)mass range of $0.9 - 1.4 M_{\odot}$. Moreover, we have obtained the (initial)mass - luminosity relation of RGB OSARGs as equation (1) by considering the mean values of the luminosity range of each initial mass. Using equation (1), the scaled optimal frequency, $\nu_{\max}$, for solar like oscillations goes through roughly the middle of the sequence b2 and it suggests that the stochastic excitations are likely the cause of the oscillations of OSARGs. Recently, the evidence of solar like oscillations have been found in a lot of lower luminous red giant variables by CoRoT and Kepler. However, oscillations of Mira variables(the most luminous red giant variables) have been argued to be caused by κ - mechanism (self excitation) for hydrogen in outer layer of the star. OSARGs are much luminous than solar like oscillating red giant variable stars but a little dimmer than Miras. Those things suggest that OSARGs would be the most luminous solar like oscillators along red giant variables and be available to verify a convective theory.



Construction of Global Magnetic Field Structure Model in Spiral Galaxies with Three-dimensional MHD simulations

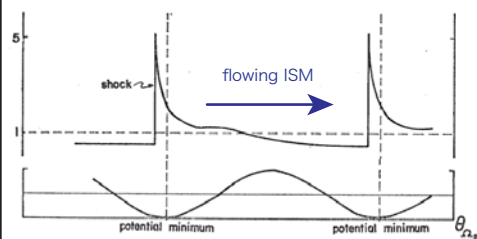
● S. Nakamura, M. Hattori, T. Morishima (Tohoku Univ.)

Abstract

We carried out global three-dimensional ideal magnetohydrodynamic simulations for galactic gaseous disks in the gravitational potential of bulge, disk, halo and spiral arms. We considered radiative cooling energy loss of interstellar medium (ISM). Synchrotron intensity & polarization observations show magnetic fields in spiral galaxies are along with spiral arms. Our numerical results indicate that isothermal shocks generate in spiral arms and magnetic fields are amplified due to these shocks. We expect this results consist with observations.

Introduction & Motivation

In spiral arms, gravitational potential is deeper than disk average about 2-10%. ISM go through these potentials, isothermal shocks generate. Synchrotron radiation intensity & polarization observations suggest that magnetic fields are amplified and concentrate on shock front. We examined effect of spiral gravitational potential on the nonlinear evolution of galactic magnetic fields, we choosed magnetohydrodynamic equilibrium state in axisymmetric potential for initial model in order to investigate physical process of state transition.



Model for density (upper panel), potential (lower panel) in spiral potential (Roberts 1969)



contour : total intensity
lines : B vector
(Fletcher et al. 2011)

Simulation Model

Density distribution

Disk : magnetohydrodynamic equilibrium torus

threaded by weak toroidal magnetic fields ($T \sim 10^4 \text{K}$, $\beta \sim 100$)

Halo : isothermal hydrostatic equilibrium ($T = 10^6 \text{K}$)

Gravity : Miyamoto-Nagai's axisymmetric potential including DM + spiral arm potential Φ_{sp} (Wada et al. 2011)

$$\Phi_{\text{sp}}(r, \varphi, z) = \Phi_{\text{disk}}(r, z) \epsilon_{\text{sp}} \frac{z_0}{\sqrt{z^2 + z_0^2}} \cos \left\{ m \left(-\varphi - \Omega_{\text{sp}} t + \cot i_{\text{sp}} \ln \frac{r}{r_0} \right) \right\}$$

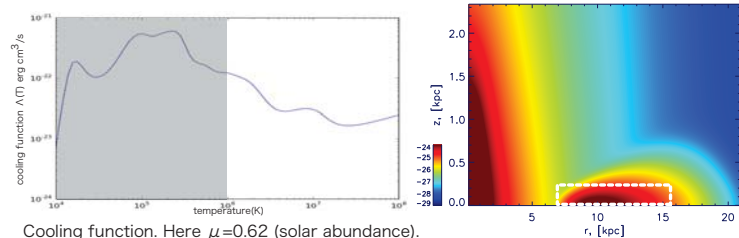
$\epsilon_{\text{sp}} = 0.02$, $z_0 = 0.3 \text{kpc}$, $m = 2$, $\Omega_{\text{sp}} = 12.2 \text{km/s/kpc}$, $i_{\text{sp}} = 15^\circ$, $r_0 = 1 \text{kpc}$

spiral potential strength, scale height, the number of arm, pattern angular velocity, pitch angle, scale radius.

Numerical Scheme : MacCormack (time, space 2nd order accuracy) + artificial viscosity

Simulation Region : $0 \text{kpc} < r < 56 \text{kpc}$, $0 < \phi < 2\pi$, $0 \text{kpc} < z < 5 \text{kpc}$ (cylindrical coord., $z=0$ symmetric boundary)

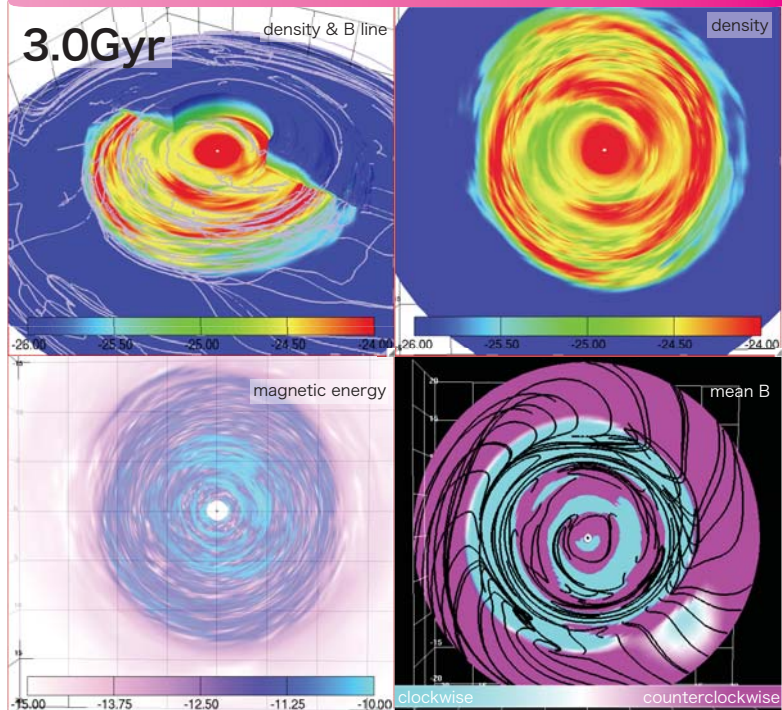
ISM cooling : Raymond, Cox & Smith 1976 ($10^4 \text{K} < T < 10^6 \text{K}$)
0 (otherwise)
applied disk region (white dashed line box)



Cooling function. Here $\mu = 0.62$ (solar abundance).
We used part of $10^4 \text{K} < T < 10^6 \text{K}$.

Initial density distribution in r-z plane.

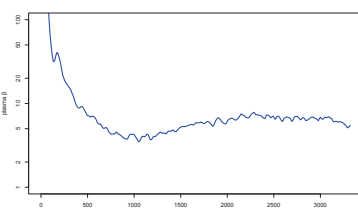
Numerical Result



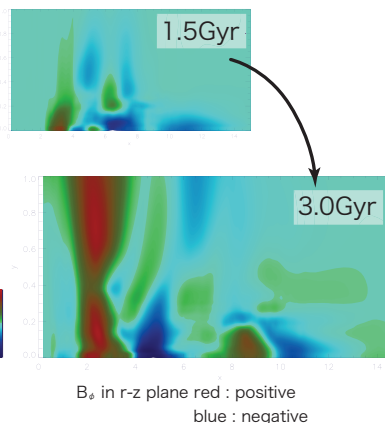
We found that isothermal shocks generate along spiral arms and magnetic field lines concentrate these shock fronts.

We also found magnetic energy is amplified in disk. Plasma β ($= P_{\text{gas}} / (B^2 / 8\pi)$) decreases and stays around ~ 5.0 .

Toroidal magnetic fields reverse in $z=0$ equatorial plane due to magneto-rotational instability (MRI). Han et al. (2002) pointed out Milky Way galaxy magnetic fields reverse in equatorial plane with rotation measure (RM) observation. After the amplification of magnetic energy saturates, magnetic flux is rise from disk to halo by Parker instability. Nishikori et al. (2006), Machida et al. (2013) carried out global 3D MHD simulation and showed same results.



Time evolution of volume-averaged plasma β
for $5 \text{kpc} < r < 8 \text{kpc}$, $0 < \phi < 2\pi$, $0 \text{kpc} < z < 0.15 \text{kpc}$



B_z in r-z plane red : positive
blue : negative

Summary & Discussion

We carried out 3D simulations of the time evolution of galactic gaseous disk in non-axisymmetric potential. We maintained galactic shocks over 3Gyr taking into account spiral potential and ISM cooling. Magnetic fields are amplified due to these shocks and β stays around 5. Our results are consistent with other numerical simulations.

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In order to obtain more realistic mass distribution of a dark halo in our nearest giant, we adopt axisymmetric mass models constructed by Hayashi & Chiba (2012) and apply these models to latest kinematic data of globular clusters and dwarf spherical galaxies in the halo of M31. Applying our model to Andromeda galaxy, we find that the most plausible cases for Andromeda yield not spherical but prolate shape for its dark halo. This result is profound in understanding internal dynamics of halo tracers in Andromeda, such as orbital evolutions of tidal stellar streams, which play important roles in extracting the abundance of CDM subhalos through their dynamical effects on stream structures.

1. Why Andromeda?

The Milky Way and its nearest neighbor Andromeda provide a unique laboratory to test Lambda-Cold Dark Matter (LCDM) theory of galaxy formation and evolution. In particular, LCDM models, as a current paradigm of structure formation in the Universe, predict universal density distribution for a galaxy-sized halo as well as the presence of numerous subgalactic halos in it, as a consequence of hierarchical assembly process of dark matter. It is thus of importance to derive how dark matter is actually distributed in a galaxy scale like the Milky Way and Andromeda, to get useful insight into the role of dark halos in galactic structure and evolution in the framework of LCDM models.

2. The motive of this study

Until now, most of existing mass models for Andromeda's dark halo have assumed spherical symmetry, for the purpose of simply estimating its total mass. However, LCDM models predict non-spherical virialized dark halos (right figure) in this galaxy scale. We thus need to consider more general models to set more realistic and new limits on global shape and profile of a dark halo.



LCDM predicted galaxy-sized dark halo by N-body simulation (Jing & Suto 2000)

5. Prolate dark halo in M31

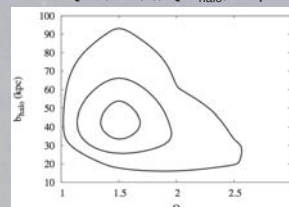
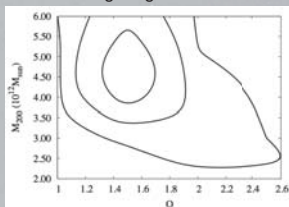
5-1. Results of Maximum likelihood analysis

$q' = 1.18$	Halo Model	Q	b_{halo} (kpc)	$M_{\leq 200\text{kpc}}$ ($10^{12} \times M_{\odot}$)
	HYBRID	$2.43^{+0.57}_{-0.73}$	108.4^{+42}_{-21}	$1.21^{+0.29}_{-0.41}$
	SIS	$2.98^{+0.62}_{-1.38}$	$58.6^{+46.4}_{-38.6}$	$2.22^{+0.88}_{-0.92}$
	NFW	$1.62^{+0.44}_{-0.25}$	$46.2^{+13.8}_{-16.2}$	$4.43^{+0.47}_{-2.42}$

We find that the most plausible cases for Andromeda yield not spherical but prolate shape for its dark halo.

5-2. Q vs. $M(<200 \text{ kpc})$ and Q vs. b_{halo} with NFW model

Above left and right figures show the likelihood contours Q - $M(<200\text{kpc})$, Q - b_{halo} , respectively.

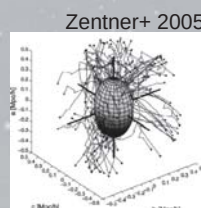


These results suggest that prolate dark halo would be significant results, although it is difficult to determine the shape parameter, Q .

5-3. Comparison with LCDM simulation

In this work, we find that dark halo in M31 is elongated along the pole of the its disk. This result is consistent with prediction from LCDM based N-body simulation (e.g. Zenter+ 2005). In particular, Zenter+ 2005 found that subhalos are distributed anisotropically and preferentially located along the major axes of the triaxial their host halos. Therefore,

our result may contribute valuable evidence for interpreting spatial distribution of dwarf satellites in Andromeda.



Zenter+ 2005

3. Model

★Stellar density

$$\Sigma(x, y) \propto \left(x^2 + \frac{y^2}{q'^2} \right)^{-\gamma/2}$$

q' is a projected axial ratio.
 $\gamma=3.5$ is best-fit power law value.

For axial ratio, q' , we apply the inertia tensor method as implemented by Allgood+06. As a result, axial ratio of Andromeda halo's stellar density indicate $q' = 1.18$.

★Dark halo

$$\rho(R, z) = \rho_0 \left(\frac{m}{b_{\text{halo}}} \right)^{\alpha} \left[1 + \left(\frac{m}{b_{\text{halo}}} \right)^2 \right]^{-\delta}$$

$$m^2 = R^2 + \frac{z^2}{Q^2}$$

Q is an axial ratio, b_{halo} is scale length and ρ_0 is a scale density. For ρ_0 , We replace it with $M(<200 \text{ kpc})$, which is mass within 200 kpc.

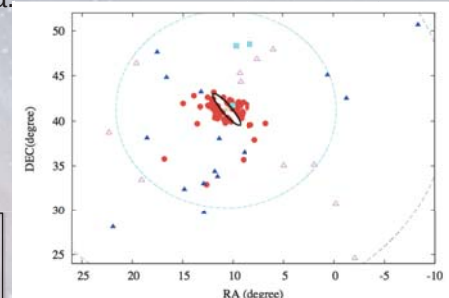
These are free parameters.

For (α, δ) : We confine ourselves to plausible density profiles: **SIS** with $(\alpha, \delta) = (-2, 0)$, **NFW** with $(\alpha, \delta) = (-1, -1)$ and **HYBRID** with $(\alpha, \delta) = (-2, -0.5)$.

4. Data analysis

We use a sample of 91 globular clusters and 15 dwarf galaxies in the halo of Andromeda.

For the kinematic data of GCs and dSphs, we adopt **Revised Bologna Catalogue** of M31 GCs and **Tollerud+ 2012**, respectively.



•Maximum likelihood analysis

To obtain halo parameters of our mass models by comparing with observational data, we employ a maximum likelihood method.

$$P(\mathbf{v}_{\text{los}} | u, \sigma_t) = \prod_{i=1}^N \frac{1}{\sqrt{2\pi}\sigma_i} \exp \left[-\frac{1}{2} \frac{(v_{\text{los},i} - u)^2}{\sigma_i^2} \right]$$

u : systemic velocity of M31

$$\sigma_i^2 = \sigma_{t,i}^2 + \sigma_{m,i}^2 \quad \begin{cases} \sigma_{t,i}^2: \text{theoretical dispersion} \\ \sigma_{m,i}^2: \text{measurement uncertainty} \end{cases}$$

6. Summary

- ❖ We adopt axisymmetric models constructed by Hayashi & Chiba (2012) and apply these models to latest kinematic data of globular clusters and dwarf spheroidal galaxies in the halo of Andromeda.
- ❖ We find that the best fitting cases for Andromeda's dark halo yield prolate shape and are elongated along perpendicularly to the plane of the its disk.
- ❖ This result is profound in understanding internal dynamics of halo tracers in Andromeda, such as orbital evolutions of tidal stellar streams, which play important roles in extracting the abundance of CDM subhalos through their dynamical effects on stream structures.
- ❖ In the near future, planned surveys of Andromeda's halo using HSC and PFS will enable us to discover new halo objects (globular clusters, dwarf galaxies and tidal streams) and measure their accurate kinematic data, thereby allowing us to obtain tighter limits on the dark halo distribution in Andromeda.

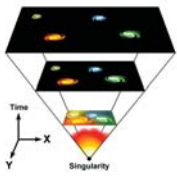
Solution space of Bianchi type I spacetime in Loop Quantum Cosmology

Kazuya Fujio
Astronomical Institute, Tohoku University

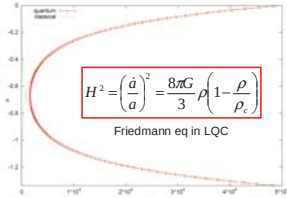
The Bianchi type I spacetime is the most simple model of spacetime with spatial anisotropy. We investigate behavior of the solutions of quantum Bianchi type I spacetime with a massless scalar field within the frame work of loop quantum cosmology. We construct the solution space of this model by using a new formulation derived by the path integral method. The 'probability' of having the classical isotropic universe is estimated.

Loop Quantum Cosmology

As is well known, the Universe is expanding now. Einstein's general theory of relativity describes the dynamics of the Universe very well. However, general relativity breaks down at the very early universe. Therefore we need the quantum theory of spacetime. Loop quantum cosmology (LQC) is one of the leading candidates for the quantum theory of the universe, which is based on loop quantum gravity (LQG). LQC predicts the cosmic bounce to avoid the initial singularity.



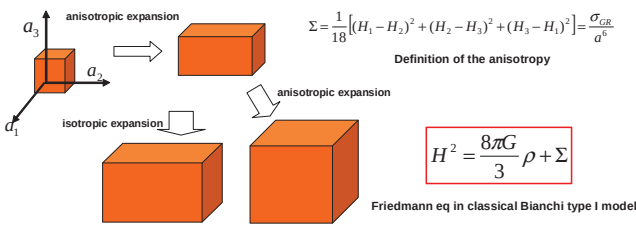
The physical quantities (e.g. the energy density) diverge at the 'beginning' of the universe. It is called initial singularity. GR cannot solve the initial singularity problem.



LQC replaces the initial singularity with the initial bounce.

Bianchi type I spacetime

The Bianchi type I spacetime is flat, homogeneous but anisotropic model. In this model, the universe can expand or contract in three directions. Of course, by the observation, we know that our Universe is very isotropic. Thus we need to answer the question "Why is our Universe so isotropic?"



Note that the 'isotropic' means that three directions have same expansion rate.

Bianchi type I spacetime in LQC

The full equation of Bianchi type I spacetime in LQC is a difference equation. Therefore effective equations of motion are used to study the dynamics. We derive the equations by using path integral method and construct a new formulation which is preferable to study the Bianchi type I model.

$$\text{Hamiltonian constraint } C = \left(\frac{p}{v}\right)^2 + \frac{\pi G}{2l^2} \bar{P}(C_1, C_2, b) = 0$$

v ; The volume of the cell $\beta \lambda$; Constants which denotes anisotropy

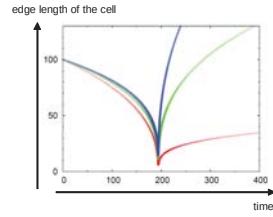
p ; The momentum of the massless scalar field (constant of motion)

$$C_i = \frac{\beta_i \lambda_i}{v} \quad \{v, b\}_{\text{Poisson}} = -2$$

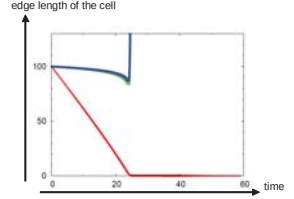
$$\bar{P}(C_1, C_2, b) = \cos(C_1 l + C_2 l + 2bl) + \cos(C_1 l + 2bl) + \cos(C_2 l + 2bl) - \cos(C_1 l - C_2 l) - \cos(C_1 l) - \cos(C_2 l)$$

Behavior of solutions in LQC

As well as the isotropic model, the initial singularity is replaced with the initial bounce in our anisotropic model. Some solutions have very low anisotropy like our observed Universe. However, on the other hand, some solutions have very large anisotropy. Therefore we should construct the set of all solutions to estimate the 'probability' to have the classical isotropic universes.



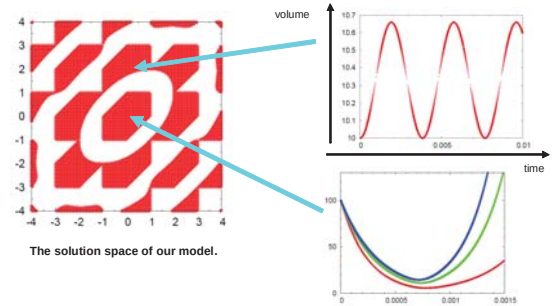
The solution with low anisotropy (case A)



The solution with large anisotropy (case B)

Solution space Analysis

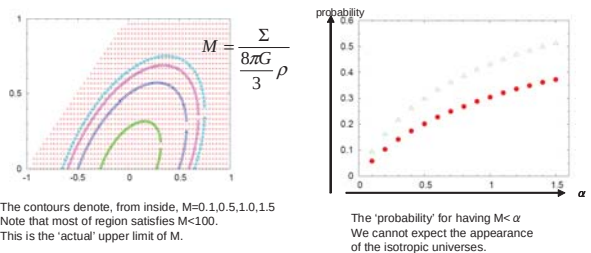
We regard the set of all initial data at the bounce as the solution space. The solution space of our model is a two-dimensional space. We found the surprising fact that except the hexagon area at the center, the universe shows cyclic behavior and never becomes sufficiently classical!



The solution space of our model.

The 'probability' estimation

We define the 'probability' as the normalized area in the solution space where the desired condition is satisfied. We estimate the 'probability' for the anisotropic parameter $M < 1$. The result shows that the isotropic universes are disfavored in LQC. However, if we consider more realistic model, LQC can explain the present isotropy by giving the 'actual' upper limit for M .



The contours denote, from inside, $M=0.1, 0.5, 1.0, 1.5$. Note that most of region satisfies $M < 100$. This is the 'actual' upper limit of M .

The 'probability' for having $M < \alpha$. We cannot expect the appearance of the isotropic universes.

Summary

- We constructed a new formulation of Bianchi type I spacetime in LQC. The formulation extracts the physical degree of freedom. Therefore we can easily construct the set of all physically distinct solutions.

- As well as the isotropic model, the initial singularity problem is resolved by replaced with the initial bounce. Although the anisotropy is preserved, the universe is not symmetry across the bounce.

- In addition to the universes which evolve into the classical universes, we found the cyclic and the stationary solutions which are dominated by the quantum effect.

- The 'probability' for having the isotropic universes are estimated. Although the result is negative, if we consider more realistic situation, LQC can explain the present isotropy by giving the 'actual' upper limit.

1. ABSTRACT

Aim: We will focus on UV-excess(UVX) property of 'Active' early-type galaxies(ETGs) particularly to investigate ionizing sources of the 'LINER' population.

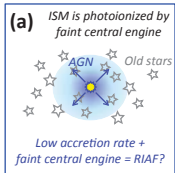
Sample: We reconstructed morphologically selected early-type galaxy sample from the SDSS(DR7) optical data and GALEX(GR6) UV data.

Analyses: We examined (1)the emission-line ratio distributions, (2)NUV-r' color vs. stellar mass relation and (3)FUV-NUV color vs. NUV-r' color relation.

Results: We will report three preliminary results: (1)about half of LINERs have $\text{NUV-r}' \geq 5.0$ mag, (2)most of the bluer($\text{NUV-r}' < 5.0$ mag) half of LINERs show the UV color distribution similar to that of 'Star Formings', (3)the LINERs with a strong UV-upturn feature are rather rare.

Suggestions: (1)The old stars photoionization is promising as a origin of the LINERs with $\text{NUV-r}' \geq 5.0$ mag, (2)the bluer half of LINERs seems to originate from a combination of young and old stars, (3)the strong UV-upturn activity which are sometimes seen in passive ETGs don't necessarily have a strong connection to the LINER-like emission-line activity.

2. MOTIVATION



The low-ionization nuclear emission-line region(LINER) has been known as a kind of representative AGN population, which especially dominates in low-luminosity regime. However, LINER has been thought to have several physical origins for now: **LL-AGN, fast shock, old stars, hot ISM/ICM**(Ho 2008). In the AGN context, therefore, it should be noted that many kinds of 'fake AGNs' are included in this population.

Unfortunately, there are no definitive diagnostic schemes for the LINER origins. Some recent SDSS studies noted that most of LINERs may originate from old stars(Cid Fernandes+2011). These models, however, have a big problem: "Why the 'retired' LINERs are different from the red Quiescent ETGs?" Therefore, we were motivated to examine some UV properties by utilizing the GALEX database and search unknown ionizing UV sources, the answer to this question and the appropriate diagnostics of LINERs.

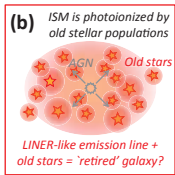


Fig.1-(a), (b): Schematic view of two LINER origins.

3. SAMPLE

Data set: SDSS(DR7) 'PhotoObj' & 'SpecObj' joined table, The MPA-JHU DR7 release of spectrum measurements, The SDSS(DR7)-GALEX(GR6) matched table('xSDSSDR7').

Early-type galaxy criteria:

$0.05 < z < 0.1$, $\text{modelMag}_r' < 16.8$ AB mag, $\text{fracDeV}_g, r', i' > 0.95$, $S/N_g, r', i' > 10.0$.

GALEX-SDSS (reverse)matching criteria:

S/N_{FUV} or $S/N_{\text{NUV}} \geq 3.0$, $\text{NUV} \leq 23.5$ AB mag, (reverse)MultipleMatchCount ≤ 2 , (reverse)DistanceRank = 1, Search radius $\leq 5''$, Distance between matched sources $\leq 4''$.

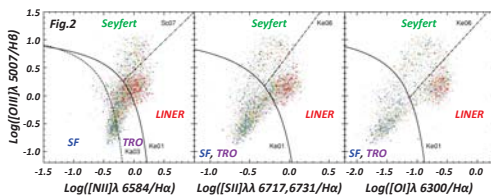
Galactic extinction correction:

$R_V = 3.1$ extinction in Cardelli+(1989) & O'Donnell+(1994), $A/E(B-V)$ are from Schlegel+(1998) & Wyder+(2007), $E(B-V)$ are from Schlegel+(1998).

We used only the GALEX 'MIS' & 'DIS' data.

4. ANALYSES & RESULTS

4-1. Classification result



Number of SDSS(DR7)-GALEX(GR6) matched sample:

- **Active:** $H\beta$, $[OIII]\lambda 5007$, $H\alpha$, $[NII]\lambda 6584$ are $\geq 3\sigma$, → 4122 total ETGs.
- **Semi-Active:** These 1~3 emission lines are $\geq 3\sigma$, → 464 Active ETGs.
- **Quiescent:** $H\beta$, $[OIII]\lambda 5007$, $H\alpha$, $[NII]\lambda 6584$ are $< 3\sigma$, → 1487 Semi-Active ETGs.

Active ETGs → The emission-line diagnostic diagrams(BPT diagrams)

→ 75 Star Forming(SF), 123 Transition Region Object(TRO), 61 Seyfert, 205 LINER.

From Fig.2, we can see a concentrated distribution in each LINER region.

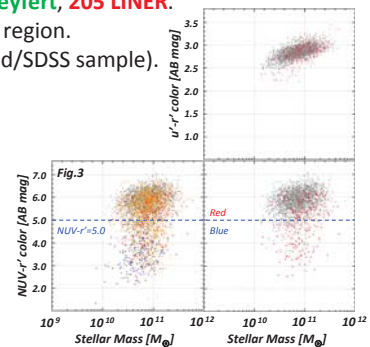
These concentrations are seen in both samples(the cross-matched/SDSS sample).

Color coding in Fig.2: $\text{NUV-r}' < 3.0$, $3.0 \leq \text{NUV-r}' < 4.0$, $4.0 \leq \text{NUV-r}' < 5.0$, $5.0 \leq \text{NUV-r}'$. Gray plots: SDSS early-type sample.

4-2. NUV-r' Color vs. Stellar Mass

(1) About half of LINERs have $\text{NUV-r}' \geq 5.0$ mag(Fig.3):

We showed a UV color-mass diagram in Fig.3. In this plot, we adopted the color threshold of $\text{NUV-r}' = 5.0$ mag which separates all ETGs into 'blue' or 'red' ETGs. This is because the M89(a strong UV-upturn galaxy) shows $\text{NUV-r}' = 5.0$ mag and $\text{FUV-NUV} = 1.0$ mag. From Fig.3, we can see about half of LINERs are red, suggesting that an amount of young OB stars in host galaxy is very small. On the other hand, there are bluer half of LINERs, suggesting that they have some UV sources, i.e., young OB stars and/or LL-AGN.



Color coding in Figs.3-5: SF, TRO, Seyfert, LINER, Semi-Active, Quiescent.

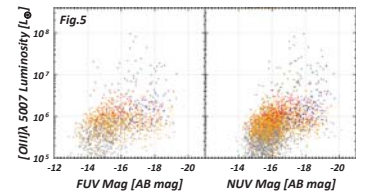
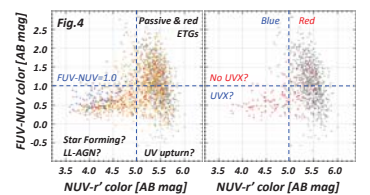
4-3. FUV-NUV Color vs. NUV-r' Color

(2) Most of the bluer half of LINERs show the UV color distribution similar to that of SFs(Fig.4):

We showed a UV color-color diagram in Fig.4. In this plot, we adopted the color threshold of $\text{FUV-NUV} = 1.0$ mag which separates all ETGs into 'UVX' or 'no UVX' ETGs. Most of the aforementioned 'blue' LINERs distribute in the UVX region and their distribution isn't different from that of SFs. Therefore, we speculated that the origin of 'blue' and 'UVX' LINERs could be more likely to be weak star formation(i.e. young OB stars) than LL-AGN(cf. Salim+2012, Fang +2012). In addition, Fig.5 shows that the luminosity of $[OIII]\lambda 5007$ doesn't correlate well with the UV magnitudes, possibly suggesting that LL-AGN isn't a ionizing UV source of LINERs.

(3) The LINERs with a strong UV-upturn feature are rather rare (Fig.4):

Most of the Quiescents are included in the red subclass and their $\text{NUV-r}'$ color is almost constant in Figs.3-4. Their FUV-NUV color, however, does change significantly in Fig.4. This sequence means that they have a wide range of UV-upturn strength(note: bluer FUV-NUV color corresponds to stronger UV-upturn). If the UV-upturn activity links closely to the LINER activity, we would expect that LINERs distribute preferentially around the UV-upturn region. In the case of the aforementioned 'red' LINERs, however, although they showed a wide range of the strength, it seems that they tend to favor the no UVX region(ref. of UV-upturn: Brown+2000, Yi+2011, Ree+2012).



5. DISCUSSION & SUMMARY

(1) **Old stars photoionization('retired' LINER):** Firstly, we presented the 'red' LINERs which show little evidence of young OB stars and/or LL-AGN. If these LINERs don't have a central X-ray source neither, old stars(e.g. Post-AGBs) are promising ionizing sources of the LINERs.

(2) **Possible UV contribution from OB stars:** Secondly, we presented the 'blue' and 'UVX' LINERs which show clear sign of UV sources. If the sources are OB stars, young-to-total mass fraction is estimated to $F(t_{\text{age}}, t_{\text{age}} < 1 \text{ Gyr}) > 10^{-3}$ (Kauffmann+2007). Given this mass fraction, OB stars are expected to be stronger ionizing UV sources than old stars(Cid Fernandes+2011). Although the geometry between gas and ionizing source still remains uncertain, we felt it could be necessary to consider a hybrid photoionization model by young and old stars.

(3) **UV-upturn and 'retired' LINER:** Finally, we presented the 'red' and 'UVX' LINERs which show the UV-upturn feature. We showed that these LINERs are rather minority in the 'red' LINERs, in other words, the UV-upturn activity doesn't tend to induce the LINER activity. Because the UV-upturn activity also has been thought to originate from old stars(i.e. ZAHBs, AGB-Manqués, PE-AGBs, Post-AGBs), this result might suggest that (a)an amount of ionized gas plays a critical role to differentiate between LINERs and Quiescents, or (b)the 'retired' LINERs require some additional ionizing sources other than old stars.



Search for red $K - [3.6] > 2$ galaxies in the Spitzer SEDS survey field



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¹Tohoku University, ²Harvard-Smithsonian Center for Astrophysics

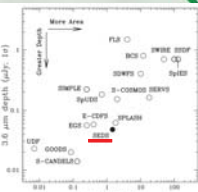
ABSTRACT

We have searched for red galaxies in $K - [3.6]$ color in the UKIDSS Ultra-Deep Survey (UDS) field, and report the preliminary result about these new population galaxies. The Spitzer SEDS survey, where no survey before achieved same depth over such a wide area at mid infrared wavelength, enabled us to detect the hundreds of candidates. There may be three kinds of galaxies among our red galaxy sample that have $K-[3.6]>2$: (1) passive galaxies at $z>5$, (2) dusty star forming galaxies at $z<2$, and (3) emission line galaxies at $z\sim 6$ ([OIII] and H α). We also note that the UDS field is potentially unique field to investigate environmental dependency of detected red galaxies at $z>5$ because the prominent high density regions traced by young star forming galaxies at $z=5.7$ (LAEs) are already reported in this field.

I. Introduction

(1) SEDS survey

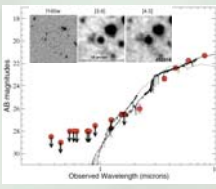
Spitzer Extended Deep Survey (SEDS) is a very deep infrared survey within five well-known extragalactic science fields (Ashby et al. 2013). SEDS covers a total area of 1.46 deg^2 to a depth of 26 AB mag (3σ) in both of the warm IRAC bands at 3.6 and 4.5 μm . This wide and deep survey is adequate for investigating high redshift galaxies ($z=2-7$).



↑ SEDS depth and total area, from Ashby et al. (2013)

(2) IRAC selected red galaxies

Passive galaxies at $z>3$ are difficult to detect because of their red color and lack of emission lines. But some candidates begin to be reported by the combination of very deep Spitzer/IRAC and HST/WFC3 data (Huang et al. 2011, Caputi et al. 2012). Their SEDs are characterized by the Balmer break.



↑ $z\sim 6$ galaxy candidate, from Caputi et al. (2012)

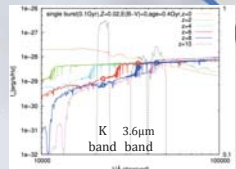
II. Pre-analysis simulation

We expect that it is possible in principle to locate galaxies at $z>5$ using $K-[3.6]$ color to detect the Balmer breaks, where relatively wider area data are available in K band than in HST/WFC3 previous works used.

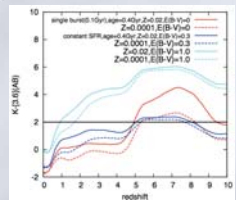
At first we simulated $K-[3.6]$ color using stellar population synthesis models (GALAXEV). We checked model galaxies' $K-[3.6]$ color changing their

- ✓ redshift
- ✓ star formation history (single burst/constant SF)
- ✓ metallicity
- ✓ dust extinction: $E(B-V)$
- ✓ formation redshift/age

Finally, we found passive galaxies at $z>5$ always have $K-[3.6]$ color larger than 2, while low- z dusty galaxies also satisfy the same criterion.



↑ Redshifted spectra with single burst model, their ages are fixed to 0.4 Gyr



↑ $K-[3.6]$ color as function of redshift. Several models are shown, where metallicity and dust extinction are changed.

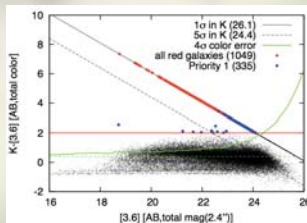
III. Analysis

1, Data in the UDS field

We searched for objects that have $K-[3.6]$ color redder than 2 in the UKIDSS Ultra-Deep Survey (UDS) field, one of SEDS fields. UDS benefits from rich multi-wavelength ancillary data from X-ray to radio.

	FoV (deg ²)	Depth (3 σ , AB, total)	Aperture correction (mag)
SEDS/[3.6]	0.32	26.0	-0.90 (for 2.4" aperture)
UKIDSS/K(DR8)	0.8	25.0	-0.22 (for 2" aperture)

↑ Summary of SEDS/[3.6] and UKIDSS/K-band data



↑ The red galaxies in $[3.6]-[4.5]$ vs $K-[3.6]$ two color diagram.

2, $K-[3.6]$ color

We used the SEDS and UKIDSS/UDS catalogs to measure $K-[3.6]$, where the empirical aperture corrections are applied to estimate total magnitudes.

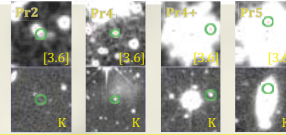
As a result, **1049 objects with $K-[3.6]>2$ are detected**. Note that all of them are redder than the 4σ photometric error for bluer normal objects.

3, Selecting significant sample

There are some possibilities of false detection. As our selection is based on the catalogs, the difference of source extraction is critical. Careful treatment is needed especially for blended sources, where the SEDS catalog identifies objects which suffer source confusion by PSF fitting method (Ashby et al. 2013).

We categorized objects by their blending (see the table below), and **consider the objects which don't suffer source confusion in SEDS and have no or very faint K counterparts as significant sample (Priority 1)**.

suffer source confusion in SEDS	suffer source confusion in UKIDSS/K	have K counterpart	K counterpart is cataloged in the UKIDSS	Priority
No	No	Yes	No	→ Priority 1
No	No	Yes	Yes	→ Priority 4
No	No	No	No	→ Priority 1
Yes	No	Yes	Yes	→ Priority 3
No	Yes	No	No	→ Priority 4
Yes	No	No	No	→ Priority 2
Yes	Yes	No	No	→ Priority 5



← Examples of each priority objects: Green circles show 2.4" apertures. Red squares show all SEDS detections. Cyan squares show all DR8 detections.

⇒ 335 Priority1 are found!

IV. Early results & Future work

1, What's the nature of $K-[3.6]>2$ galaxies?

Three kinds of galaxies are expected to satisfy our $K-[3.6]$ color criterion.

(1) Passive red galaxies at $z > 5$

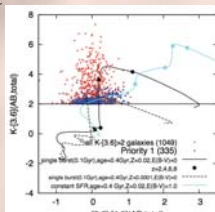
: They should be most interesting galaxies. Relatively blue $[3.6]-[4.5]$ colors suggest they are not too old or metal rich.

(2) Dusty star-forming galaxies at low- z

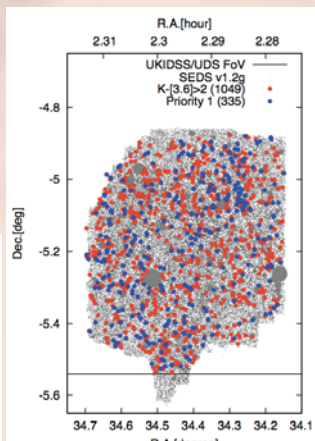
: It is difficult to distinguish passive high- z galaxies from dusty low- z galaxies.

(3) Emission line galaxies at $z \sim 4.5$ or ~ 6

: [OIII] and H α lines of $z\sim 6$ star-forming galaxies enter $[3.6]$ and $[4.5]$, respectively. H α lines of $z\sim 4.5$ galaxies also enter $[3.6]$.

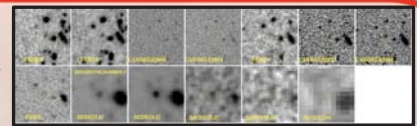


↑ The red galaxies in $[3.6]-[4.5]$ vs $K-[3.6]$ two color diagram.

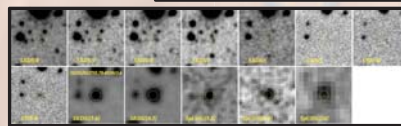


↑ Sky distribution of the $K-[3.6]$ red galaxies

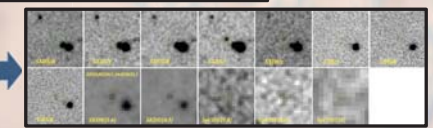
high- z passive?



low- z dusty?



high- z star-forming?



2, Future analysis and observation

- ✓ SED fitting
- ✓ Optical spectroscopy will separate emission line galaxies as they should have Ly α emission lines or Lyman breaks.
- ✓ Comparison with the sky distribution of LAEs at $z=5.7$ (Ouchi +05), which shows the prominent density peak.

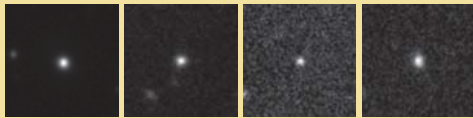


INTRODUCTION

Quiescent galaxies are generally red in the current age of the universe (nearby objects). We associate this color with the assumption that they don't have young stars and thus no large scale star formation. These galaxies also tend to be very spherical and massive. One example can be seen below compared to a spiral star forming galaxy.

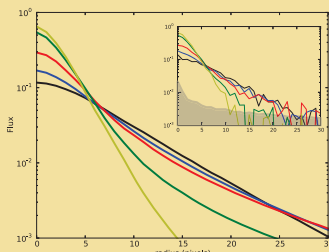


As we look farther away, the images of the galaxies are not so clear. Take a look at these four red galaxies for example, the light from each departed, from left to right: $7.46, 9.51, 11.26$ and 11.69×10^9 years ago. Light from the top galaxy was emitted 3.14×10^9 years ago.



If they have no star formation, then how did they get so massive, concentrated and large? This question has puzzled astronomers for more than 50 years. All aspects of their evolution has been an obstacle for any theory of galaxy evolution. Here we will look at their *size evolution*. Astronomers use the *Sérsic profile* to represent a galaxy's radial light profile:

$$\Sigma(r) = \Sigma_e \exp \left[-b(n) \left(\left(\frac{r}{r_e} \right)^{1/n} - 1 \right) \right]$$



r_e is defined as the radius containing $1/e$ of the total light. n shows the concentration and is known as the Sérsic index. With a similar total light and other observed quantities (eccentricity and etc), these two parameters define the shape of a galaxy light profile.

IN THE LITERATURE

Some authors (e.g. vanDokkum+2010) claim there is a significant size evolution with $r_e \propto (1+z)^{-1.2}$ while others (e.g. Saracco+2011) don't observe such size evolution. Proponents of merging (e.g. vD+2010) associate it with merging and others (e.g. Carollo+2013) to the growth of their possible progenitors.

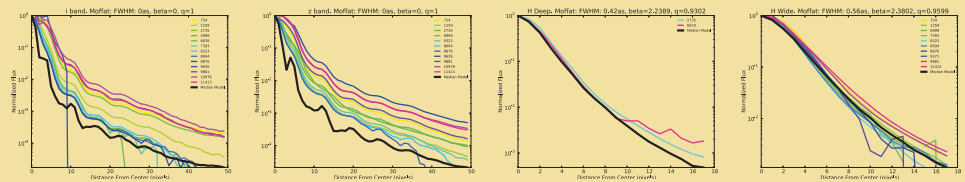
DIFFERENT PHILOSOPHY

Induction is commonly used by the, generally positivist, astronomers. But here we have used a new logical approach: *Reduction to absurdity*. Instead of placing *positive* faith in fitting results, we will assume the results of the two contesting scenarios on r_e and see how that affects another property of the galaxies: the Sérsic index.

IMAGES & PSFs

In this study we used archival images from the GOODS-N region. For each redshift we used the nearest broadband filter to the restframe V band in that redshift. The ACS Treasury Survey (ATS) i band and z band images were used for $0.16 \leq z < 0.55$ and $0.55 \leq z < 0.88$ respectively. The MODS survey (Deep and Wide) J , H and K band images were used for $0.88 \leq z < 1.5$, $1.5 \leq z < 2.43$ and $2.43 \leq z < 3.5$.

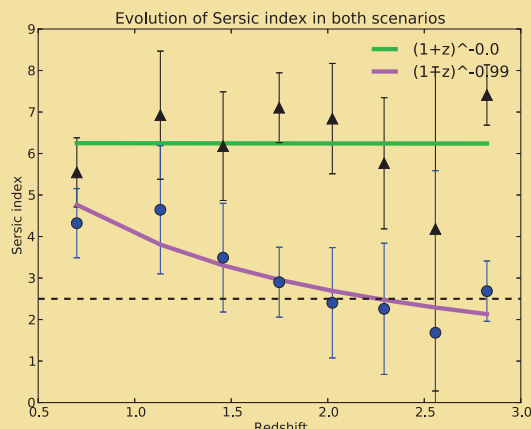
The Point Spread Function (PSF) was fitted for stars separately for the Deep and Wide images of MODS and the whole region for ATS. The final PSF can be seen as the thick black lines of the images below.



FINDING THE SÉRSIC INDEX (n)

Having assumed r_e and knowing the total magnitude of the galaxy. We can simply find n by creating mock profiles with various n s but similar total magnitude and similar r_e . We measure the flux on all elliptical annuli up to the sky level. Thus a one dimensional profile can be found for each galaxy. The flux value for each radius is divided by the flux of other radii and the various flux ratios for each combination of radii are used compared to those of the models to find the best n .

RESULTS



Median Sérsic index of Galaxies placed in bins of equal comoving volume. The purple line shows evolution in n if we assume size evolution and the green line the evolution in n if no size evolution has occurred.

Discussion: Assuming size evolution, results in a significant evolution in n , this suggests a significant evolution in general morphological parameters to simultaneously occur and not just in the size.

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An extension of observable diameter

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Gromov introduced the observable diameter. It measures nearness between a metric measure space and one point measure space in the sense of the observable distance function. We extend the observable diameter to elements of the completion and compactification of the set of metric measure spaces with observable distance function. This is joint research with Takashi Shioya (Tohoku University).

MM-spaces and 1-Lipschitz domination

$X = (X, d_X, \mu_X) : \text{mm-space} \xleftrightarrow{\text{def}}$

$(X, d_X) : \text{a complete separable metric space,}$

$\mu_X : \text{a Borel probability measure on } (X, d_X).$

• $\mathcal{X} : \text{the set of mm-spaces.}$

• $* := (\{p\}, \delta_p) : \text{1-point measure space.}$

$Y \prec X \xleftrightarrow{\text{def}} \exists f : X \rightarrow Y \text{ 1-Lipschitz map s.t.}$
 $\mu_X(f^{-1}(A)) = \mu_Y(A).$

Observable distance [Gromov]

$d_{\text{conc}}(X, Y) : \text{the observable distance.}$

- $(\mathcal{X}, d_{\text{conc}})$ is a metric space. This is not complete.
- d_{conc} compares the distance between the sets of 1-Lipschitz functions on X and Y in the sense of measures.
- $(\bar{\mathcal{X}}, d_{\text{conc}}) : \text{the completion of } (\mathcal{X}, d_{\text{conc}}).$
- $\prod_{n=1}^{\infty} S^n \in \bar{\mathcal{X}} \setminus \mathcal{X}$

Observable diameter

Let $X \in \mathcal{X}$, $\kappa > 0$. We define the observable diameter of X by

$$\text{ObsDiam}(X; -\kappa) := \sup_{f: X \rightarrow \mathbb{R} \text{ 1-Lip}} \inf \{ \text{diam} A \mid A \subset \mathbb{R}, \mu_X(f^{-1}(A)) \geq 1 - \kappa \},$$

$$\text{ObsDiam}(X) := \inf_{\kappa > 0} \max \{ \text{ObsDiam}(X; -\kappa), \kappa \}.$$

Some properties of observable diameter

• $d_{\text{conc}}(X, *) \leq \text{ObsDiam}(X) \leq 2d_{\text{conc}}(X, *).$

• $Y \prec X \Rightarrow$

$\text{ObsDiam}(Y; -\kappa) \leq \text{ObsDiam}(X; -\kappa).$

Theorem [Gromov-Milman]

$M : \text{a closed Riemannian manifold,}$

$\text{Ric}_M > 0.$

$$\Rightarrow \text{ObsDiam}(X; -2\kappa) \leq \frac{2}{\sqrt{\kappa \text{Ric}_M}}.$$

$S^n, \mathbb{C}P^n, SO(n), SU(n), V_{n,p} \xrightarrow{d_{\text{conc}}} *.$

Pyramid [Gromov]

$\mathcal{P} \subset \mathcal{X} : \text{pyramid}$

$\xleftrightarrow{\text{def}} X \in \mathcal{P}, Y \prec X \Rightarrow Y \in \mathcal{P},$

$X, Y \in \mathcal{P} \Rightarrow \exists Z \in \mathcal{P} \text{ s.t. } X, Y \prec Z,$

$\mathcal{P} : \square_1\text{-closed set.}$

To understand the topology generated by d_{conc} , Gromov introduced the pyramid.

• $\square_1 : \text{a canonical metric on } \mathcal{X}.$

• $\Pi : \text{the set of pyramids.}$

• $\mathcal{P}_X := \{Y \in \mathcal{X} \mid Y \prec X\}, \mathcal{X} \in \Pi.$

Theorem [Gromov, Shioya]

• There exists a metric ρ on Π . (Π, ρ) is a compact metric space.

• $X_n \xrightarrow{d_{\text{conc}}} X \Leftrightarrow \mathcal{P}_{X_n} \xrightarrow{\rho} \mathcal{P}_X.$

• $\{\mathcal{P}_X\}_{X \in \mathcal{X}}$ is dense on $(\Pi, \rho).$

• $\rho(\mathcal{P}_X, \mathcal{P}_Y) \leq d_{\text{conc}}(X, Y).$

• (Π, ρ) is the compactification of $(\mathcal{X}, d_{\text{conc}})$ and $(\bar{\mathcal{X}}, d_{\text{conc}}).$

Proposition [Ozawa-Shioya]

$X, X_n \in \mathcal{X}, X_n \xrightarrow{d_{\text{conc}}} X.$

$\text{ObsDiam}(X; -\kappa)$

$$= \lim_{\delta \searrow 0} \lim_{n \rightarrow \infty} \text{ObsDiam}(X_n; -(\kappa + \delta)).$$

An extension of observable diameter

Let $\bar{X} \in \bar{\mathcal{X}}, X_n \in \mathcal{X}, X_n \xrightarrow{d_{\text{conc}}} \bar{X}, \mathcal{P} \in \Pi, \kappa > 0$. We define the observable diameter of $\bar{X}$ and $\mathcal{P}$ by

$$\text{ObsDiam}(\bar{X}; -\kappa) := \lim_{\delta \searrow 0} \lim_{n \rightarrow \infty} \text{ObsDiam}(X_n; -(\kappa + \delta)),$$

$$\text{ObsDiam}(\mathcal{P}; -\kappa) := \lim_{\delta \searrow 0} \sup_{X \in \mathcal{P}} \text{ObsDiam}(X; -(\kappa + \delta)).$$

Proposition [Ozawa-Shioya]

$\bar{X} \in \bar{\mathcal{X}}.$

$\text{ObsDiam}(\bar{X}; -\kappa) = \text{ObsDiam}(\mathcal{P}_{\bar{X}}; -\kappa).$

- $\text{ObsDiam}(\bar{X}; -\kappa)$ and $\text{ObsDiam}(\mathcal{P}; -\kappa)$ are natural extensions of the observable diameter.

Elliptic Ding-Iohara Algebra and the Free Field Realization of the Elliptic Macdonald Operator

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Notations. In this article, we use the following symbols.

The q -infinite product : $(x; q)_\infty := \prod_{n \geq 0} (1 - xq^n)$ ($|q| < 1$),

The theta function : $\Theta_p(x) := (p; p)_\infty (px^{-1}; p)_\infty$,

The double infinite product : $(x; q, p)_\infty := \prod_{m, n \geq 0} (1 - xq^m p^n)$,

The elliptic gamma function : $\Gamma_{q,p}(x) := (qpx^{-1}; q, p)_\infty / (x; q, p)_\infty$.

The Ding-Iohara algebra is a quantum algebra arising from the free field realization (FFR for short) of the Macdonald operator. Starting from the elliptic kernel function as

$$\Pi(q, t, p)(x, y) := \prod_{i,j} \frac{\Gamma_{q,p}(x_i y_j)}{\Gamma_{q,p}(t x_i y_j)} \quad (0.1)$$

introduced by Komori, Noumi and Shiraishi, we can define an elliptic analog of the Ding-Iohara algebra. The free field realization of the elliptic Macdonald operator is also constructed.

$$\begin{array}{ccc} \text{Elliptic Macdonald operator} & \xrightarrow{\text{FFR !}} & \text{Elliptic Ding-Iohara algebra} \\ \uparrow \begin{array}{c} H_N(q, t, p) \\ \text{elliptic} \\ \text{deformation} \end{array} & & \uparrow \begin{array}{c} \mathcal{U}(q, t, p) \\ \text{elliptic} \\ \text{deformation !} \end{array} \\ \text{Macdonald operator } H_N(q, t) & \xrightarrow{\text{FFR}} & \text{Ding-Iohara algebra } \mathcal{U}(q, t) \end{array}$$

1 Main Results

Definition 1.1 (Elliptic Ding-Iohara algebra $\mathcal{U}(q, t, p)$). Let us define the structure function $g_p(x)$ by

$$g_p(x) := \frac{\Theta_p(qx)\Theta_p(t^{-1}x)\Theta_p(q^{-1}tx)}{\Theta_p(q^{-1}x)\Theta_p(tx)\Theta_p(qt^{-1}x)}.$$

Here we have used the notation above and assume $|q| < 1$, $|p| < 1$. We define the elliptic Ding-Iohara algebra $\mathcal{U}(q, t, p)$ to be the associative $\mathbf{C}$ -algebra generated by $\{x_n^\pm(p)\}_{n \in \mathbf{Z}}$, $\{\psi_n^\pm(p)\}_{n \in \mathbf{Z}}$ and γ subject to the following relation : we set γ as the central, invertible element and currents to be $x^\pm(p; z) := \sum_{n \in \mathbf{Z}} x_n^\pm(p) z^{-n}$, $\psi^\pm(p; z) := \sum_{n \in \mathbf{Z}} \psi_n^\pm(p) z^{-n}$.

$$\begin{aligned} [\psi^\pm(p; z), \psi^\pm(p; w)] &= 0, \quad \psi^+(p; z)\psi^-(p; w) = \frac{g_p(\gamma z/w)}{g_p(\gamma^{-1}z/w)} \psi^-(p; w)\psi^+(p; z), \\ \psi^\pm(p; z)x^+(p; w) &= g_p\left(\gamma^{\pm \frac{1}{2}} \frac{z}{w}\right) x^+(p; w)\psi^\pm(p; z), \\ \psi^\pm(p; z)x^-(p; w) &= g_p\left(\gamma^{\mp \frac{1}{2}} \frac{z}{w}\right)^{-1} x^-(p; w)\psi^\pm(p; z), \\ x^\pm(p; z)x^\pm(p; w) &= g_p\left(\frac{z}{w}\right)^{\pm 1} x^\pm(p; w)x^\pm(p; z), \\ [x^+(p; z), x^-(p; w)] &= \frac{\Theta_p(q)\Theta_p(t^{-1})}{(p; p)_\infty^3 \Theta_p(qt^{-1})} \left\{ \delta\left(\gamma \frac{w}{z}\right) \psi^+(p; \gamma^{1/2}w) - \delta\left(\gamma^{-1} \frac{w}{z}\right) \psi^-(p; \gamma^{-1/2}w) \right\}, \end{aligned}$$

where we set the delta function $\delta(z) := \sum_{n \in \mathbf{Z}} z^n$.

Theorem 1.2 (Free field realization of the elliptic Ding-Iohara algebra $\mathcal{U}(q, t, p)$). Let us define an algebra $\mathcal{B}_{a,b}$ of bosons : it is generated by $\{a_n\}_{n \in \mathbf{Z} \setminus \{0\}}$, $\{b_n\}_{n \in \mathbf{Z} \setminus \{0\}}$ with the following relations :

$$\begin{aligned} [a_m, a_n] &= m(1 - p^{|m|}) \frac{1 - q^{|m|}}{1 - t^{|m|}} \delta_{m+n,0}, \quad [b_m, b_n] = m \frac{1 - p^{|m|}}{(qt^{-1}p)^{|m|}} \frac{1 - q^{|m|}}{1 - t^{|m|}} \delta_{m+n,0}, \\ [a_m, b_n] &= 0. \end{aligned}$$

Define the boson Fock space $\mathcal{F}$ as the left $\mathcal{B}_{a,b}$ module generated by the vacuum vector $|0\rangle$ which satisfies $a_n|0\rangle = b_n|0\rangle = 0$ ($n > 0$) : $\mathcal{F} = \text{span}\{a_{-\lambda} b_{-\mu} |0\rangle : \lambda, \mu \in \mathcal{P}\}$, where $\mathcal{P}$ denotes the set of partitions and $a_{-\lambda} := a_{-\lambda_1} \cdots a_{-\lambda_{\ell(\lambda)}}$ ($\lambda \in \mathcal{P}$). Set $\gamma := (qt^{-1})^{-1/2}$ and define operators $\eta(p; z)$, $\xi(p; z)$, $\varphi^\pm(p; z) : \mathcal{F} \rightarrow \mathcal{F} \otimes \mathbf{C}[[z, z^{-1}]]$ as follows :

$$\begin{aligned} \eta(p; z) &:= \exp\left(-\sum_{n \neq 0} \frac{1 - t^{-n}}{1 - p^{|n|}} p^{|n|} b_n \frac{z^n}{n}\right) \exp\left(-\sum_{n \neq 0} \frac{1 - t^n}{1 - p^{|n|}} a_n \frac{z^{-n}}{n}\right) :, \\ \xi(p; z) &:= \exp\left(\sum_{n \neq 0} \frac{1 - t^{-n}}{1 - p^{|n|}} \gamma^{-|n|} p^{|n|} b_n \frac{z^n}{n}\right) \exp\left(\sum_{n \neq 0} \frac{1 - t^n}{1 - p^{|n|}} \gamma^{|n|} a_n \frac{z^{-n}}{n}\right) :, \\ \varphi^+(p; z) &:= \eta(p; \gamma^{1/2}z) \xi(p; \gamma^{-1/2}z) :, \quad \varphi^-(p; z) := \eta(p; \gamma^{-1/2}z) \xi(p; \gamma^{1/2}z) :. \end{aligned}$$

Then the map defined by

$$x^+(p; z) \mapsto \eta(p; z), \quad x^-(p; z) \mapsto \xi(p; z), \quad \psi^\pm(p; z) \mapsto \varphi^\pm(p; z)$$

gives a representation of the elliptic Ding-Iohara algebra $\mathcal{U}(q, t, p)$.

Theorem 1.3 (Free field realization of the elliptic Macdonald operator). The elliptic Macdonald operator $H_N(q, t, p)$ ($N \in \mathbf{Z}_{>0}$) is defined by

$$H_N(q, t, p) := \sum_{i=1}^N \prod_{j \neq i} \frac{\Theta_p(tx_i/x_j)}{\Theta_p(x_i/x_j)} T_{q, x_i} \quad (T_{q, x} f(x) := f(qx)). \quad (1.1)$$

Let $\phi(p; z) : \mathcal{F} \rightarrow \mathcal{F} \otimes \mathbf{C}[[z, z^{-1}]]$ be an operator defined as

$$\phi(p; z) := \exp\left(\sum_{n > 0} \frac{(1 - t^n)(qt^{-1}p)^n}{(1 - q^n)(1 - p^n)} b_{-n} \frac{z^{-n}}{n}\right) \exp\left(\sum_{n > 0} \frac{1 - t^n}{(1 - q^n)(1 - p^n)} a_{-n} \frac{z^n}{n}\right).$$

Then the operators $\eta(p; z)$, $\xi(p; z)$ reproduce the elliptic Macdonald operator as follows.

$$\begin{aligned} [\eta(p; z) - t^{-N}(\eta(p; z)) - (\eta(p; p^{-1}z))_+]_1 \prod_{j=1}^N \phi(p; x_j) |0\rangle \\ = \frac{t^{-N+1} \Theta_p(t^{-1})}{(p; p)_\infty^3} H_N(q, t, p) \prod_{j=1}^N \phi(p; x_j) |0\rangle, \end{aligned} \quad (1.2)$$

$$\begin{aligned} [\xi(p; z) - t^N(\xi(p; z)) - (\xi(p; p^{-1}z))_+]_1 \prod_{j=1}^N \phi(p; x_j) |0\rangle \\ = \frac{t^{N-1} \Theta_p(t)}{(p; p)_\infty^3} H_N(q^{-1}, t^{-1}, p) \prod_{j=1}^N \phi(p; x_j) |0\rangle, \end{aligned} \quad (1.3)$$

where $(\eta(p; z))_\pm$ stands for the plus and minus parts of $\eta(p; z)$ respectively as

$$(\eta(p; z))_\pm = \exp\left(-\sum_{\pm n > 0} \frac{1 - t^{-n}}{1 - p^{|n|}} p^{|n|} b_n \frac{z^n}{n}\right) \exp\left(-\sum_{\pm n > 0} \frac{1 - t^n}{1 - p^{|n|}} a_n \frac{z^{-n}}{n}\right)$$

and $(\xi(p; z))_\pm$ is defined in the similar way. The symbol $[f(z)]_1$ denotes the constant term of $f(z)$ in z .

Another forms of the theorem 1.3

Let us introduce the zero mode generators a_0 , Q satisfying the following :

$$[a_0, Q] = \frac{1}{\beta}, \quad [a_n, a_0] = [b_n, a_0] = 0, \quad [a_n, Q] = [b_n, Q] = 0 \quad (n \in \mathbf{Z} \setminus \{0\}).$$

Here the parameter $\beta \in \mathbf{C}$ is defined by the condition $t = q^\beta$. For a complex number α , we define $|\alpha\rangle := e^{\alpha Q} |0\rangle$. Then we have $\beta a_0 |\alpha\rangle = \alpha |\alpha\rangle$.

By using the zero modes, we can reformulate the free field realization of the elliptic Macdonald operator as follows.

Theorem 1.4. Set operators $\tilde{\eta}(p; z)$, $\tilde{\xi}(p; z)$ as $\tilde{\eta}(p; z) := (\eta(p; z))_- - (\eta(p; p^{-1}z))_+$, $\tilde{\xi}(p; z) := (\xi(p; z))_- - (\xi(p; p^{-1}z))_+$. We define operators $E(p; z)$, $F(p; z)$ as follows :

$$E(p; z) := \eta(p; z) - \tilde{\eta}(p; z) q^{-\beta a_0}, \quad F(p; z) := \xi(p; z) - \tilde{\xi}(p; z) q^{\beta a_0}. \quad (1.4)$$

Then the elliptic Macdonald operators $H_N(q, t, p)$, $H_N(q^{-1}, t^{-1}, p)$ are reproduced from the operators $E(p; z)$, $F(p; z)$ as follows :

$$[E(p; z)]_1 \prod_{j=1}^N \phi(p; x_j) |N\beta\rangle = \frac{t^{-N+1} \Theta_p(t^{-1})}{(p; p)_\infty^3} H_N(q, t, p) \prod_{j=1}^N \phi(p; x_j) |N\beta\rangle, \quad (1.5)$$

$$[F(p; z)]_1 \prod_{j=1}^N \phi(p; x_j) |N\beta\rangle = \frac{t^{N-1} \Theta_p(t)}{(p; p)_\infty^3} H_N(q^{-1}, t^{-1}, p) \prod_{j=1}^N \phi(p; x_j) |N\beta\rangle. \quad (1.6)$$

2 Related topics

The author is interested in the following materials :

- Relation to the elliptic Feigin-Odesskii algebra, commutative family of the elliptic Macdonald operator.
- An elliptic analog of the q -Virasoro algebra.
- Vertex operator representations of the BC type elliptic q -hypergeometric integrals and superconformal indices.
- Partition functions of six dimensional theories, an elliptic analog of the Nekrasov partition function.

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Hasse principle for the Chow groups of zero-cycles on quadric fibrations

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1. Introduction

Given a polynomial equation $f(x_1, \dots, x_n) = 0$ with $\mathbb{Q}$ -coefficients, if it has a rational solution $(x_1, \dots, x_n) \in \mathbb{Q}^n$, then it has a p -adic solution for all primes p and a real solution. If the converse is true, then we say that the Hasse principle holds. For quadratic forms, it is known that the Hasse principle holds, i.e. for $f = a_1x_1^2 + a_2x_2^2 + \dots + a_nx_n^2$, $f = 0$ has a nontrivial solution over p -adic field $\mathbb{Q}_p$ for all primes p and over the real number field $\mathbb{R}$, then it has a

nontrivial solution over the rational number field $\mathbb{Q}$. Since the reduced norm of $\alpha = x + yi + zj + wk \in A$ is $N_{\text{red}}(\alpha) = x^2 + ay^2 + bz^2 + abw^2$ for a quaternion algebra $A = (-a, -b)_{\mathbb{Q}}$, there exists an isomorphism $A \cong M_2(\mathbb{Q})$ if and only if $A \otimes_{\mathbb{Q}} \mathbb{Q}_p \cong M_2(\mathbb{Q}_p)$ for all primes p and $A \otimes_{\mathbb{Q}} \mathbb{R} \cong M_2(\mathbb{R})$. The same argument holds for any central simple algebras. This is equivalent to say that the global-to-local map for the Brauer groups $\text{Br}(\mathbb{Q}) \rightarrow \bigoplus_p \text{Br}(\mathbb{Q}_p) \oplus \text{Br}(\mathbb{R})$ is injective.

2. Chow Group of Zero-cycles on a Quadric Fibration

For an algebraic variety X , a *zero-cycle* on X is a formal finite sum $\sum n_P [P]$ of points $P \in X$ with rational integer coefficients $n_P \in \mathbb{Z}$. All zero-cycles on X forms an infinitely generated free abelian group. But it is conjectured that for a smooth projective variety X over a number field, the group $\text{CH}_0(X)$ of zero-cycles modulo rational equivalence on X is a finitely generated abelian group. The group $\text{CH}_0(X)$ is called the *Chow group of zero-cycles on X* .

Let k be a finite extension of $\mathbb{Q}$, and Ω be the set of all places of k . For $v \in \Omega$, k_v denotes the completion of k at v . Let C be a smooth projective geometrically connected curve over k , X be a proper geometrically integral variety over k , and $\pi : X \rightarrow C$ be a proper

dominant morphism. Then we have the global-to-local map for the Chow groups of zero-cycles:

$$\Phi : \text{CH}_0(X/C) \longrightarrow \prod_{v \in \Omega} \text{CH}_0(X \otimes_k k_v / C \otimes_k k_v),$$

where $\text{CH}_0(X/C)$ is the kernel of the induced map $\pi_* : \text{CH}_0(X) \rightarrow \text{CH}_0(C)$.

$\pi : X \rightarrow C$ is a *quadric fibration* if the generic fiber of π is a smooth projective quadric hypersurface.

Parimala and Suresh showed that if the generic fiber of a quadric fibration $\pi : X \rightarrow C$ is defined by a Pfister neighbour quadratic form of rank ≥ 5 (in this case, $\dim X \geq 4$), then $\text{Ker } \Phi = 0$. Using this result, they showed the Chow group $\text{CH}_0(X)$ on X is a finitely generated abelian group.

Theorem (Hasse principle for the Chow group)

Let $\pi : X \rightarrow C$ be a quadric fibration over a number field k . Assume that $\dim X = 2$ or 3 , and the generic fiber of π is isomorphic to a quadric defined over k (i.e. there exists a quadric $Q \subset \mathbb{P}_k^N$ such that the generic fiber is isomorphic to $Q \otimes_k k(C)$ over $k(C)$). Then we have $\text{Ker } \Phi = 0$.

- Without the assumption that the generic fiber is defined over k , $\text{Ker } \Phi \neq 0$ in general.
- It is known that $\text{CH}_0(X/C)$ is finite in the above case.

3. Global-to-Local Map Restricted to Real Places

Over a function field with one variable over a p -adic field, any quadratic form of rank ≥ 9 has a nontrivial zero (Parimala-Suresh 2010, D.B. Leep 2012). Therefore, when the generic fiber is Pfister neighbour of rank ≥ 5 , Parimala and Suresh's result ($\text{Ker } \Phi = 0$) is equivalent to the injectivity of the following map:

$$\Phi_{\text{real}} : \text{CH}_0(X/C) \longrightarrow \bigoplus_{v: \text{real places}} \text{CH}_0(X \otimes_k k_v / C \otimes_k k_v).$$

Proposition

Let C be the elliptic curve over $\mathbb{Q}$ defined by the affine equation $y^2 = -x(x+2)(x+3)$. Assume that the generic fiber of a quadric fibration $\pi : X \rightarrow C$ is defined by the quadratic form $q = x_1^2 - 2x_2^2 + 3x_3^2 - 6x_4^2$. Then $\text{Ker } \Phi_{\text{real}} \neq 0$.

The above form q is a Pfister form, and does not have nontrivial zeros over $\mathbb{Q}_2$ and $\mathbb{Q}_3$. q has a nontrivial zero over $\mathbb{R}$ and $\mathbb{Q}_p$ for all primes p except 2 and 3.

Local existence and blow-up criterion for the compressible Navier-Stokes-Yukawa equations in Besov spaces

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1 Introduction

We study the compressible Navier-Stokes-Yukawa system:

$$(NSY) \quad \begin{cases} \partial_t \rho + \operatorname{div}(\rho u) = 0, & (t, x) \in \mathbb{R}^+ \times \mathbb{R}^N, \\ \partial_t(\rho u) + \operatorname{div}(\rho u \otimes u) + \nabla P(\rho) \\ = \mu \Delta u + (\mu + \lambda) \nabla \operatorname{div} u + \rho \nabla \psi, & (t, x) \in \mathbb{R}^+ \times \mathbb{R}^N, \\ -\Delta \psi + \psi = \rho - \bar{\rho}, & (t, x) \in \mathbb{R}^+ \times \mathbb{R}^N, \\ u(0, x) = u_0, \quad \rho(0, x) = \rho_0, & x \in \mathbb{R}^N, \end{cases}$$

where $N \geq 2$, describing the motion of a nuclear matter.

$\rho(t, x) : \mathbb{R}^+ \times \mathbb{R}^N \rightarrow \mathbb{R}$: density

$u(t, x) : \mathbb{R}^+ \times \mathbb{R}^N \rightarrow \mathbb{R}^N$: velocity

$\psi(t, x) : \mathbb{R}^+ \times \mathbb{R}^N \rightarrow \mathbb{R}$: Yukawa potential

$P(\rho) = \rho^\alpha$, $\alpha \geq 1$: pressure

μ, λ : Lamé constant, $\mu > 0$, $\lambda + \mu > 0$

$\bar{\rho} > 0$: a physical constant

Under assumptions that the density ρ is bounded away from 0 and tends to some positive constant at infinity, the specific volume $a = a(t, x)$ is well-defined by $a := \rho^{-1} - 1$. Solving the self-consistent third equation for ψ , then the equations for (a, u) is written as follows:

$$\begin{cases} \partial_t a + u \cdot \nabla a = (1 + a) \operatorname{div} u, \\ \partial_t u + u \cdot \nabla u - (1 + a) \mathcal{L}u + \nabla(Q(a)) = -\nabla(Id - \Delta)^{-1} \frac{a}{1 + a} + f, \\ u(0, x) = u_0, \quad a(0, x) = a_0. \end{cases}$$

Here, we denote $\mathcal{L} = \mu \Delta + (\lambda + \mu) \nabla \operatorname{div}$

and $Q(a) := - \int_0^t \frac{P'((1+z)^{-1})}{(1+z)^2} dz$.

2 Critical argument

The compressible barotropic Navier-Stokes system (CNS in short hereafter), which is simply (NSY) without the potential term, has the following scaling property:

$$\begin{cases} \rho_\nu(t, x) := \rho(\nu^2 t, \nu x) \\ u_\nu(t, x) := \nu u(\nu^2 t, \nu x), \end{cases} \quad \nu > 0.$$

provided the pressure term has been changed accordingly.

Definition 1 (Critical space of (NSY)).

$$a \in L^\infty(0, T; B_{p,1}^{\frac{N}{p}}), \quad u \in L^\infty(0, T; B_{p,1}^{\frac{N}{p}-1}).$$

Let $\{\Phi, \phi_j\}_{j \geq 1}$ be inhomogeneous Littlewood-Paley decomposition.

Definition 2. $s \in \mathbb{R}$, $1 \leq p \leq \infty$, $1 \leq \sigma < \infty$.

$$B_{p,\sigma}^s(\mathbb{R}^N) := \{u \in \mathcal{S}' : \|u\|_{B_{p,\sigma}^s} < \infty\}$$

$$\|u\|_{B_{p,\sigma}^s} := \|\Phi * u\|_{L^p} + \left(\sum_{j \geq 1} 2^{js\sigma} \|\phi_j * u\|_{L^p}^\sigma \right)^{\frac{1}{\sigma}}, \quad 1 \leq \sigma < \infty$$

3 Known results

- (CNS) $N \geq 2$, critical Besov space $\dot{B}_{2,1}^{\frac{N}{2}} \times \dot{B}_{2,1}^{\frac{N}{2}-1}$,
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B. Ducomet, 2001.

4 Results

Theorem 1. Let $N \geq 2$, $1 < p < 2N$, and the pressure term P be given by a suitably smooth function of the specific volume a . Assume that the initial data satisfy $a_0 \in B_{p,1}^{\frac{N}{p}}$, $u_0 \in B_{p,1}^{\frac{N}{p}-1}$ and $f \in L_{loc}^1(\mathbb{R}^+; B_{p,1}^{\frac{N}{p}-1})$ with $1 + a_0 \geq \underline{a} > 0$.

- (1) Then, there exists a positive time $T > 0$ such that system (1) has a solution (ρ, u, ψ) satisfying

$$(a, u, \psi) \in C([0, T]; B_{p,1}^{\frac{N}{p}}) \times \left(L^1(0, T; B_{p,1}^{\frac{N}{p}+1}) \cap C([0, T]; B_{p,1}^{\frac{N}{p}-1}) \right)^N \times C([0, T]; B_{p,1}^{\frac{N}{p}+2}).$$

Furthermore there exists some constant $C(\underline{a}) > 0$ depending only on $\underline{a}$ such that for all $(t, x) \in [0, T] \times \mathbb{R}^N$, $1 + a(t, x) > C(\underline{a})$.

- (2) Moreover if p satisfies

$$1 < p \leq N \text{ when } N \geq 3$$

$$\text{or } p = 2 \text{ when } N = 2,$$

the solution (a, u, ψ) is unique.

Remark 1. The function space

$$(\rho - \bar{\rho}, u) \in C([0, T]; B_{p,1}^{\frac{N}{p}}) \times \left(L^1(0, T; B_{p,1}^{\frac{N}{p}+1}) \cap C([0, T]; B_{p,1}^{\frac{N}{p}-1}) \right)^N$$

is scale-critical if one only looks at the high frequency part of the functions. In other words, we need stronger assumptions on the low frequency of the solution to control the potential term.

Theorem 2. Let $1 < p < N$. If the solution of (1)

$$(a, u, \psi) \in C([0, T]; B_{p,1}^{\frac{N}{p}} \times (B_{p,1}^{\frac{N}{p}-1})^N \times B_{p,1}^{\frac{N}{p}+2})$$

satisfies

$$(i) \quad a \in L^\infty(0, T; B_{p,1}^{\frac{N}{p}}), \quad 1 + a \geq \exists \underline{a} > 0,$$

(ii) either

$$(1) \quad u \in L^{\frac{2}{1-\alpha}}(0, T; \dot{B}_{\infty,\infty}^{-\alpha}) \quad (-1 < \alpha < 1) \quad \text{or}$$

$$(2) \quad \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \log(e + \|\nabla u\|_{\dot{B}_{\infty,\infty}^0}) \in L^1(0, T),$$

then (a, u, ψ) may be continued beyond T .

Remark 2. The condition (3) corresponds to the Beale-Kato-Majda blow-up criterion for the Euler equations.

5 Key estimate

$$(LPV) \quad \begin{cases} \partial_t u - (1 + a) \mathcal{L}u = -u \cdot \nabla u + h, \\ u(0, x) = u_0(x). \end{cases}$$

Proposition 3. $N \geq 2$, $1 < p < \infty$, $\frac{N}{p} \geq s > 0$. Let $a \in L^\infty(0, T; B_{p,1}^{\frac{N}{p}})$ with $1 + a \geq \underline{a}$, and u be the solution of (LPV). $\Rightarrow \exists$ some constant C^* (depending on $N, s, p, \mu, \lambda, T, \|u_0\|_{B_{p,1}^s}, \|h\|_{L^1(0,T;B_{p,1}^s)}$ and $\|u\|_{L^{\frac{2}{1-\alpha}}(0,T;\dot{B}_{\infty,\infty}^{-\alpha})} (|\alpha| < 1)$ or

$\int_0^T \|\nabla u\|_{\dot{B}_{\infty,\infty}^0} \log(e + \|\nabla u\|_{\dot{B}_{\infty,\infty}^0}) dt$) such that we have for all $t \in [0, T]$,

$$\|u\|_{L_t^\infty(\widetilde{B_{p,1}^s})} \leq C^*.$$

P-57 The solution of the Homogeneous Complex Monge-Ampère equation corresponding to a geodesic in the space of Kähler metrics

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0 Introduction

The notion of a geodesic in the space of Kähler metrics was introduced by T. Mabuchi. It is important to study the geodesic because it is related to the existence and uniqueness problem of constant scalar curvature Kähler metrics. For example, X. X. Chen-G. Tian proved the uniqueness by using the geodesic.

1 Preliminaries

M : compact complex manifold of complex dimension m

ω : Kähler form on M

In a local coordinate system $(z^1, \dots, z^m)$, ω has an expression:

$$\omega = \sqrt{-1} \sum_{\alpha, \beta=1}^m g_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta.$$

Fix a Kähler form ω .

Lemma 1 ($\partial\bar{\partial}$ -Lemma)

M : compact Kähler manifold

α : exact real (p, p) -form on M

Then there is a real $(p-1, p-1)$ -form β such that

$$\alpha = \sqrt{-1} \partial\bar{\partial}\beta.$$

For any Kähler form $\omega' \in [\omega]$, there exists a smooth real function φ on M such that

$$\omega' = \omega + \sqrt{-1} \partial\bar{\partial}\varphi$$

■ If $\omega + \sqrt{-1} \partial\bar{\partial}\varphi_1 = \omega + \sqrt{-1} \partial\bar{\partial}\varphi_2$, then $\varphi_2 = \varphi_1 + c$ for some $c \in \mathbb{R}$

We define a functional I on $C^\infty(M)$ as follows

$$I(\varphi) = \frac{1}{\text{vol}M} \sum_{p=0}^m \frac{1}{(p+1)!(m-p)!} \int_M \varphi \omega^{m-p} (\sqrt{-1} \partial\bar{\partial}\varphi)^p$$

where $\text{vol}M = \int_M \omega^m / m!$.

For any constant c , $I(\varphi + c) = I(\varphi) + c$

Consider the space of Kähler metrics

$$\mathcal{H}_0 = \{\varphi \in C^\infty(M) \mid I(\varphi) = 0, \omega_\varphi = \omega + \sqrt{-1} \partial\bar{\partial}\varphi \text{ is Kähler form}\}$$

For $\omega_\varphi = \sqrt{-1} \sum_{\alpha, \beta=1}^m g_{\varphi, \alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$, we set $(g_{\varphi}^{\alpha\bar{\beta}}) = (g_{\varphi, \alpha\bar{\beta}})^{-1}$

Definition 2 (A geodesic in the space of Kähler metrics)

$\varphi_t(x) = \varphi(t, x) \in C^\infty([0, 1] \times M)$ such that $\varphi_t \in \mathcal{H}_0$

We say φ_t is a geodesic in $\mathcal{H}_0$ if

$$\varphi_t'' - |\partial\varphi_t'|_{\varphi_t}^2 = 0$$

where φ_t', φ_t'' denote the partial derivatives of φ_t with respect to t and

$$|\partial\varphi_t'|_{\varphi_t}^2 = \sum_{\alpha, \beta=1}^m g_{\varphi_t}^{\alpha\bar{\beta}} \frac{\partial\varphi_t'}{\partial z^\alpha} \frac{\partial\varphi_t'}{\partial \bar{z}^\beta}$$

2 HCMA equation associated with a geodesic

$$\Sigma = \{\tau \in \mathbb{C} \mid 0 \leq \text{Re } \tau \leq 1\}$$

φ_t : a curve in $\mathcal{H}_0$ ($0 \leq t \leq 1$)

For φ_t , we set

$$\Phi(\tau, x) = \varphi_{\text{Re } \tau}(x) \quad (\tau, x) \in \Sigma \times M$$

Proposition 3

φ_t is a geodesic $\iff (p^*\omega + \sqrt{-1} \partial\bar{\partial}\Phi)^{m+1} = 0$ on $\Sigma \times M$, where $p: \Sigma \times M \rightarrow M$ is a natural projection.

We study the following problem

Problem 4

Suppose given smooth functions $F_k: \mathbb{R} \times M \rightarrow \mathbb{R}$ ($k = 0, 1$) such that $F_k(s, \cdot) \in \mathcal{H}_0$ for all $s \in \mathbb{R}$.

Find a smooth function $\Phi: \Sigma \times M \rightarrow \mathbb{R}$ such that

$$(p^*\omega + \sqrt{-1} \partial\bar{\partial}\Phi)^{m+1} = 0 \text{ on } \Sigma \times M$$

$$\Phi(\sqrt{-1}s, \cdot) = F_0(s, \cdot)$$

$$\Phi(1 + \sqrt{-1}s, \cdot) = F_1(s, \cdot)$$

$$\Phi(\tau, \cdot) \in \mathcal{H}_0 \quad \forall \tau \in \Sigma$$

3 MA foliation

$T^{1,0}(\Sigma \times M)$: holomorphic tangent bundle over $\Sigma \times M$

For a solution Φ of Problem 4,

$\ker(p^*\omega + \sqrt{-1} \partial\bar{\partial}\Phi) = \{X \in T^{1,0}(\Sigma \times M) \mid (p^*\omega + \sqrt{-1} \partial\bar{\partial}\Phi)(X, \cdot) = 0\}$ defines a foliation $\mathcal{F}_\Phi$ on $\Sigma \times M$.

$\mathcal{F}_\Phi$ is called the Monge-Ampère foliation associated with Φ .

Since $\Phi(\tau, \cdot) \in \mathcal{H}_0$, each leaf of $\mathcal{F}_\Phi$ is a Riemann surface.

4 Complexification of (M, ω)

$\pi: T^{1,0}M \rightarrow M$ holomorphic cotangent bundle over M

For a Kähler form ω , there is a complex structure $\tilde{J}$ on $T^{1,0}M$ and closed nondegenerate holomorphic 2-form $\Theta = \Theta_1 + \sqrt{-1}\Theta_2$ such that

■ $i_0(M) \subset T^{1,0}M$ is totally real submanifold where i_0 is the zero section

■ For the section $s_\varphi = \partial\varphi: M \rightarrow T^{1,0}M$ defined by $\varphi \in \mathcal{H}_0$,

$$s_\varphi^*(\Theta_1) = 0, \quad s_\varphi^*(\Theta_2) = \omega + \sqrt{-1} \partial\bar{\partial}\varphi$$

Let $\mathcal{W}_\omega$ denote the complex manifold $T^{1,0}M$ equipped with $\tilde{J}$.

$(\mathcal{W}_\omega, \Theta)$ is called the complexification of (M, ω) .

5 Main theorem

$\{g_x\}_{x \in M}$: a family of smooth functions from Σ to $\mathcal{W}_\omega$ parametrized by $x \in M$

We define $\gamma_\tau: M \rightarrow \mathcal{W}_\omega$ by

$$\gamma_\tau(x) = g_x(\tau)$$

and $H: \Sigma \rightarrow \mathbb{R}$ by

$$H(\tau) = \int_M |\gamma_\tau^*(\Theta_1)|^2 \omega^m$$

where $||$ is the norm defined by ω .

For each $\varphi \in \mathcal{H}_0$, we denote Λ_φ the image of $s_\varphi: M \rightarrow \mathcal{W}_\omega$.

Main theorem

Assume $H^1(M, \mathbb{R}) = 0$. Problem 4 has a solution Φ if and only if there is a family of holomorphic functions $g_x: \Sigma \rightarrow \mathcal{W}_\omega$ parametrized by $x \in M$ satisfying following conditions;

1 $\pi(g_x(0)) = x$

2 For each $x \in M$

$$g_x(\tau) \in \begin{cases} \Lambda_{F_0(s, \cdot)} & \tau = \sqrt{-1}s \\ \Lambda_{F_1(s, \cdot)} & \tau = 1 + \sqrt{-1}s \end{cases}$$

3 For each $\tau \in \Sigma$, map $x \mapsto \pi(g_x(\tau))$ is a diffeomorphism from M to M .

4 There are positive constants $C > 0$ and $R > 0$ such that

■ $e^{\pi \text{Im } \tau} H(\tau) < C$ for any $\tau \in \Sigma$ satisfying $\text{Im } \tau > R$

■ $e^{-\pi \text{Im } \tau} H(\tau) < C$ for any $\tau \in \Sigma$ satisfying $\text{Im } \tau < -R$

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(mail: sb0m32@math.tohoku.ac.jp)Notation

- E : locally compact separable metric space
- X_t : m -symmetric Hunt process
- $L^p(m) := \{f : \int_E |f|^p dm < \infty\}$
- $\{T_t\}$: the transition semigroup of X_t

A probability measure μ is a **quasi-stationary distribution (QSD)** of X_t

$$\stackrel{\text{def}}{\iff} \mathbb{P}_\mu(X_t \in B \mid t < \zeta) = \mu(B), \quad \forall t > 0$$

Assumption

- (a) The transition density $p_t(\cdot, \cdot)$ exists,
i.e., $T_t f(x) = \int_E f(y) p_t(x, y) m(dy)$
- (b) $\exists \lambda > 0, \exists \phi \in L^2(m)$: ground state
i.e., $T_t \phi(x) = e^{-\lambda t} \phi(x)$

X_t : **intrinsically ultracontractive (IU)**

$$\stackrel{\text{def}}{\iff} \forall t > 0, \exists \alpha_t, \beta_t > 0 \text{ s.t.,}$$

$$\alpha_t \leq \frac{p_t(x, y)}{\phi(x)\phi(y)} \leq \beta_t, \quad x, y \in E$$

Remark $\{T_t\} : \text{IU} \Rightarrow \phi \in L^1(m)$

Key lemma

Assume $m(E) < \infty$ and $\{T_t\}$: conservative .

Then for $\forall f \in L^1(E; m)$,

$$\lim_{t \rightarrow \infty} T_t f(x) = (m(E))^{-1} \int_E f dm, \quad m\text{-a.e.}$$

Theorem

$$T_t : \text{IU} \Rightarrow \mu(B) := \frac{\int_B \phi dm}{\int_E \phi dm} \text{ is a QSD of } X_t$$

(proof) $T_t^\phi f(x) := \frac{e^{\lambda t}}{\phi(x)} T_t(\phi f)(x)$

$\Rightarrow \{T_t^\phi\}$: semigroup on $L^2(\phi^2 m)$ satisfying
the assumption in Key lemma

$$\lim_{t \rightarrow \infty} \frac{\mathbb{P}_x(X_t \in B; t < \zeta)}{\mathbb{P}_x(t < \zeta)}$$

$$= \lim_{t \rightarrow \infty} \frac{T_t^\phi(1_B/\phi)(x)}{T_t^\phi(1/\phi)(x)} \stackrel{\text{Key lemma}}{=} \frac{\int_B \phi dm}{\int_E \phi dm} = \mu(B)$$

$\Rightarrow \mu$ is a QSD.

Example

Logistic model : $dZ_t = \sqrt{Z_t} dB_t + r(Z_t - K Z_t^2) dt, \quad Z_0 = z > 0$

Set $X_t := 2\sqrt{Z_t} \xrightarrow{\text{It\^o's formula}} dX_t = dB_t - q(X_t) dt \quad \left(q(u) = \frac{1}{2u} - \frac{ru}{2} + \frac{rKu^3}{8} \right)$

$\leadsto X_t$: diffusion on $(0, \infty)$ with the *speed measure* m and the *scale function* s

where $dm(x) = e^{-Q(x)} dx, \quad s(x) = \int_1^x e^{Q(u)} du \quad \left(Q(x) = 2 \int_1^x q(u) du \right)$

$\Downarrow$ classify boundary points

0 : exit boundary, ∞ : entrance boundary ($\Rightarrow X_t$ satisfies Assumption (a), (b))

$\Downarrow$ check **Tomisaki's condition** ... $\left[\begin{array}{l} \text{a sufficient condition for IU in terms of} \\ \text{the speed measure and the scale function} \end{array} \right]$

$X_t : \text{IU} \Rightarrow$ A QSD of Z_t exists

Generalized modal dependence logic

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1. Modal logic

1. syntax

Var := the set of propositional variables

symbols: $\{\vee, \neg, \Box\} \cup \mathbf{Var}$

formula: p ($p \in \mathbf{Var}$) :: $A \vee B$:: $\neg A$:: $\Box A$

2. semantics

assignment : function from **Var** to $\{T, F\}$

Kripke frame (M, R) : M is a set of assignments, R is a binary relation on M

Let (M, R) be a Kripke frame, $s \in M$, A be a formula.

We define a relation $s \models A$ (A is true in s) as usual.

In case of $\Box A$,

$$s \models \Box A \text{ iff } \forall s' \in M ((s, s') \in R \rightarrow s' \models A)$$

Intuitive meaning of Kripke frame

M : a set of worlds

R : accessibility relation

$s \models \Box A$: A is true in all futures of the world s

A is **valid in** (M, R) iff $\forall s \in M (s \models A)$

A is **valid** iff $\forall (M, R) (A \text{ is valid in } (M, R))$

Completeness theorem for modal logic (Kripke1959)

For every formula A ,

$$A \text{ is valid} \Leftrightarrow A \text{ is provable.}$$

A logic has **finite model property** iff

$$[A \text{ is valid} \Leftrightarrow A \text{ is valid in some finite Kripke frame.}]$$

As a corollary of the completeness theorem, we can prove finite model property for modal logic.

Theorem

Modal logic has finite model property.

2. (Generalized) modal dependence logic

1. dependence

Let (M, R) be a Kripke frame, $X \subset M$ and $p, q \in \mathbf{Var}$.
we define a new formula **dep**(p, q) as follows.

$$X \models \mathbf{dep}(p, q) \Leftrightarrow \forall s, s' \in X (s(p) = s'(p) \rightarrow s(q) = s'(q))$$

(the truth value of q is depend on that of p w.r.t. X)

2. syntax

(1) Modal dependence logic (MDL)

symbols: $\{\vee, \neg, \Box\} \cup \mathbf{Var} \cup \{\mathbf{dep}_n : n \in \omega\}$

formula: modal formulas :: $\mathbf{dep}_n(p_0, \dots, p_{n-1}, p_n)$

(2) Generalized modal dependence logic (GMDL)

symbols: $\{\text{symbols of MDL}\} \cup \{\Box, \sim\}$

formula: MDL-formulas: $\mathbf{dep}_n(A_0, \dots, A_{n-1}, A_n) : A \Box B : \sim A$

3. semantics

Let (M, R) be a Kripke frame and $X \subset M$.

$$X \models p \Leftrightarrow \forall s \in X (s(p) = T)$$

$$X \models \neg p \Leftrightarrow \forall s \in X (s(p) = F)$$

$$X \models A \vee B \Leftrightarrow \exists Y, Z (X = Y \cup Z \wedge Y \models A \wedge Z \models B)$$

$$X \models \neg(A \vee B) \Leftrightarrow X \models \neg A \wedge X \models \neg B$$

$$X \models \neg\neg A \Leftrightarrow X \models A$$

$$X \models \Box A \Leftrightarrow R[X] \models A$$

$$(R[X] := \{s' \in M : \exists s \in X ((s, s') \in R)\})$$

$$X \models \neg\Box A \Leftrightarrow \exists Y (\forall x \in X \exists y \in Y ((x, y) \in R) \wedge Y \models \neg A)$$

$$X \models \mathbf{dep}_n(A_0, \dots, A_{n-1}, A_n) \Leftrightarrow$$

$$[\forall s, s' \in X (\{s\} \models A_i \Leftrightarrow \{s'\} \models A_i (\forall i < n))$$

$$\Rightarrow (\{s\} \models A_n \Leftrightarrow \{s'\} \models A_n)$$

$$X \models A \Box B \Leftrightarrow X \models A \vee X \models B$$

$$X \models \sim A \Leftrightarrow X \not\models A$$

4. validity

A is **true in** (M, R, X) iff $X \models A$

A is **valid in** (M, R) iff $\forall X \subset M (A \text{ is true in } (M, R, X))$

A is **valid** iff $\forall (M, R) (A \text{ is valid in } (M, R))$

Theorem

GMDL has finite model property.

3. Open problems

Open problem 1

Are there any good proof systems to prove completeness theorem for MDL (also for GMDL)?

In 2009, Sevenster proved that satisfiability problem of MDL is NEXPTIME-complete.

Open problem 2

What is the computational complexity of satisfiability for GMDL?

Open problem 3

What is the computational complexity of validity for MDL (GMDL)?

Asymptotic error distributions of the Crank-Nicholson scheme for SDEs driven by fractional Brownian motion

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1 Introduction

We consider the following 1-dim SDE:

$$\begin{cases} dX_t = \sigma(X_t) d^\circ B_t, & t \in (0, 1], \\ X_0 = x_0, \end{cases}$$

where B is a 1-dim. fBm on $(\Omega, \mathcal{F}, P)$ with the Hurst parameter $0 < H < 1$. The solution is expressed by $X_t = \phi(x_0, B_t)$.

Remark 1. FBM B is a conti. centered Gaussian proc. with

$$E[B_s B_t] = \frac{1}{2}(s^{2H} + t^{2H} - |s - t|^{2H})$$

for some $0 < H < 1$. Note that

- B is a Bm if $H = 1/2$, else B is NOT a semimartingale.
- B is $(H - \epsilon)$ -Hölder continuous.

The Crank-Nicholson scheme $\{\hat{X}^{(m)}\}_{m=1}^\infty$ is defined by the solution to the equation:

$$\begin{cases} \hat{X}_0^{(m)} = x_0 \\ \hat{X}_t^{(m)} = \hat{X}_{k2^{-m}}^{(m)} + \frac{1}{2} \left(\sigma(\hat{X}_t^{(m)}) + \sigma(\hat{X}_{k2^{-m}}^{(m)}) \right) (B_t - B_{k2^{-m}}), \\ t \in (k2^{-m}, (k+1)2^{-m}]. \end{cases}$$

2 Main theorem

Assumption 2. Assume $1/3 < H < 1/2$ and

$$\sigma \in C_{\text{bdd}}^\infty(\mathbb{R}; \mathbb{R}), \quad \sup |\sigma'| > 0, \quad \inf |\sigma| > 0.$$

Theorem 3 (N). Under Assumption 2, we have

$$\begin{aligned} \lim_{m \rightarrow \infty} \left(B, 2^{m(3H-1/2)} (\hat{X}^{(m)} - X) \right) \\ = \left(B, \sigma(X) \cdot c_{3,H} \int_0^\cdot f_3(X_s) dW_s \right) \end{aligned}$$

weakly in $\mathcal{D}([0, 1]; \mathbb{R}^2)$, where $c_{3,H} > 0$, $f_3 = (\sigma^2)''/24$, and W is a standard Brownian motion independent of B .

3 Proof

We have 5 steps in order to prove the main theorem.

3.1 Analysis of the Hermite variations

Let $q \geq 2$ and $f \in C_{\text{poly}}^{2q}(\mathbb{R}; \mathbb{R})$. Put

$$\begin{aligned} G_q^{(m)}(t) = 2^{-m/2} \sum_{k=0}^{\lfloor 2^m t \rfloor - 1} \frac{f(B_{(k+1)2^{-m}}) + f(B_{k2^{-m}})}{2} \\ \times H_q(2^{mH} \Delta B_{k2^{-m}}), \end{aligned}$$

where H_q denotes the q -th Hermite polynomial.

Proposition 4 (N). If $1/2q < H < 1 - 1/2q$, then we have

$$\lim_{m \rightarrow \infty} (B, G_q^{(m)}) = \left(B, c_{q,H} \int_0^\cdot f(B_s) dW_s \right)$$

weakly in weakly in $\mathcal{D}([0, 1]; \mathbb{R}^2)$.

3.2 Expression of the Crank-Nicholson scheme

Proposition 5 ([1]). Under Assumption 2, $\hat{X}^{(m)}$ satisfies

$$\begin{aligned} \hat{X}_{k2^{-m}}^{(m)} &= \phi \left(x_0, B_{k2^{-m}} + U_{k2^{-m}}^{(m)} \right) \\ &= X_{k2^{-m}} + \sigma(X_{k2^{-m}}) U_{k2^{-m}}^{(m)} + O((U_{k2^{-m}}^{(m)})^2), \end{aligned}$$

where $U^{(m)}$ is defined by $U_0^{(m)} = 0$ and

$$\begin{aligned} U_{(k+1)2^{-m}}^{(m)} &= U_{k2^{-m}}^{(m)} + f_3 \left(\hat{X}_{k2^{-m}}^{(m)} \right) (\Delta B_{k2^{-m}})^3 \\ &\quad + f_4 \left(\hat{X}_{k2^{-m}}^{(m)} \right) (\Delta B_{k2^{-m}})^4 + O((\Delta B_{k2^{-m}})^5) \end{aligned}$$

where $f_3 = (\sigma^2)''/24$ and $f_4 = \sigma(\sigma^2)'''/48$.

3.3 Decomposition into the main term and the remainders

Proposition 6 (N). Under Assumption 2, we have the expansion, for every $\alpha \geq 1$,

$$U^{(m)} = \sum_{\beta=1}^{\alpha} \phi^{(m,\beta)} + O(2^{m(\alpha+1)} (\Delta B)^{3(\alpha+1)}),$$

where $\phi^{(m,1)}$ is defined by $\phi_0^{(m,1)} = 0$ and

$$\begin{aligned} \phi_{(k+1)2^{-m}}^{(m,1)} &= \phi_{k2^{-m}}^{(m,1)} + f_3(X_{k2^{-m}}) (\Delta B_{k2^{-m}})^3 \\ &\quad + f_4(X_{k2^{-m}}) (\Delta B_{k2^{-m}})^4, \end{aligned}$$

and, for $\beta \geq 2$, $\phi^{(m,\beta)}$ is also defined explicitly.

3.4 Convergence of the main term

Proposition 7 (N). Under Assumption 2, we have

$$\lim_{m \rightarrow \infty} (B, 2^{m(3H-1/2)} \phi^{(m,1)}) = \left(B, c_{3,H} \int_0^\cdot h(B_s) dW_s \right)$$

weakly in $\mathcal{D}([0, 1]; \mathbb{R}^2)$.

Proof. Put $h(\eta) = f_3(\phi(x_0, \eta))$. Then we have

$$\begin{aligned} 2^{m(3H-1/2)} \phi^{(m,1)} \\ = 2^{-m/2} \sum_{k=0}^{\lfloor 2^m \cdot \rfloor - 1} \left(h(B_{k2^{-m}}) + \frac{1}{2} h'(B_{k2^{-m}}) \right) (2^{mH} \Delta B_{k2^{-m}})^3 \end{aligned}$$

Using the Taylor formula, $\xi^3 = H_3(\xi) + 3\xi$ and Proposition 4, we have the assertion. $\square$

3.5 Convergence of the remainders

By long calculation, we have $\phi^{(m,\beta)} \rightarrow 0$ for $\beta \geq 2$.

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Homothetic Solution to Curve Shortening Flow

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Preliminaries

As a background of studying geometric flows, the simplest one is the curve shortening flow, given by one-parameter family of closed curves in the plane (t is time and σ is curve parameter),

$$\gamma_t(\sigma) : [0, T) \times [0, 1] \rightarrow \mathbb{R}^2, \text{ such that } \gamma_t(0) = \gamma_t(1)$$

Let N be unit normal vector, and κ is the curvature, then the evolution law $\frac{d\gamma_t(\sigma)}{dt} = \kappa N$ is called the curve shortening flow (CSF).



Background of study

Following two results characterize the curve shortening flow (CSF) for embedded, closed initial curves:

- M. Gage and R. Hamilton [1]: Let $\gamma_0(\sigma)$ be a closed, convex curve, then the evolution law preserves convexity and shrinks to a point in a finite time.
- M. Grayson [2] showed that any closed, embedded curves become convex before it shrinks to a point

Aim

According to Abresch and Langer's [3] study, we present curve shortening flow for plane curves which are not necessarily embedded, and these curves evolve by homothety.

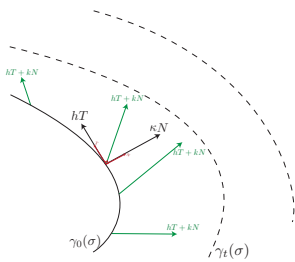
Modification of usual curve shortening flow

In [3], the curve shortening flow is modified by adding tangential field hT to κN in the evolution law, with this modification; flow is geometrically unchanged but this helps us to maintain the constant speed

Let M be 2-manifold and let $\gamma_t(\sigma) : [0, T) \times \mathbb{R}/\mathbb{Z} \rightarrow M$ be an evolving curve according to

$$\frac{\partial \gamma}{\partial t} = hT + \kappa N$$

where $\sigma \in \mathbb{R}/\mathbb{Z}$ is the curve parameter, and $t \in [0, T)$ is the time parameter, N is the unit normal vector and κ is the curvature.



★ Following Proposition shows that the modified CSF has constant speed $\alpha = \left| \frac{\partial \gamma_t}{\partial \sigma} \right|$ along each curves γ_t .

Proposition-1

Let M be 2-manifold, $\gamma_t(\sigma)$ and evolves according to $\partial \gamma / \partial t = hT + \kappa N$, then $\gamma_t(\sigma)$ has constant speed if and only if $\gamma_0(\sigma)$ has constant speed and h satisfies

$$\frac{h'}{\alpha} = k^2 - \int_0^1 k^2 d\sigma.$$

Geometry of Normalized Curve Shortening Flow

In terms of new time parameter τ satisfying $\frac{dt}{d\tau} = \alpha^2$, we define the Normalized flow:

$$\frac{\partial \gamma}{\partial \tau} = \alpha^2 (hT + \kappa N)$$

The quantities of interest for us are speed of the curve $\alpha(\tau)$ and evolution equation for the curvature $k(\sigma, \tau)$.

$\alpha(\tau)$ (speed of the curve) evolves:

$$\frac{\partial \alpha}{\partial \tau} = -\alpha^3 \int_0^1 k^2 d\sigma$$

evolution equation for the curvature $k(\sigma, \tau)$;

$$\frac{\partial k}{\partial \tau} = \frac{\partial^2 k}{\partial \sigma^2} + \alpha h \frac{\partial k}{\partial \sigma} + \alpha^2 k^3 + \alpha^2 k R|_{\gamma}$$

Frenet equations take the following form:

$$\left. \begin{aligned} \frac{\partial T}{\partial s} &= \kappa N \\ \frac{\partial N}{\partial s} &= -\kappa T \end{aligned} \right\} \text{ where } s \text{ is arc-length parameter which implies } \frac{\partial}{\partial s} = \frac{1}{\alpha} \frac{\partial}{\partial \sigma}$$

Moreover, derivative with respect to s and t are related by

$$\frac{\partial}{\partial t} \frac{\partial}{\partial s} = \frac{\partial}{\partial s} \frac{\partial}{\partial t} - \left(\frac{h'}{\alpha} - k^2 \right) \frac{\partial}{\partial s} + R \left(T, \frac{\partial \gamma}{\partial t} \right)$$

where R is the curvature operator on M .

Homothetic solution & main result

Contracting a homothetic solution of normalized CSF is a flow in which the shapes of the curves change homothetically and continuously to a point in finite time, which is called also contracting self-similar curve (the circle is a contracting self-similar curve). Moreover, other such curves must have self-intersections. In fact, all closed homothetically evolving curves classified by Abresch and Langer and it is represented in the following:

Proposition-2

A constant speed parametrized closed curve $\gamma : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{E}^2$ represents a **homothetic solution** of CSF if and only if its normalized curvature function κ (where $\kappa(\sigma, \tau) = \alpha(\tau)k(\sigma, \tau)$) obeys;

$$\frac{\partial \kappa}{\partial \sigma} = \kappa' = -\beta \kappa \quad \text{and} \quad \frac{\partial \beta}{\partial \sigma} = \beta' = \kappa^2 - \lambda^2 \quad (\star)$$

where $\beta(\sigma, \tau)$ is an auxiliary function satisfies $\beta(\sigma, \tau) = \alpha(\tau)h(\sigma, \tau)$, and $0 < \lambda \in \mathbb{R}$.

Reformulation

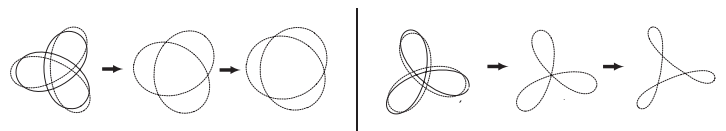
Using $(\star)$ equations, we obtain $\kappa = \lambda e^{-\int \beta}$ substituting in $(\star)$, we obtain

$$\left. \begin{aligned} \text{rewriting } \kappa \text{ as; } \kappa &= \lambda e^{P/2} \text{ with } \beta = -\frac{1}{2} P' \\ P'' + 2\lambda^2(e^P - 1) &= 0 \\ P &= 2 \log\left(\frac{\kappa}{\lambda}\right) \end{aligned} \right\}$$

Theorem (Abresch - Langer)

Let $\gamma : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{E}^2$ be a unit speed closed curve representing a homothetic solution of the curve shortening flow, then γ is an m-covered circle or γ is a member of family of transcendental curves $\{\gamma_{m,n}\}$ having the following description: if m, n are positive integers satisfying $1/2 < m/n < \sqrt{2}/2$, there is a unique unit speed curve $\gamma_{m,n} : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{E}^2$ a solution to the equations

$$P'' + 2\lambda^2(e^P - 1) = 0, \quad P = 2 \log\left(\frac{\kappa}{\lambda}\right) \quad \text{for some constant } \lambda.$$



References:

- [1] M. Gage and R. Hamilton, The heat equation shrinking of convex plane curves, J. Differential Geometry 23 (1986) 69-96.
- [2] M. A. Grayson, The heat equation shrinks embedded plane curves to round points, J. Differential Geom. 26 (1987), no. 2, 285-314.
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Introduction

We study the following nonlinear Schrödinger system:

$$(2\text{-NLS}) \quad \begin{cases} i\partial_t u_1 + \frac{1}{2m_1} \Delta u_1 = \gamma \bar{u}_1 u_2, & t \in \mathbb{R}, x \in \mathbb{R}^2, \\ i\partial_t u_2 + \frac{1}{2m_2} \Delta u_2 = u_1^2, & t \in \mathbb{R}, x \in \mathbb{R}^2, \end{cases}$$

where $u_1(t, x), u_2(t, x) : \mathbb{R} \times \mathbb{R}^2 \rightarrow \mathbb{C}$; unknown complex valued functions. m_1, m_2 are positive constants, $\gamma = \pm 1$.

L^2 -conservation law ($\gamma = 1$)

$$\|u_1(t)\|_{L^2}^2 + \|u_2(t)\|_{L^2}^2 = \text{constant} \quad \text{for all } t \in \mathbb{R}.$$

Final state condition

We impose a final state condition:

$$\|(u_1(t), u_2(t)) - (u_{1a}(t), u_{2a}(t))\|_{L^2} \rightarrow 0 \text{ as } t \rightarrow \infty,$$

where each u_{ja} is defined by a given function $u_{j+} \in H^2$.

Known results

(1) **Single nonlinear Schrödinger equation:**

$$(NLS) \quad i\partial_t u + \frac{1}{2m} \Delta u = |u|^{p-1} u, \quad t \in \mathbb{R}, x \in \mathbb{R}^2.$$

- $p > 2$: $u(t) \sim e^{\frac{it}{2m}\Delta} u_+$ as $t \rightarrow \infty$.
- $p \leq 2$: $u(t) \not\sim e^{\frac{it}{2m}\Delta} u_+$ as $t \rightarrow \infty$.
(Barab ('84), Y. Tsutsumi-Yajima ('84))
- $p = 2$: $u(t) \sim e^{\frac{it}{2m}\Delta} e^{iS(t, -i\nabla)} u_+$ as $t \rightarrow \infty$.
 $S(t, \xi) := -m|\hat{u}_+(\xi)| \log t$.
(Ozawa ('91), Ginibre-Ozawa ('93))

(2) (2-NLS) with $2m_1 = m_2$ (mass resonance condition)

Let $\omega(\xi) > 0$ and $\theta(\xi)$ be given real-valued functions.

(i) In the case of $\gamma = 1$:

$$\begin{cases} \varphi_{1\gamma}(t) = \omega(\xi) e^{i\theta(\xi) + \frac{i}{\sqrt{2}} \omega(\xi) \log t}, \\ \varphi_{2\gamma}(t) = -\frac{1}{\sqrt{2}} \omega(\xi) e^{2i\theta(\xi) + i\sqrt{2} \omega(\xi) \log t}. \end{cases}$$

(ii) In the case of $\gamma = -1$:

$$\begin{cases} \varphi_{1\gamma}(t) = -\frac{i\omega(\xi) e^{i\theta(\xi)}}{1 + \omega(\xi) \log t}, \\ \varphi_{2\gamma}(t) = -\frac{i\omega(\xi) e^{2i\theta(\xi)}}{1 + \omega(\xi) \log t}. \end{cases}$$

Hayashi-Li-Naumkin [1] showed the existence of the solution to (2-NLS) taking each u_{ja} as

$$u_{ja} = -e^{\frac{it}{2m_j}\Delta} \mathcal{F}^{-1} D(m_j) \varphi_{j\gamma} \sim iM_j(t) D(t) \varphi_{j\gamma},$$

where $D(t)\phi := \frac{1}{it}\phi\left(\frac{x}{t}\right)$, $M_j(t)\phi := e^{\frac{im_j|x|^2}{2t}}\phi$.

Main result

Let $\omega(\xi) > 0$ and $\theta(\xi)$ be given real-valued functions.

$$(*) \quad \begin{cases} \varphi_1(t, \xi) = \text{sech}(\omega(\xi) \log t) \omega(\xi) e^{i\theta(\xi)}, \\ \varphi_2(t, \xi) = -i \tanh(\omega(\xi) \log t) \omega(\xi) e^{2i\theta(\xi)}. \end{cases}$$

Theorem

$2m_1 = m_2, \gamma = 1$. There exist $\varepsilon > 0$ with the following property:
Let $\omega(\xi) > 0$ and $\theta(\xi)$ be given real-valued functions which satisfy $\omega, \theta \in H^2(\mathbb{R}^2)$, $\|\omega\|_{H^2} \leq \varepsilon$. Then (2-NLS) has a unique global solution $(u_1, u_2) \in (C(\mathbb{R}; L^2) \cap L^q(\mathbb{R}; L^r))^2$ satisfies

$$\sum_{j=1}^2 \|u_j(t) + e^{\frac{it}{2m_j}\Delta} \mathcal{F}^{-1} D(m_j) \varphi_j\|_{L^2} \rightarrow 0 \text{ as } t \rightarrow \infty$$

where (φ_1, φ_2) is given by (*) and $\frac{2}{q} = \frac{n}{2} - \frac{n}{r}$, $2 \leq q \leq \infty$, $(q, r) \neq (2, \infty)$.

Remark

(1) For the asymptotic profile of u_1 , we have

$$\|u_{1a}(t)\|_{L^2} \rightarrow 0 \text{ as } t \rightarrow \infty.$$

(2) A similar result was obtained by Katayama-Matoba-Sunagawa [2] for a quadratic nonlinear wave system:

$$\begin{cases} \square w_1 = -(\partial_t w_1)(\partial_t w_2), & t \in \mathbb{R}, x \in \mathbb{R}^3, \\ \square w_2 = (\partial_t w_1)^2, & t \in \mathbb{R}, x \in \mathbb{R}^3, \end{cases}$$

where $\square := \partial_t^2 - \Delta$.

Outline of the Proof of Theorem

Putting $v_j := D\left(\frac{1}{m_j}\right) \mathcal{F} e^{-\frac{it}{2m_j}\Delta} u_j(t)$, (2-NLS) leads to the following approximate nonlinear ODE system:

$$\begin{cases} i\partial_t v_1(t) = \gamma \frac{1}{t} \bar{v}_1 v_2 + R_1(t), \\ i\partial_t v_2(t) = \frac{1}{t} v_1^2 + R_2(t), \end{cases}$$

where $R_1(t)$ and $R_2(t)$ are errors. Finally, we prove

$$\begin{aligned} \Phi_1(u_1, u_2)(t) &= -e^{\frac{it}{2m_1}\Delta} \mathcal{F}^{-1} D(m_1) \varphi_1 \\ &\quad + i \int_t^\infty e^{\frac{i(\tau-t)}{2m_1}\Delta} \left(\bar{u}_1 u_2 + \frac{1}{t} e^{\frac{i\tau}{2m_1}\Delta} \mathcal{F}^{-1} D(m_1) \bar{\varphi}_1 \varphi_2 \right) d\tau \end{aligned}$$

is contraction on

$$X_{\rho, T} := \{u \in (C([T, \infty); L^2) \cap L^q(T, \infty; L^r))^2; \|u - u_a\|_X \leq \rho\}$$

where $u(t) = (u_1, u_2)$,

$$u_a(t) = (u_{1a}, u_{2a}) := \left(-e^{\frac{it}{2m_1}\Delta} \mathcal{F}^{-1} D(m_1) \varphi_1, -e^{\frac{it}{2m_2}\Delta} \mathcal{F}^{-1} D(m_2) \varphi_2 \right)$$

and

$$\|v\|_X := \sum_{j=1}^2 \sup_{t \in [T, \infty)} t^\beta \{ \|v_j\|_{L^\infty(t, \infty; L^2)} + \|v_j\|_{L^q(t, \infty; L^r)} \}$$

for $1/2 < \beta < 1$, small $\rho > 0$ and large $T > 0$.

Reference

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Homogeneous Reinhardt domains containing the origin in the complex 3-space

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Algebraic isomorphisms

An analytic automorphism $(z_i) \mapsto (w_i)$ of $(\mathbb{C}^*)^n$ is called an algebraic automorphism, if whose components are given by Laurent monomials, that is, of the form

$$w_i = \alpha_i z_i^{a_{i1}} \cdots z_n^{a_{in}} \quad (1 \leq i \leq n)$$

where $(a_{ij}) \in GL(n, \mathbb{Z})$ and $(\alpha_i) \in (\mathbb{C}^*)^n$.

Suppose D_1 and D_2 are domains in $\mathbb{C}^n$ and an analytic isomorphism $\varphi : D_1 \mapsto D_2$ is induced by an algebraic automorphism. Then the isomorphism φ is said to be an algebraic isomorphism, and two domains D_1, D_2 are called algebraically equivalent. This fact is denoted by $D_1 \stackrel{alg}{\cong} D_2$.

Bounded Reinhardt domains

A domain D in $\mathbb{C}^n$ is called a Reinhardt domain, if it is stable under rotations around the coordinate axis. And D is called homogeneous, if it admits a transitive action of $\text{Aut}(D)$ which is holomorphic automorphism groups on D . We interested in the classification of homogeneous Reinhardt domains by algebraic equivalence relation. When D is bounded, by the well-known H.Cartan theorem, $\text{Aut}(D)$ has the structure of a Lie group with respect to compact-open topology. By means of this fact, following result is shown.

Theorem 1. Let D be a bounded Reinhardt domain in $\mathbb{C}^n$. If D is homogeneous, then $D \stackrel{alg}{\cong} B_{n_1} \times \cdots \times B_{n_k}$ where B_{n_i} denotes the unit ball in $\mathbb{C}^{n_i}$.

Homogeneous Reinhardt domains

Unfortunately it is difficult to generalize Theorem 1 in unbounded Reinhardt domains, because in such a case we cannot use the classical Cartan theorem. But the classification is conjectured to be as follows:

Conjecture For a homogeneous Reinhardt domain D in $\mathbb{C}^n$, there exist integers $n_1, \dots, n_k$ but k may be 0, and non-negative integers l, m with $n_1 + \cdots + n_k + l + m = n$ such that $D \stackrel{alg}{\cong} B_{n_1} \times \cdots \times B_{n_k} \times \mathbb{C}^l \times (\mathbb{C}^*)^m$.

When D is unbounded, this conjecture is still open. The next result in my master's thesis is a partial answer in the unbounded case.

Theorem 2. Let D be a pseudoconvex Reinhardt domain in $(\mathbb{C}^*)^n$. If D is homogeneous, then D is coincide with $(\mathbb{C}^*)^n$.

It is expected that homogeneity implies pseudoconvexity in Reinhardt domains. But it is not proved at this moment.

Current study

Now We research on the conjecture under the opposite condition of Theorem 2, that is, D contains the origin. In addition, for the sake of simplicity, let the space dimension be 3. Then we proved the following:

Theorem 3. Let D be a unbounded proper Reinhardt domain containing the origin in $\mathbb{C}^3$. If D is homogeneous, then D is algebraic equivalent to one of three canonical domains so that $B_1 \times \mathbb{C}^2$, $B_1 \times B_1 \times \mathbb{C}$, $B_2 \times \mathbb{C}$.

The notion of Liouville foliation, which was introduced by Shimizu in order to analyze unbounded Reinhardt domains, play a key role for the proof of Theorem 3.

Definition Let M be a complex manifold. Let $f_1, \dots, f_m$ be bounded holomorphic functions on M , and $g_1, \dots, g_n$ be bounded plurisubharmonic (psh.) functions on M . Then a mapping $\varphi_{m,n} = (f_1, \dots, f_m, g_1, \dots, g_n)$ is called a Liouville mapping on M .

A collection $\{\Sigma_\alpha\}_{\alpha \in A}$ of subsets of M is called a Liouville foliation on M if the following conditions are satisfied:

- (L1) If $\alpha_1 \neq \alpha_2$, then $\Sigma_{\alpha_1} \cap \Sigma_{\alpha_2} = \emptyset$;
- (L2) $\bigcup_{\alpha \in A} \Sigma_\alpha = M$;
- (L3) For each Σ_α , any bounded psh. function on M takes a constant value on Σ_α ;
- (L4) For every $\alpha_1, \alpha_2 \in A$ with $\alpha_1 \neq \alpha_2$, there exists a pair of integers (m, n) and a Liouville mapping $\varphi_{m,n}$ on M such that the constant values of $\varphi_{m,n}$ on Σ_{α_1} and Σ_{α_2} are different.

The important thing is that following:

First, any complex manifold has at most one structure of Liouville foliation, which may contain singular leaves, and it is invariant under holomorphic isomorphisms.

Secondly, In most cases, we classified Liouville foliations that are defined on unbounded proper Reinhardt domains containing the origin in $\mathbb{C}^3$.

Theorem 3 follows from the two facts mentioned above.

On some results for covering systems of congruences

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1 Definition

Definition 1. A system of congruences

$$a_{i0} + \sum_{j=1}^k a_{ij}x_j \equiv 0 \pmod{m_i} \quad (1 \leq i \leq n) \quad (1)$$

is called *covering system* if every $\mathbf{x} = [x_1, \dots, x_k] \in \mathbb{Z}^k$ satisfies one of the congruences of the system. Such a system is called *regular* if it has no proper subsystem which is a covering system.

Definition 2. The set of integers $M = \{m_1, \dots, m_n\}$ is *good* if there exist a_{ij} 's $\in \mathbb{Z}$ such that $\{a_{i0} + \sum_{j=1}^k a_{ij}x_j \equiv 0 \pmod{m_i}\}$ is covering system. We say that m_i is *helpful* in M if $M \setminus \{m_i\}$ is bad but M is good.

2 General covering system

Here are principal result concerning covering systems for $\mathbb{Z}^k$.

Theorem 3. (Novak, Znam, Crittenden)

1. M is good $\Rightarrow \sum_{i=1}^n 1/m_i \geq 1$.
2. the r -th congruence is essential and $m_r = \prod_{\tau=1}^s p_r^{\alpha_\tau} \Rightarrow n \geq 1 + \sum_{\tau=1}^s \alpha_i(p_\tau - 1)$.
3. If a system of the form (1) covers a k -dim cube $C_k \subset \mathbb{Z}^k$ with the side length 2^n , then it's a covering one.

For the *homogeneous covering system* (i.e. $a_{i0} = 0$ for all i), we shall obtain the stronger form and analogue of Thm3.

Theorem 4. (Analogues for homogeneous covering systems)

For a given prime q dividing $\prod_{i=1}^n m_i$ and a given $\gamma > 0$, let $n_\gamma := \#\{i; q^\gamma \parallel m_i\}$, $\alpha = \min_{n_\gamma \neq 0} \gamma$, $\beta = \max_{n_\gamma \neq 0} \gamma$.

1. $\sum_{\eta=\alpha}^{\beta} n_\eta / q^\eta \geq 1$.
2. the r -th congruence is essential $\Rightarrow n \geq 1 + \sum_{\tau=1}^s \{\alpha_\tau(p_\tau - 1) + 1\}$.
3. ($n \geq 2$) If a homogeneous system covers $C_k \subset \mathbb{Z}^k$ with the side length 2^{n-1} and $\mathbf{0} = [0, \dots, 0] \in C_k$, then it's a covering one.
($n \geq 5$) If a homogeneous system covers $C_k \subset \mathbb{Z}^k$ with the side length 2^{n-1} , then it's a covering one.

Remark 5. The following example shows that 2^{n-1} cannot be replaced by $2^{n-1} - 1$ in Thm4:

$$\begin{aligned} y &\equiv 0 \pmod{2}, \\ x + 2^i y &\equiv 0 \pmod{2^{i+1}}, \quad 0 \leq i \leq n-2. \end{aligned}$$

Moreover, for $n \leq 4$ the assertion of Thm4 doesnot hold. The following systems cover the segment $< 2 >$, $< 2, 4 >$, $< 2, 6 >$, $< 2, 10 >$ of the length: 1, 3, 5, 9, respectively.

$$\begin{aligned} x &\equiv 0 \pmod{2}; \\ x &\equiv 0 \pmod{2}, 0 \pmod{3}; \\ x &\equiv 0 \pmod{2}, 0 \pmod{3}, 0 \pmod{5}; \\ x &\equiv 0 \pmod{2}, 0 \pmod{3}, 0 \pmod{5}, 0 \pmod{7}; \end{aligned}$$

(Actually, using the estimate for the Jacobsthal function, we could replace 2^{n-1} by 2, 4, 6 or 10 for $n = 1, 2, 3, 4$, respectively.)

3 An algorithm for testing a set of integers for goodness

We consider the following decision problem for $k = 1$. For answering this problem, we construct an algorithm for testing for goodness.

Covering system

Instance : A multiset of integers $\{m_1, \dots, m_n\}$.

Question : Do there exist integers $a_1, \dots, a_n$ such that

$$\{x \equiv a_1 \pmod{m_1}, \dots, x \equiv a_n \pmod{m_n}\}$$

is a covering system?

Algorithm Moduli

```

input  $M := \{m(1), \dots, m(n)\}$ ;
If  $1/m(1) + \dots + 1/m(n) < 1$  then output "No", stop;
compute  $L := \text{lcm}\{m(1), \dots, m(n)\}$ ;
compute prime factorization of  $L := p(1)^{a(1)} * \dots * p(s)^{a(s)}$ ;
if  $s == 1$  then output "Don't know", stop;
for  $i = 1$  to  $s$ 
    sum := 0;
for  $j = a(i)$  to 1 step -1
    compute sum := sum +  $p(i)^{(a(i) - j)} |\{m \in M : p(i)^j \mid m, p(i)^{(j+1)} \nmid m\}$ 
    if sum <  $p(i)^{(a - j + 1)}$  then call Moduli( $M \setminus \{m \in M : p(i)^j \mid m\}$ ), stop;
    end;
end;
for  $i = 1$  to  $s$ ;
     $M0 := \{m \in M : p(i) \nmid m\}$ 
     $M1 := \{m \in M : p(i) \mid m\}$ 
    Good_Partition_Found := false;
    for each  $p(i)$ -partition  $M1: D(1) \cup \dots \cup D(p(i))$  of  $M1$ 
        if Moduli( $M0 \cup \{d/p(i) : d \in D(k)\}$ ) == "Don't know" for all  $k \in \{1, \dots, p(i)\}$ 
            then Good_Partition_Found := true;
        end;
    if Good_Partition_Found == false then output "No", stop;
    end;
    output "Don't know";
end;

```

The correctness of the algorithm follows from Thm3 and the following theorem.

Theorem 6. Write $M = M_0 \cup M_1$ where the members of M_1 are divisible by p and those of M_0 are not. If M is good, then there exists a partition of $M_1 = D_1 \cup \dots \cup D_p$ such that $M_0 \cup \{d/p : d \in D_i\}$ is good for each choice of $i \in \{1, \dots, p\}$.

Unfortunately this algorithm cannot give a positive answer: its output is either "No" or "Don't know". But considering the sets which returned "Don't know" as output, we could show that no regular and composite covering systems exist with fewer than 20 moduli.

References

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Formal Group Law

Let R be a commutative ring with the identity.
Let $X = (x_1, x_2, \dots, x_g)$ and $R[[X]]$ be the ring of formal power series with coefficients in R .

$$F(X, Y) = (F_1(X, Y), \dots, F_g(X, Y)), F_i(X, Y) \in R[[X, Y]]$$

is a formal group law over R if

$$\begin{aligned} F(X, 0) &= F(0, X) = X \\ F(F(X, Y), Z) &= F(X, F(Y, Z)) \end{aligned}$$

Examples of (a one-parameter) Formal Group Law

The additive formal group law:

$$\mathbb{G}_a(x, y) = x + y$$

The multiplicative formal group law:

$$\mathbb{G}_m(x, y) = (x + 1)(y + 1) - 1$$

For $f(x) \in R[[x]]$ such that $f(x) = ex + \dots$ (e is a unit in R), $F(x, y) = f^{-1}(f(x) + f(y)) \in R[[x, y]]$ is a formal group law over R . $f(x)$ is called the logarithm of $F(X, Y)$.

Elliptic Curve

An elliptic curve E over a field K is a nonsingular projective cubic curve, defined over K , with a K -rational point. $P = (x_1, \dots, x_n)$ is a K -rational point if $\forall i, x_i \in K$. We write $P \in E(K)$.

An elliptic curve over $\mathbb{Q}$ can be expressed in Weierstrass form $\hat{E}$:

$$\hat{E}: y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6 \quad (a_i \in \mathbb{Q})$$

and by taking the minimal model of $\hat{E}$, a_i will be in $\mathbb{Z}$.

In general, a line through E meets E at 3 points. By using this fact, we can define an addition on an elliptic curve and the points on E form a group. This addition can be expressed as a formal group law (the method I).

Honda's Theorem(1968)

Let E be an elliptic curve over $\mathbb{Q}$, E_w be the Weierstrass minimal model of E , $E_p = E_w \bmod p$ for a prime number p , and $E(x, y)$ be the formal group law(I) given by the group law of E . For each prime number p , L_p is defined as:

$$L_p = \begin{cases} (1 - a_p p^{-s} + p^{1-2s})^{-1} & \text{if } E_p \text{ is of genus one;} \\ (1 - \varepsilon_p p^{-s})^{-1} & \text{if } E_p \text{ has an ordinary double point;} \\ 1 & \text{if } E_p \text{ has a cusp.} \end{cases}$$

where $a_p = p + 1 - \#E(\mathbb{F}_p)$, and $\varepsilon_p = 1$ if the tangents at the double point are rational over $\mathbb{F}_p$ and $\varepsilon_p = -1$ if not. We define

$$\sum_{n=1}^{\infty} a_n n^{-s} = \prod_{p \in S} L_p(s)$$

$$I(x) = \sum_{n=1}^{\infty} n^{-1} a_n x^n$$

$$L(x, y) = I^{-1}(I(x) + I(y)).$$

Let S be any set of prime numbers which does not contain 2 (resp. 3) if E_2 (resp. E_3) has genus one with $a_2 = \pm 2$ (resp. $a_3 = \pm 3$), and $\mathbb{Z}_S = \bigcap_{p \in S} (\mathbb{Z}_p \cap \mathbb{Q})$. Then

$L(x, y)$ is a formal group law(the method II) over $\mathbb{Z}$

$$L(x, y) \cong E(x, y) \quad \text{over } \mathbb{Z}_S$$

*The restriction about S is removed by his paper in 1970.

In this theorem, there are two ways of the construction of a formal group law, (I) and (II).

Formal Group

For a ring R , let $\mathcal{N}il\mathcal{A}lgs_R$ be the category of nil- R -algebras, i.e. the ideals of nilpotent elements of R -algebras. Formal affine n -space over R is the functor

$$\mathbb{A}_R^n: \mathcal{N}il\mathcal{A}lgs_R \rightarrow \mathcal{S}ets$$

which assigns to a nil- R -algebra A the set A^n and to the morphism f the map $\prod^n f$.

An n -dimensional formal group over R is a functor

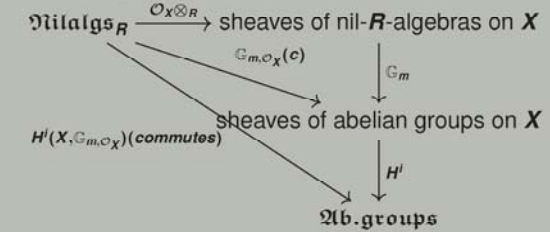
$$G: \mathcal{N}il\mathcal{A}lgs_R \rightarrow \mathcal{A}b.groups$$

such that $F_{or} \circ G \cong \mathbb{A}_R^n$, where F_{or} is the forgetful functor.

(e.g.) If $F(X, Y)$ is a formal group law over a commutative ring R , a commutative nil- R -algebra A inherits a group structure from F by $a +_F b = F(a, b)$ for $a, b \in A$, where $F(a, b)$ is a convergent sum. So this induces a formal group.

Artin-Mazur Functor

Let R be a ring, X a scheme over R , $\mathcal{O}_X$ the structure sheaf on X and $i \in \mathbb{Z}_{\geq 0}$. Then we can construct $\mathbb{G}_{m, \mathcal{O}_X}$ and $H^i(X, \mathbb{G}_{m, \mathcal{O}_X})$ by



where $\mathcal{O}_X \otimes_R$ assigns to a nil- R -algebra A the sheaf $\mathcal{O}_X \otimes_R A$ associated with the presheaf (open U) $\mapsto \Gamma(U, \mathcal{O}_X) \otimes_R A$; $\mathbb{G}_m$ assigns to a sheaf $\mathcal{A}$ of nil- R -algebras the sheaf of abelian groups $\mathbb{G}_m(\mathcal{A})$ defined by $\Gamma(U, \mathbb{G}_m(\mathcal{A})) = \mathbb{G}_m(\Gamma(U, \mathcal{A}))$ for every open $U \subset X$; H^i is taking i -th cohomology.

We will write $\mathbb{G}_{m, X}$ instead of $\mathbb{G}_{m, \mathcal{O}_X}$. The functors $H^i(X, \mathbb{G}_{m, X})$ are called the Artin-Mazur functors.

Formal Group Law for some A-M Functors (Stienstra 1987)

Let R be a noetherian ring, F be a homogeneous polynomial in $R[T_0, \dots, T_N]$ of degree $2d > 2N$ and let X be the double covering of $\mathbb{P}_R^N$ defined by $W^2 = F(W)$ is a new variable of weight d). Then

$$H^N(X, \mathbb{G}_{m, X}) \text{ is a formal group over } R \text{ of dimension } n = \binom{d-1}{N}.$$

If R is flat over $\mathbb{Z}$, put

$$J = \{i = (i_0, \dots, i_N) \in \mathbb{Z}^{N+1} \mid i_0, \dots, i_N \geq 1, i_0 + \dots + i_N = d\}.$$

Then there is a formal group law (the method III) for $H^N(X, \mathbb{G}_{m, X})$ with logarithm $I(\tau) = (I_i(\tau))_{i \in J}$ given by

$$I_i(\tau) = \sum_{m \geq 1} \sum_{j \in J} m^{-1} \beta_{m, i, j} \tau_j^m$$

where

$$\beta_{m, i, j} = \begin{cases} 0 & \text{if } m \text{ is even;} \\ \text{the coefficient of } T_0^{mj_0 - i_0} \dots T_N^{mj_N - i_N} \text{ in } F^{(m-1)/2} & \text{if } m \text{ is odd.} \end{cases}$$

*If $N = 1, d = 2$ and $F = T_0 T_1^3 + a T_0^3 T_1 + b T_0^4$, this is as same as the Weierstrass model of an elliptic curve $y^2 = x^3 + ax + b$. The logarithm $I(\tau)$ we get from this theorem is actually the logarithm of the standard group structure on the elliptic curve(I).

1 Notions and Notations

The jump type Markov process on $\mathbb{R}^d$ describes the random motion of particle in the state space $\mathbb{R}^d$. We can characterize this by means of Dirichlet form $\mathcal{E}$ as follows:

$$\mathcal{E}(u, v) = \iint_{\mathbb{R}^d \times \mathbb{R}^d} (u(y) - u(x))(v(y) - v(x))J(x, y)dx dy.$$

Here $J(x, y)$ is a symmetric function and describes the frequency of jump from x to y . In particular, if $J(x, y) \asymp 1/|x - y|^{d+\alpha}$ holds for some $0 < \alpha < 2$, we call the associated Markov process **α -stable-like**. If $J(x, y) \asymp \exp(-m|x - y|)/|x - y|^{d+\alpha}$ holds for some $0 < \alpha < 2$ and $m > 0$, we call the associated Markov process **relativistic α -stable-like**. In the sequel, we deal with these two kinds of jump Markov process.

2 Preceding Results

The **transition density function** $p(t, x, y)$ is one of the important notions in order to analyze Markov processes. This is the probability with which the particle in x at time 0 exists in y at time t . Moreover it is known that $p(t, x, y)$ coincides with the **fundamental solution** of $\partial u / \partial t = \mathcal{L}u$, where $\mathcal{L}$ is a non-local operator satisfying

$$\mathcal{E}(u, v) = - \int_{\mathbb{R}^d} \mathcal{L}u(x)v(x)dx.$$

Z. Q. Chen, P. Kim and T. Kumagai showed that $p(t, x, y)$ admits the two-sided estimates as follows:

$$C_1\phi(C_2t, C_3|x - y|) \leq p(t, x, y) \leq C_4\phi(C_5t, C_6|x - y|),$$

where ϕ is an appropriate function and C_i 's are positive constants [1, 2].

3 Problem in Consideration

In the sequel we assume that the Markov process is **transient**, namely it holds that

$$G(x, y) := \int_0^\infty p(t, x, y)dt < \infty.$$

First we define some classes of small measure μ .

Definition 1. (i) A measure μ is said to be in **Kato class** if it holds that

$$\lim_{a \rightarrow 0} \sup_{x \in \mathbb{R}^d} \int_{|x - y| \leq a} G(x, y)\mu(dy) = 0 \quad (1)$$

(ii) A Kato class measure μ is said to be **Green tight** if it holds that

$$\lim_{r \rightarrow \infty} \sup_{x \in \mathbb{R}^d} \int_{|y| > r} G(x, y)\mu(dy) = 0. \quad (2)$$

Here we consider the perturbation of Dirichlet form $\mathcal{E}$ by Green tight measure μ as follows:

$$\mathcal{E}^\mu(u, u) = \mathcal{E}(u, u) - \int_{\mathbb{R}^d} u^2 d\mu. \quad (3)$$

It is known that $\mathcal{E}^\mu$ (or corresponding operator $\mathcal{L}^\mu := \mathcal{L} + \mu$) also admits the fundamental solution $p^\mu(t, x, y)$. We consider the condition on μ under which $p^\mu(t, x, y)$ admits the same two sided estimates as $p(t, x, y)$ up to the choice of positive constants. We call this phenomenon **stability of fundamental solution**.

4 Main Result

The main result is the necessary and sufficient condition on μ for the stability of fundamental solution. The precise statement is as follows:

Theorem 2. (W. 2012)

Assume that the Green tight measure μ satisfies

$$\iint_{\mathbb{R}^d \times \mathbb{R}^d} G(x, y)\mu(dx)\mu(dy) < \infty.$$

Then the stability of fundamental solution holds if and only if

$$\inf\{\mathcal{E}(u, u) \mid u \in \mathcal{F}, \int_{\mathbb{R}^d} u^2 d\mu = 1\} > 1, \quad (4)$$

where $\mathcal{F}$ is the domain of the Dirichlet form $\mathcal{E}$.

Note that the formula (4) describes the smallness of measure μ compared with the initial Dirichlet form $\mathcal{E}$. Furthermore, this result is the same as that in Takeda [3], which deals with the same problem in the framework of transient Brownian motion.

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Locator function for concentration points of solutions of a reaction-diffusion equation in heterogeneous media

Hiroko Yamamoto

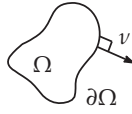
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1 Introduction

Consider the Neumann problem for a semilinear elliptic equation:

$$(1) \quad \begin{cases} \varepsilon^2 \mathcal{A}u - a(x)u + b(x)u^p + \delta \sigma(x) = 0 & \text{in } \Omega, \\ \sum_{i,j=1}^n a_{ij}(x) \frac{\partial u}{\partial x_j} \nu_i = 0 & \text{on } \partial\Omega, \end{cases}$$

where $\Omega \subset \mathbb{R}^n$, $\partial\Omega \in C^\infty$, and ν denotes the unit outward normal to $\partial\Omega$; $\varepsilon > 0$ and $\delta \geq 0$ are sufficiently small; $\mathcal{A}u = \sum_{i,j=1}^n \partial/\partial x_i (a_{ij}(x) (\partial u / \partial x_j))$ is uniformly and strictly elliptic; $a_{ij}, a, b, \sigma \in C^1(\overline{\Omega})$; $a(x)$ and $b(x)$ are strictly positive on $\overline{\Omega}$; $\sigma \geq 0$, $\|\sigma\|_{L^\infty(\Omega)} = 1$; the exponent p satisfies $1 < p < (n+2)/(n-2)$.



Known Result (Homogeneous Case)

(i.e. $a_{ij}(x) \equiv \delta_{ij}$, $a(x) \equiv b(x) \equiv 1$, $\delta = 0$)

Consider the following single elliptic equation

$$\begin{cases} \varepsilon^2 \Delta u - u + u^p = 0 & \text{in } \Omega, \\ \partial u / \partial \nu = 0 & \text{on } \partial\Omega. \end{cases}$$

$$\exists \text{ Ground state } u_\varepsilon(x) = w_0\left(\frac{x - P_\varepsilon}{\varepsilon}\right) + O(\varepsilon),$$

P_ε : max. pt. of u_ε ,

where w_0 is a unique solution of

$$\begin{cases} \Delta w_0 - w_0 + w_0^p = 0 & \text{in } \mathbb{R}^n, \\ w_0(0) = \max_{y \in \mathbb{R}^n} w_0(y), \quad w_0(\infty) = 0, \end{cases}$$

and $w_0(y) = w_0(|y|)$ decays exponentially.

$$\exists P_{\varepsilon_j} \rightarrow \exists P_0 \quad (\varepsilon_j \downarrow 0).$$

Then P_0 is the maximum point of the mean curvature of $\partial\Omega$ ([1]).

So, geometry of the domain determines the location of P_0 .

Our Question: Where is P_0 in the heterogeneous case?

2 Preparations for generalization

First, there exists a **minimal solution** $u_{m,\varepsilon} = u_{m,\varepsilon}(x)$ of (1) obtained as the perturbation from $\delta = 0$ (i.e. $\|u_{m,\varepsilon}\|_{L^\infty(\Omega)} = O(\delta)$).

Definition 1. An energy functional corresponding to (1):

$$J_\varepsilon(u) := \frac{1}{2} \int_\Omega \left\{ \varepsilon^2 \sum_{i,j=1}^n a_{ij}(x) \frac{\partial u}{\partial x_j} \frac{\partial u}{\partial x_i} + a(x)u(x)^2 \right\} dx - \frac{1}{p+1} \int_\Omega b(x)u_+(x)^{p+1} dx - \int_\Omega \delta \sigma(x)u(x) dx.$$

For $\delta > 0$ we use an energy functional:

$$I_\varepsilon(v) := J_\varepsilon(u_{m,\varepsilon} + v) - J_\varepsilon(u_{m,\varepsilon}),$$

where $u, v \in H^1(\Omega)$, $u_+(x) := \max\{u(x), 0\}$.

By the MPL, $\exists$ a critical point $v_\varepsilon \in H^1(\Omega)$ of I_ε . We call $u_\varepsilon := u_{m,\varepsilon} + v_\varepsilon$ a **ground state solution** of (1).

Definition 2. For $Q \in \overline{\Omega}$, define the **primary locator function Φ** by $\Phi(Q) := a(Q)^{1-\frac{n}{2}+\frac{2}{p-1}} b(Q)^{-\frac{2}{p-1}} \{\det(a_{ij}(Q))\}^{\frac{1}{2}}$.

Moreover, by calculating the energy I_ε , $\exists I_0 > 0$: a const. s.t.

$$\lim_{\varepsilon \downarrow 0} \frac{1}{\varepsilon^n} I_\varepsilon(v_\varepsilon) = \min \left\{ \frac{1}{2} \min_{Q \in \partial\Omega} \Phi(Q), \min_{Q \in \overline{\Omega}} \Phi(Q) \right\} \{I_0 - O(\delta)\}.$$

3 Main Results

Theorem 1. Assume $u_{\varepsilon_j}(P_{\varepsilon_j}) = \max_{x \in \overline{\Omega}} u_{\varepsilon_j}(x)$, $P_{\varepsilon_j} \rightarrow P_0$ as $\varepsilon_j \downarrow 0$. Then: for sufficiently small δ ,

- (i) $\min_{Q \in \overline{\Omega}} \Phi(Q) < 1/2 \min_{Q \in \partial\Omega} \Phi(Q)$
 $\Rightarrow P_0 \in \Omega$. Moreover, $|P_0 - Q_0| = O(\delta)$,
 where $Q_0 \in \Omega$ is a global min. pt. of $\Phi(Q)$ over $\overline{\Omega}$.
- (ii) $\min_{Q \in \overline{\Omega}} \Phi(Q) > 1/2 \min_{Q \in \partial\Omega} \Phi(Q)$
 $\Rightarrow P_0 \in \partial\Omega$. Moreover, $|P_0 - Q_0| = O(\delta)$,
 where $Q_0 \in \partial\Omega$ is a min. pt. of $\Phi(Q)$ over $\partial\Omega$.

4 Examples of a concentration point P_0

Example 1. $n = 1$, $\Omega = (0, 1)$, $p = 2$, $a_{ij}(x) \equiv \delta_{ij}$, $\delta = 0$.

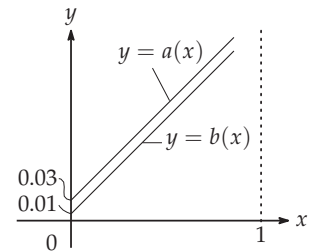
$$\begin{cases} a(x) = x + 0.03, \\ b(x) = x + 0.01. \end{cases}$$

$$\Rightarrow \Phi(x)^2 = a(x)^5 b(x)^{-4}, P_0 = 0.07.$$

Observation:

For any linear functions $a(x), b(x)$,

- In most cases $P_0 \in \partial\Omega = \{0, 1\}$,
- Even if P_0 is an interior pt. of $(0, 1)$, P_0 must be very close to $x = 0$ or $x = 1$.



Example 2. $n = 2$, $\Omega = B_1(0)$, $a_{ij}(x) \equiv \delta_{ij}$, $a(x) \equiv b(x)$, $\delta > 0$.

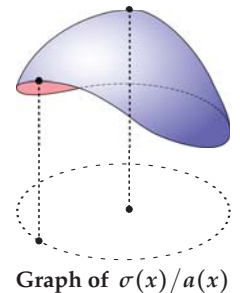
$$\Rightarrow \Phi(Q) = a(Q)^{1-\frac{2}{2}+\frac{2}{p-1}} b(Q)^{-\frac{2}{p-1}} = a(Q)^{\frac{2}{p-1}} a(Q)^{-\frac{2}{p-1}} \equiv 1.$$

By (ii) of Theorem 1, $P_0 \in \partial B_1(0)$.

Where on the boundary?

We assume the graph of $\sigma(x)/a(x)$ is as in the figure on the right-hand side.

P_0 is in a nbd. of the **max. pt. of $\sigma(x)/a(x)$** on $\partial\Omega$.



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Mixed polynomials

Let $f(\mathbf{z}, \bar{\mathbf{z}})$ be a polynomial of variables $\mathbf{z} = (z_1, \dots, z_n)$ and $\bar{\mathbf{z}} = (\bar{z}_1, \dots, \bar{z}_n)$

$$f(\mathbf{z}, \bar{\mathbf{z}}) := \sum_{\nu, \mu} c_{\nu, \mu} \mathbf{z}^\nu \bar{\mathbf{z}}^\mu,$$

where $\mathbf{z}^\nu = z_1^{\nu_1} \cdots z_n^{\nu_n}$ for $\nu = (\nu_1, \dots, \nu_n)$ (resp. $\bar{\mathbf{z}}^\mu = \bar{z}_1^{\mu_1} \cdots \bar{z}_n^{\mu_n}$ for $\mu = (\mu_1, \dots, \mu_n)$). of this form is called a *mixed polynomial* [2].

Let $g(\mathbf{x}, \mathbf{y})$ and $h(\mathbf{x}, \mathbf{y})$ be real polynomials with variables $\mathbf{x} = (x_1, \dots, x_n)$ and $\mathbf{y} = (y_1, \dots, y_n)$. Then the real polynomial map $(g(\mathbf{x}, \mathbf{y}), h(\mathbf{x}, \mathbf{y})) : \mathbb{R}^{2n} \rightarrow \mathbb{R}^2$ can be given a mixed polynomial as

$$f(\mathbf{z}, \bar{\mathbf{z}}) := g\left(\frac{\mathbf{z} + \bar{\mathbf{z}}}{2}, \frac{\mathbf{z} - \bar{\mathbf{z}}}{2i}\right) + ih\left(\frac{\mathbf{z} + \bar{\mathbf{z}}}{2}, \frac{\mathbf{z} - \bar{\mathbf{z}}}{2i}\right),$$

where $z_j = x_j + iy_j$ ($j = 1, \dots, n$). We can regard mixed polynomial maps as smooth maps from $\mathbb{R}^{2n}$ to $\mathbb{R}^2$.

A point $\mathbf{z} \in \mathbb{C}^n$ is a *singularity* of $f(\mathbf{z}, \bar{\mathbf{z}})$ if the gradient vectors of $\Re f$ and $\Im f$ are linearly dependent at $\mathbf{z}$.

A *deformation* of f is a polynomial map $f_t : \mathbb{C}^n \times \mathbb{C} \rightarrow \mathbb{C}$, $(\mathbf{z}, t) \mapsto f_t(\mathbf{z}, \bar{\mathbf{z}})$, with $f_0(\mathbf{z}, \bar{\mathbf{z}}) = f(\mathbf{z})$.

Stable maps

Let $C^\infty(\mathbb{R}^{2n}, \mathbb{R}^2)$ be the set of differential maps. It is well-known $C^\infty(\mathbb{R}^{2n}, \mathbb{R}^2)$ is a topological space.

Let $f, g \in C^\infty(\mathbb{R}^{2n}, \mathbb{R}^2)$. f and g are said to be *right-left equivalent* if there exist diffeomorphisms h_1 and h_2 of $\mathbb{R}^{2n}$ and $\mathbb{R}^2$ respectively such that $h_2 \circ f = g \circ h_1$.

A map $f : \mathbb{R}^{2n} \rightarrow \mathbb{R}^2$ is called a *stable map* if there exists a neighborhood U_f of f such that for any $g \in U_f$, g is right-left equivalent to f .

If $f : \mathbb{R}^{2n} \rightarrow \mathbb{R}^2$ is a stable map, for each singularity of f , one of the following two conditions holds:

1. We can choose coordinates $(u, x_1, \dots, x_{2n-1})$ centered at x such that f has the form:

$$\left(u, \sum_{j=1}^{2n-1} \pm x_j^2\right).$$

2. We can choose coordinates $(u, y, x_1, \dots, x_{2n-2})$ centered at x such that f has the form:

$$\left(u, \sum_{j=1}^{2n-2} \pm x_j^2 + yu + y^3\right).$$

If the singularity x of f satisfies the condition (1), we call x a *fold* singularity, and if it satisfies the condition (2), a *cuspidal*.

The set of stable maps is open and dense in the space of smooth maps $C^\infty(\mathbb{R}^{2n}, \mathbb{R}^2)$ topologized with the C^∞ -topology.

Higher differential $d^2 f$

Let U and V be small neighborhoods of a singularity $x \in \mathbb{R}^{2n}$ and $f(x) \in \mathbb{R}^2$. Choose coordinates $\{\xi_i\}$ in U and $\{\eta_j\}$ in V . Let $E = T_x(U)$ and $F = T_{f(x)}(V)$. Then we can define the following exact sequence

$$0 \rightarrow L \rightarrow E \xrightarrow{df} F \xrightarrow{\pi} G \rightarrow 0,$$

where $L = \ker df$, $G = \operatorname{coker} df$ and π is the linear map such that $\operatorname{Im} \pi = \operatorname{coker} df$.

Let $k = \sum_m a_m (\partial/\partial \xi_m) \in E$, $t = \sum_i b_i (\partial/\partial \eta_i) \in L$. We define the map $\varphi^1 : E \rightarrow L^* \otimes F$ by

$$\varphi^1(k, t) = \sum_{i,j,m} \left(a_m \frac{\partial^2 f_j}{\partial \xi_i \partial \xi_m} b_i \right) \frac{\partial}{\partial \eta_j}$$

and then define the map $d^2 f : E \rightarrow L^* \otimes G$ by

$$d^2 f(k)(t) = \pi(\varphi^1(k)(t)).$$

Lemma 1[1]

x is a fold singularity of $f \iff x$ satisfies the following conditions:

1. the rank of the representation matrix of $d^2 f = 2n - 1$
2. $\ker(d^2 f|_L) = \{0\}$

We can show the following theorems.

Theorem 1

Let $f(\mathbf{z})$ be a 2-variable complex polynomial with an isolated singularity at $\mathbf{o}$ and let U be a sufficiently small nbd. of $\mathbf{o}$. Then there exists a deformation $f_t(\mathbf{z})$ of $f(\mathbf{z})$ such that the rank of the representation matrix of $d^2(f_t)$ is equal to 3 in U for any $0 < t < 1$.

Theorem 2

Let $f(\mathbf{z}) = z_1^p + z_2^q$ and let U be a sufficiently small nbd. of $\mathbf{o}$. Then there exists a deformation $f_t(\mathbf{z})$ of $f(\mathbf{z})$ such that $f_t(\mathbf{z})$ has only fold singularities in U where $0 < t < 1$.

The complex Hessian $H_{\mathbb{C}}(f)$ of $f(\mathbf{z})$ is defined by

$$H_{\mathbb{C}}(f) := \left(\frac{\partial^2 f}{\partial z_j \partial \bar{z}_k} \right).$$

Theorem 3

Let $f(\mathbf{z})$ and $g(\mathbf{z})$ be 2-variable complex polynomials with an isolated singularity at $\mathbf{o}$ having no common branches. Then there exists a deformation $f_t \bar{g}_t(\mathbf{z})$ of $f(\mathbf{z}) \bar{g}(\mathbf{z})$ such that any singularity of $f_t \bar{g}_t(\mathbf{z})$ in U is either a Morse singularity or the rank of the representation matrix of $d^2(f_t \bar{g}_t)$ is equal to 3 for any $0 < t < 1$.

To prove Theorem 1 and 2, we define the following deformation of the complex polynomial $f(\mathbf{z})$:

$$f_t(\mathbf{z}) := f(\mathbf{z}) + t \left(\beta_1 z_1 + \beta_2 z_2 + \left(\frac{\beta_1}{2\beta_2} \right) \bar{z}_1^2 + \left(\frac{\beta_2}{2\beta_1} \right) \bar{z}_2^2 + \bar{z}_1 \bar{z}_2 \right),$$

where $0 \leq t < 1$ and $\beta_1, \beta_2 \in \mathbb{C} \setminus \{0\}$.

To prove Theorem 3, we define the deformation $f_t(\mathbf{z}) \bar{g}_t(\mathbf{z})$ of $f(\mathbf{z}) \bar{g}(\mathbf{z})$ as follows:

$$f_t(\mathbf{z}) \bar{g}_t(\mathbf{z}) := \left(f(\mathbf{z}) + t(\beta_1 z_1 + \beta_2 z_2) \right) \overline{\left(g(\mathbf{z}) + t(\gamma_1 z_1 + \gamma_2 z_2) \right)},$$

where $0 \leq t < 1$ and $\beta_j, \gamma_j \in \mathbb{C} \setminus \{0\}$ for $j = 1, 2$.

We can take constants β_j and γ_j such that the deformations satisfy the conditions of theorems.

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On $\mathbb{Z}_p$ -orbit spaces of certain prehomogenous vector spaces (Joint work with Pr. Akihiko Yukie (Kyoto University))

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1 PV as moduli space of fields

Let k be a field. Let G be an connected reductive algebraic group defined over k and V a finite vector space over k which acts G rationally. Then, a pair (G, V) said to be a **Prehomogenous vector space (PV)** if there exists a Zariski open orbit. For the rest of this poster, we assume V is an irreducible representation of G for the convenience. A typical example of PV is the space of quadratic forms:

Example 1.1. Put $G = \mathrm{GL}(1) \times \mathrm{GL}(n)$ and $V = \mathrm{Sym}^2 k^n$ (the space of n -ary quadratic forms). For $g = (t, g_1) \in G, x = x(v) \in V$, we define $gx = tx(vg_1)$. Then the couple (G, V) is a PV.

Let (G, V) be a PV defined over k . We call (G, V) is **regular PV** if there exists $w \in V_k$ such that G_w is reductive. M.Sato and T.Kimura were classified regular PV defined over algebraic closed field with characteristic 0 for 29 classes (1977, Nagoya J. Math.).

For any regular PV (G, V) , it known that there exists the polynomial $P(x) \in k[V]$ such that $P(gx) = \chi(g)P(x)$ for all $x \in V, g \in G$ and suitable character χ . The polynomial $P(x)$ is called **relative invariant polynomial** of (G, V) . For example, in the space of quadratic forms, the relative invariant polynomial is equal to the determinant of quadratic forms. Set $V^{\mathrm{ss}} = \{x \in V; P(x) \neq 0\}$.

We consider the following Sato–Kimura type PV's in this section:

Table 1

i	G	V
2	$\mathrm{GL}(1) \times \mathrm{GL}(2)$	$\mathrm{Sym}^2 k^2$
2	$\mathrm{GL}(2) \times \mathrm{GL}(2) \times \mathrm{GL}(2)$	$M(2) \otimes k^2$
3	$\mathrm{GL}(1) \times \mathrm{GL}(3)$	$\mathrm{Sym}^3 k^2$
4	$\mathrm{GL}(3) \times \mathrm{GL}(2)$	$\mathrm{Sym}^2 k^3 \otimes k^2$
5	$\mathrm{GL}(5) \times \mathrm{GL}(4)$	$\wedge^2 k^5 \otimes k^4$

Then $\mathfrak{E}_i$ denotes the set of conjugate classes of Galois extension of k whose irreducible polynomial is degree i without multiple roots.

Theorem 1.2 (Wright–Yukie, 1992). *For each $2 \leq i \leq 5$, we consider a pair (G, V) as in table 1.*

- (1) *We can construct natural map $\alpha_V : G_k \backslash V_k^{\mathrm{ss}} \ni x \mapsto k(x) \in \mathfrak{E}_i$, and these maps are always surjective.*
- (2) *For $x \in V_k^{\mathrm{ss}}$, if $k(x) = k' \in \mathfrak{E}_i$, there exists correspond homomorphism $\psi_x : \mathrm{Gal}(k'/k) \rightarrow \mathfrak{S}_i$. Furthermore, if $x, y \in V_k^{\mathrm{ss}}, k(x) = k(y) = k'$, then x and y are G_k -equivariant $\Leftrightarrow \exists r \in \mathfrak{S}_i; \psi_x = r\psi_y r^{-1}$.*

Note that ψ_x is the permutation determined from the Galois action for the “Zero set” of x .

2 $\mathbb{Z}_p$ -orbits of $\mathrm{Sym}^n \mathbb{Z}_p$

In this section, let (G, V) be as in Ex.1.1.

The following theorem is well-known:

Theorem 2.1 (Jordan decomposition). *Let p be an odd prime. For all $x \in V_{\mathbb{Z}_p}^{\mathrm{ss}}$, there exists $g \in G_{\mathbb{Z}_p}$ such that*

$$gx = \begin{pmatrix} p^{a_1} A_1 & & & \\ & p^{a_2} A_2 & & \\ & & \ddots & \\ & & & p^{a_k} A_k \end{pmatrix},$$

where a_i 's and A_j 's are satisfy $a_1 < a_2 < \dots < a_k, a_i \in \mathbb{Z}_{\geq 0}, \det A_j \in \mathbb{Z}_p^\times$.

A.Yukie gaved another simple proof of Theorem 2.1 from the invariant theoretic view.

Proof of Theorem 2.1. We'll denotes $\tilde{*}$ the reduction modulo p of $*$. Choose an integer $a_1 \in \mathbb{Z}_{\geq 0}$ and a matrix $x^{(1)} \in M(n)_{\mathbb{Z}_p}$ stisfying $x = p^{a_1} x^{(1)}, \tilde{x}^{(1)} \neq 0$. Then the matrix $\tilde{x}^{(1)}$ is rank i over $\mathbb{F}_p$ for some $i \leq n$. By choose a lift of $\tilde{x}^{(1)}$ over $\mathbb{Z}_p$, we can assume $x^{(1)} = \begin{pmatrix} A & pB \\ p^t B & pC \end{pmatrix}$ with $\det A \in \mathbb{Z}_p^\times$.

Now we claim that $gx^{(1)} = \begin{pmatrix} A & 0 \\ 0 & pC' \end{pmatrix}$ for some $g \in \mathrm{GL}(n), C' \in M(n-i)$. So applying the induction respect to the size of C' , finish the proof. Therefore, we have to prove this claim.

If $g = \begin{pmatrix} \alpha & 0 \\ \beta & \gamma \end{pmatrix} \in \mathrm{GL}(n)$, then

$$gx^{(1)} = \begin{pmatrix} \alpha A^t \alpha & \alpha(A^t \beta + pB^t \gamma) \\ (\beta A + p\gamma^t B)^t \alpha & \beta A^t \beta + pC'' \end{pmatrix}.$$

Since A is invertible, we can choose $\alpha = E_i, \beta = -p^t B A^{-1}$ and $\gamma = E_{n-i}$, as desired. $\square$

In the above proof, note that $\tilde{x}^{(1)}$ is unstable respect to the projection map $\pi : V_{\mathbb{F}_p} \setminus \{0\} \rightarrow \mathbb{P}(V)_{\mathbb{F}_p}$ whenever $i < n$. Also, above simple situation caused from the equivariant–morse–stratification of $\mathrm{Sym}^2 k^n$ exactly determined from the degree of forms (The reason why can be using induction!). But, the equivariant–morse–stratification of the other spaces more difficult.

We currently study the $\mathbb{Z}_p$ -orbits of the following space by using the above idea now:

$$G = \mathrm{GL}(2) \times \mathrm{GL}(3), V = \mathrm{Sym}^2 k^3 \otimes k^2.$$

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Introduction

Let $(\mathcal{E}^{(\alpha)}, \mathcal{D}(\mathcal{E}^{(\alpha)}))$ be a Dirichlet form generated by symmetric α -stable process, where $0 < \alpha < 2$. A function V on $\mathbb{R}^d$ is non-negative and bounded with compact support. If the function V satisfies

$$\lambda_V := \inf\{\mathcal{E}^{(\alpha)}(u, u) ; u \in \mathcal{D}(\mathcal{E}^{(\alpha)}), \int_{\mathbb{R}^d} u^2 V dx = 1\} > 1,$$

V is subcritical. For a subcritical function W and $R \in \mathbb{R}^d$, we set $W_R(x) = W(x + R)$ and $q_R = V + W_R$. For subcritical functions V , W and large $|R|$, we show that $\lambda_{q_R} > 1$. B. Simon shown [2] this for $\alpha = 2$. We extend his fact to symmetric α -stable processes ($\alpha < d$).

1 Preliminaries

$(\{X_t\}, P_x)$ is a symmetric α -stable process, that is, symmetric Markov process generated by $(-\Delta)^{\alpha/2}$. Set

$$A_t^V = \int_0^t V(X_s) ds.$$

We see from [1] that $\lambda_{q_R} > 1$ is equivalent to

$$\sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{q_R})] < \infty. \quad (1)$$

We show (1). Set

$$b_R = \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^V)] \vee \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{W_R})].$$

By the spatial homogeneity,

$$\sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{W_R})] = \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^W)].$$

Namely, $b_R = b_0$. Thus we set $b = b_0$. In our proof, the spatial homogeneity plays a most important role. We assume that the supports of V and W are contained by $B := B(0, r)$ and $B_R := B(R, r)$ respectively. Here $B(x, r)$ is a ball centered at x with radius r . τ_1 is the first hitting time to B , i.e., $\tau_1 = \inf\{t > 0 ; X_t \in B\}$. In addition,

$$\begin{aligned} \sigma_i &:= \inf\{t > \tau_i ; X_t \in B_R\}, \quad i \geq 1, \\ \tau_i &:= \inf\{t > \sigma_{i-1} ; X_t \in B\}, \quad i \geq 2. \end{aligned}$$

Then the path space Ω is divided by A_i 's, where

$$A_1 = \{\tau_1 = \infty\}, \quad A_i = \{\tau_i = \infty, \tau_{i-1} < \infty\},$$

and so

$$\begin{aligned} & \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{q_R})] = \sup_{x \in \mathbb{R}^d} \sum_{i \geq 1} E_x[\exp(A_\infty^{q_R}); A_i] \\ & \leq \sup_{x \in \mathbb{R}^d} \left(E_x[\exp(A_\infty^{q_R}); A_1] + J(2; x) \right) \\ & \quad + \sum_{i \geq 2} \sup_{x \in \mathbb{R}^d} I(i; x) + \sum_{i \geq 3} \sup_{x \in \mathbb{R}^d} J(i; x). \end{aligned} \quad (2)$$

Here

$$\begin{aligned} I(i; x) &= E_x[\exp(A_\infty^{q_R}); A_i, \{\sigma_{i-1} < \infty\}], \\ J(i; x) &= E_x[\exp(A_\infty^{q_R}); A_i, \{\sigma_{i-1} = \infty\}]. \end{aligned}$$

2 Main theorem

Lemma 2.1. *There exists some non-negative constant $C_{B \rightarrow B_R}^V$ and $C_{B_R \rightarrow B_R}^W$ such that*

$$\begin{aligned} \sup_{y \in B} E_y[\exp(A_{\sigma_1}^V); \{\sigma_1 < \infty\}] &\leq C_{B \rightarrow B_R}^V, \\ \sup_{z \in B_R} E_z[\exp(A_{\tau_1}^{W_R}); \{\tau_1 < \infty\}] &\leq C_{B_R \rightarrow B}^W. \end{aligned}$$

Proof. Because V is subcritical, that is, $\lambda_V > 1$, we can choose $p_V > 1$ such that $p_V < \lambda_V$. This means $\lambda_{p_V V} > 1$, and so $\sup_{x \in \mathbb{R}^d} E_x[\exp(p_V A_\infty^V)] < \infty$. Set $1/q_V = 1 - 1/p_V$.

$$\begin{aligned} & \sup_{y \in B} E_y[\exp(A_{\sigma_1}^V); \{\sigma_1 < \infty\}] \\ & \leq \sup_{y \in B} E_y[\exp(p_V A_{\sigma_1}^V)]^{1/p_V} \left(\sup_{y \in B} P_y(\sigma_1 < \infty) \right)^{1/q_V} \\ & =: C_{B \rightarrow B_R}^V < \infty. \end{aligned}$$

By the same manner, we can choose the constant $C_{B_R \rightarrow B}^W$. $\square$

For $y \in B$, $P_y(\sigma_1 < \infty) \leq \text{const}(r/|R|)^{d-\alpha}$. From this, we see that $C_{B \rightarrow B_R}^V$ converges to 0 as $|R| \rightarrow \infty$. In addition, we see that $C_{B_R \rightarrow B}^W$ converges to 0 as $|R| \rightarrow \infty$.

By the strong Markov property and the spatial homogeneity, we have

$$\sup_{x \in \mathbb{R}^d} (E_x[\exp(A_\infty^{q_R}); A_1] + J(2; x)) \leq b + C_{B \rightarrow B_R} + (1+b)C_{B_R \rightarrow B}^W,$$

and

$$\begin{aligned} I(i; x) &\leq b^i (C_{B \rightarrow B_R}^V)^{i-1}, \quad \text{for } i \geq 2, \\ I(j; x) &\leq b^{j-1} C_{B_R \rightarrow B}^W (C_{B \rightarrow B_R}^V)^{j-2}, \quad \text{for } j \geq 3. \end{aligned}$$

By (2), we have the following theorem.

Theorem 2.1. *If both $bC_{B \rightarrow B_R}^V$ and $bC_{B_R \rightarrow B}^W$ are less than 1, then*

$$\begin{aligned} \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{q_R})] &\leq b + C_{B \rightarrow B_R}^V \left(1 + \frac{b^2}{1 - bC_{B \rightarrow B_R}^V} \right) \\ &\quad + C_{B_R \rightarrow B}^W \left(1 + b + \frac{b^2 C_{B \rightarrow B_R}^V}{1 - bC_{B \rightarrow B_R}^V} \right). \end{aligned}$$

Corollary 2.1. *For $\alpha < d$ and subcritical potentials V, W , the following holds*

$$\lim_{|R| \rightarrow \infty} \sup_{x \in \mathbb{R}^d} E_x[\exp(A_\infty^{q_R})] = b.$$

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1 Feynman-Kac penalization for PCAFs with non-local potentials

Let $X = (\Omega, \mathcal{F}, \mathcal{F}_t, \mathbb{P}_x, X_t)$ be a transient symmetric α -stable process ($0 < \alpha < 2$) on $\mathbb{R}^n$ and let $A_t^{\mu, F}$ be a positive additive functional (“PAF”):

$$A_t^{\mu, F} := A_t^\mu + \sum_{s \leq t} F(X_{s-}, X_s),$$

where A_t^μ is a positive continuous additive functional (“PCAF”) with Revuz measure μ and F is a bounded measurable positive symmetric function vanishing on diagonal set. $e^{A_t^{\mu, F}}$ is then decomposed as follows.

$$e^{A_t^{\mu, F} + \sum_{s \leq t} F(X_{s-}, X_s)} = L_t e^{A_t^{\mu + \mu_{F_1}}}$$

Here,

$$\mu_{F_1}(dx) := \left\{ c \int F_1(x, y) |x - y|^{-n-\alpha} dy \right\} dx, \quad F_1 := e^F - 1,$$

and L_t is an exponential martingale. We give the spectral function

$$\lambda_{\mu + \mu_{F_1}}(\theta) := \inf \{ \mathcal{E}^L(f, f) + \theta(f, f); \int f^2 d(\mu + \mu_{F_1}) = 1 \} \text{ for } \theta \geq 0,$$

where $\mathcal{E}^L$ is the Dirichlet form corresponding to the Girsanov transformed process by L_t , that is, $d\mathbb{P}_x^L := L_t d\mathbb{P}_x$ and $\mathcal{E}^L$ is given by

$$\mathcal{E}^L(f, f) = c \int_{d^c} (f(x) - f(y))^2 e^{F(x, y)} |x - y|^{-n-\alpha} dx dy.$$

We then solve the Feynman-Kac penalization problem in terms of the bottom $\lambda_{\mu + \mu_{F_1}}(0)$ of $\lambda_{\mu + \mu_{F_1}}(\theta)$:

Theorem 1 ([M2012]). *Let $\Lambda \in \mathcal{F}_s$ and assume that $\mu + \mu_{F_1}$ is a Green-tight Kato measure. There exists a limit*

$$\tilde{\mathbb{P}}_x[\Lambda] = \lim_{t \rightarrow \infty} \frac{\mathbb{E}_x[e^{A_t^{\mu, F}} \mathbf{1}_\Lambda]}{\mathbb{E}_x[e^{A_t^{\mu, F}}]}.$$

(a) If $\lambda_{\mu + \mu_{F_1}}(0) > 1$ ($\mu + \mu_{F_1}$ is subcritical), then $\tilde{\mathbb{P}}_x$ is given by

$$d\tilde{\mathbb{P}}_x = \frac{e^{A_s^{\mu + \mu_{F_1}}} h(X_s)}{h(x)} L_s d\mathbb{P}_x, \quad h(x) := \mathbb{E}_x^L[e^{A_\infty^{\mu + \mu_{F_1}}}].$$

(b) If $\lambda_{\mu + \mu_{F_1}}(0) < 1$ ($\mu + \mu_{F_1}$ is supercritical), then $\tilde{\mathbb{P}}_x$ is given by

$$d\tilde{\mathbb{P}}_x = \frac{e^{-\theta_0 s + A_s^{\mu + \mu_{F_1}}} h(X_s)}{h(x)} L_s d\mathbb{P}_x.$$

Here, $\theta_0 > 0$ satisfies $\lambda_{\mu + \mu_{F_1}}(\theta_0) = 1$ and h is the ground state whose eigenvalue is θ_0 .

(c) If $\lambda_{\mu + \mu_{F_1}}(0) = 1$ ($\mu + \mu_{F_1}$ is critical) and $\mu + \mu_{F_1}$ belongs to a restricted Kato class, then $\tilde{\mathbb{P}}_x$ is given by

$$d\tilde{\mathbb{P}}_x = \frac{e^{A_s^{\mu + \mu_{F_1}}} h(X_s)}{h(x)} L_s d\mathbb{P}_x.$$

Here, h is the ground state given by the compact embedding of the extended Dirichlet form to $L^2(\mu + \mu_{F_1})$.

2 Feynman-Kac penalization for non-local PAF with 0-energy parts

Let $(\mathcal{E}, \mathcal{F}_e)$ be the extended Dirichlet space and $U \in \mathcal{F}_e \cap C_b(\mathbb{R}_+^n)$. For AF $U(X_t) - U(X_0)$, Fukushima’s decomposition yields the AF N_t^U with unbounded variation:

$$N_t^U = U(X_t) - U(X_0) - M_t^U,$$

where M_t^U is a martingale. N_t^U is said to be a 0-energy part. We give the multiplicative functional (“MF”)

$$e^{A_t^{\mu, F, U}} := \exp \left(A_t^{\mu, F} + N_t^U \right)$$

and consider the penalization for the generalized Feynman-Kac multiplicative functional (“FKMF”) $e^{A_t^{\mu, F, U}}$.

We employ the Girsanov transform in the sense of Chen and Zhang: The generalized FKMF $e^{A_t^{\mu, F, U}}$ is decomposed as follows.

$$e^{A_t^{\mu, F, U}} = W_t e^{U(X_t) - U(x)} e^{A_t^{\mu + \mu_V}}$$

Here, W_t is the exponential martingale and μ_V is given by

$$\mu_V(dx) = c \left\{ \int \frac{e^{F(x, y) + U(x) - U(y)} - U(x) + U(y) - 1}{|x - y|^{n+\alpha}} dx \right\} dx.$$

We then define $d\mathbb{P}_x^W := W_t d\mathbb{P}_x$.

Kim and Kuwae give the characterization of the gaugeability of generalized FKMF:

Theorem 2. *Let $g(x) := \mathbb{E}_x[e^{A_\infty^{\mu, F, U}}]$. $\|g\|_\infty < \infty$ if and only if $\lambda_{\mu + \mu_V}(0) > 0$, where*

$$\lambda_{\mu + \mu_V}(\theta) := \inf \left\{ \mathcal{Q}(f, f) + \theta(f, f); \int f^2 d(\mu + \mu_V) = 1 \right\}$$

and $\mathcal{Q}$ is the quadratic form given by

$$\mathcal{Q}(f, f) = \mathcal{E}(f, f) + \mathcal{E}(U, f^2) - \int f^2 d\mu - \int_{d^c} \frac{cf(x)f(y)F_1(x, y)}{|x - y|^{n+\alpha}} dx dy.$$

We see that

$$\mathcal{Q}(f, f) + \int f^2 d(\mu + \mu_V) = \mathcal{E}^W(f, f)$$

and this gives the last characterization of gaugeability is equivalent to

$$\inf \left\{ \mathcal{E}^W(f, f); \int f^2 d(\mu + \mu_V) = 1 \right\} > 1.$$

We find that the penalization for generalized FKMFs is solved if the Kato potential $\mu + \mu_V$ is subcritical:

$$\begin{aligned} \frac{\mathbb{E}_x[e^{A_t^{\mu, F, U}} | \mathcal{F}_s]}{\mathbb{E}_x[e^{A_t^{\mu, F, U}}]} &= e^{A_s^{\mu, F, U}} \frac{\mathbb{E}_x[e^{A_{t-s}^{\mu, F, U}} \circ \theta_s | \mathcal{F}_s]}{\mathbb{E}_x[e^{A_{t-s}^{\mu, F, U}}]} \\ &= e^{A_s^{\mu, F, U}} \frac{\mathbb{E}_{X_s}[e^{A_{t-s}^{\mu, F, U}}]}{\mathbb{E}_x[e^{A_t^{\mu, F, U}}]} \\ &\rightarrow \frac{e^{A_s^{\mu, F, U}} g(X_s)}{g(x)}. \end{aligned}$$

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On the analysis of strategies in generalized stochastic reachability games

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1. PROBLEMS OF INTEREST

- Determinacy (Values of games)**
In the sense that from each position, the player has an optimal value.
- Optimal strategies**
Do the players have an optimal strategy? If so, what type of strategy?

2. GAMES

Definition 1. A (two-player infinite) **stochastic game** is a quadruple $\mathbb{G} = (S, A_I, A_{II}, \delta)$, where

- S , A_I and A_{II} are nonempty finite sets,
- δ is a function from $S \times A_I \times A_{II}$ into S .

Elements of S are called **states**.

Elements of A_I and A_{II} are called **actions** of Player I and Player II.

δ is called a **transition function**.

Definition 2 (Mixed strategy). A (mixed) strategy of Player I in $\mathbb{G}$ is any function $\sigma : \Omega^{\text{fin}} \rightarrow \mathcal{D}(A_I)$, where $\mathcal{D}(A_I)$ be the set of probability distributions on A_I . Similarly, a strategy of Player II is any function $\tau : \Omega^{\text{fin}} \rightarrow \mathcal{D}(A_{II})$.

Definition 3 (Memoryless strategy). A strategy σ of Player I is called **memoryless** if $\sigma : S \rightarrow \mathcal{D}(A_I)$. A memoryless strategy of Player II is defined similarly, i.e., $\tau : S \rightarrow \mathcal{D}(A_{II})$.

4. GENERALIZED REACHABILITY

Definition 6 (Labeling function). A function ℓ is called a **label** on S if $\text{dom}(\ell) \subset S$ and $\ell(s) \in [0, 1]$ for any $s \in \text{dom}(\ell)$.

Definition 7 (Payoff function). We define a payoff function $\mathcal{R}^{\mathbb{G}, \ell} : \Omega \rightarrow [0, 1]$ associated to label ℓ by

$$\mathcal{R}^{\mathbb{G}, \ell}(w) = \begin{cases} \ell(w(N_w)) & \text{if } (\exists N \in \mathbb{N})[w(N) \in \text{dom}(\ell)] \\ 0 & \text{otherwise,} \end{cases}$$

where N_w is the least natural number N such that $w(N) \in \text{dom}(\ell)$.

Definition 8. A game of the form $\mathbb{G}(\mathcal{R}^{\mathbb{G}, \ell})$ is called a **generalized reachability game**.

For a subset T of S , let $\mathcal{R}^{\mathbb{G}, T} = \mathcal{R}^{\mathbb{G}, \ell_T}$, where $\ell_T : T \rightarrow \{1\}$.

Remark 1. Games of the form $\mathbb{G}(\mathcal{R}^{\mathbb{G}, T})$ are called **reachability games**.

Definition 9 (Limit value). Let ℓ a label on S . For any $s \in S$ and $n \in \mathbb{N}$, define $V_n^{\mathbb{G}, \ell} : S \rightarrow [0, 1]$ inductively by

$$V_0^{\mathbb{G}, \ell}(s) = \begin{cases} \ell(s) & \text{if } s \in \text{dom}(\ell), \\ 0 & \text{otherwise,} \end{cases}$$

$$V_{n+1}^{\mathbb{G}, \ell}(s) = \begin{cases} \ell(s) & \text{if } s \in \text{dom}(\ell), \\ \text{val}_s(V_n^{\mathbb{G}, \ell}) & \text{otherwise.} \end{cases}$$

We let $V^{\mathbb{G}, \ell}(s) = \lim_{n \rightarrow \infty} V_n^{\mathbb{G}, \ell}(s)$ for any state s , and we call it the **limit value** at s .

3. BACKGROUNDS

Let $\Omega_s = \{w \in \Omega : w(0) = s\}$ and $\Omega_s^{\text{fin}} = \{q \in \Omega^{\text{fin}} : q(0) = s\}$.

Definition 4. For a pair $(\sigma, \tau) \in \Sigma_I \times \Sigma_{II}$ of strategies and a state $s \in S$, $P_s^{\sigma, \tau}$ denotes the **probability measure** on Ω_s defined by

$$P_s^{\sigma, \tau}([p]) = \prod_{n \in \{1, |p|-1\}} \sum_{\substack{(a, b) \in A_I \times A_{II} \\ (p(n-1), a, b) \in \delta^{-1}(p(n))}} \sigma(p \upharpoonright n)(a) \tau(p \upharpoonright n)(b)$$

for any $p \in \Omega_s^{\text{fin}}$, where $[p] = \{w \in \Omega : p \subset w\}$.

In general, for a function $F : \Omega \rightarrow [0, 1]$ such that $P_s^{\sigma, \tau}(F) = \int_{\Omega_s} F dP_s^{\sigma, \tau}$ exists,

- $P_s^{\sigma, \tau}(F)$ means the **expected value** of an infinite game $\mathbb{G}_s(F)$ when Player I and Player II use the strategies σ and τ , respectively.

- We say that the game $\mathbb{G}_s(F)$ is **determinate** if and only if

$$\sup_{\sigma \in \Sigma_I^{\mathbb{G}}} \inf_{\tau \in \Sigma_{II}^{\mathbb{G}}} P_s^{\sigma, \tau}(F) = \inf_{\tau \in \Sigma_{II}^{\mathbb{G}}} \sup_{\sigma \in \Sigma_I^{\mathbb{G}}} P_s^{\sigma, \tau}(F) \text{ holds for any } s \in S. \quad (1)$$

- We write $\text{val}_s^{\mathbb{G}}(F)$ instead of $\sup_{\sigma \in \Sigma_I^{\mathbb{G}}} \inf_{\tau \in \Sigma_{II}^{\mathbb{G}}} P_s^{\sigma, \tau}(F)$, and call the **value of game** $\mathbb{G}_s(F)$.

Definition 5. Let $F : \Omega \rightarrow [0, 1]$ and $\epsilon \in [0, 1]$. Suppose that $\mathbb{G}_s(F)$ is determinate. A strategy $\sigma \in \Sigma_I$ of Player I is **ϵ -optimal** if

$$\inf_{\tau \in \Sigma_{II}^{\mathbb{G}}} P_s^{\sigma, \tau}(F) \geq \text{val}_s^{\mathbb{G}}(F) - \epsilon \text{ holds for any } s \in S.$$

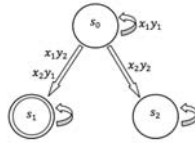
A strategy $\tau \in \Sigma_{II}$ of Player II is **ϵ -optimal** if

$$\sup_{\sigma \in \Sigma_I^{\mathbb{G}}} P_s^{\sigma, \tau}(F) \leq \text{val}_s^{\mathbb{G}}(F) + \epsilon \text{ holds for any } s \in S.$$

5. EXAMPLE

Example 1. Player I has no optimal strategy in some reachability games.

Proof. Let $S = \{s_0, s_1, s_2\}$, $A_I = \{x_1, x_2\}$; $A_{II} = \{y_1, y_2\}$. Define a transition function δ $\delta(s_0, x_1, y_1) = s_0$; $\delta(s_0, x_2, y_2) = s_2$; $\delta(s_0, x_1, y_2) = \delta(s_0, x_2, y_1) = s_1$, and $\delta(s_i, x, y) = s_i$ for any $i \in \{1, 2\}$ and $(x, y) \in A_I \times A_{II}$.



Consider the game $\mathbb{G}(\mathcal{R}^{\mathbb{G}, T})$ with $T = \{s_1\}$. One can prove that $\text{val}_{s_0}(\mathcal{R}^{\mathbb{G}, \{s_1\}}) = 1$.

Fix a strategy $\sigma \in \Sigma_I$. We construct $\tau \in \Sigma_{II}$ such that $P_{s_0}^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \{s_1\}}) < 1$. For $\rho \in \Omega^{\text{fin}}$, define

- $\tau(\rho)(y_1) = 1$ if $\sigma(\rho)(x_1) = 1$, and
- $\tau(\rho)(y_2) = 1$ otherwise.

It is clear that $P_{s_0}^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \{s_1\}}) < 1$ by definition of $\mathbb{G}$ and τ . $\square$

7. RESULTS

Corollary 2. Player II has a memoryless optimal strategy in any generalized reachability game.

Theorem 3. In every generalized reachability game $\mathbb{G}(\mathcal{R}^{\mathbb{G}, \ell})$, there exist an ϵ -optimal memoryless strategy of Player I for any $\epsilon > 0$.

6. RESULTS

Theorem 1 (DETERMINACY). For any state $s \in S$, the equation $V^{\mathbb{G}, \ell}(s) = \text{val}_s(\mathcal{R}^{\mathbb{G}, \ell})$ holds.

Proof. It is enough to show the inequalities

$$\begin{aligned} \inf_{\tau \in \Sigma_{II}} \sup_{\sigma \in \Sigma_I} P_s^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \ell}) &\leq V^{\mathbb{G}, \ell}(s) \\ &\leq \sup_{\sigma \in \Sigma_I} \inf_{\tau \in \Sigma_{II}} P_s^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \ell}) \text{ holds.} \end{aligned}$$

- To show the first inequality, choose an optimal strategy τ^* of Player II in the one-step game $\mathbb{G}(V^{\mathbb{G}, \ell})$.

- We show that τ^* satisfies the inequality

$$\sup_{\sigma \in \Sigma_I} P_s^{\sigma, \tau^*}(\mathcal{R}^{\mathbb{G}, \ell}) \leq V^{\mathbb{G}, \ell}(s) \quad \forall s \in S.$$

- It is enough to show that

$$\sup_{\sigma} P_s^{\sigma, \tau^*}(\mathcal{R}_n^{\mathbb{G}, \ell}) \leq V^{\mathbb{G}, \ell}(s) \quad \forall s \in S, n \in \mathbb{N}.$$

(We show this by induction on n).

For the second inequality, we have

$$P_s^{\sigma, \tau}(\mathcal{R}_n^{\mathbb{G}, \ell}) \leq P_s^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \ell})$$

since $\mathcal{R}_n^{\mathbb{G}, \ell}(w) \leq \mathcal{R}^{\mathbb{G}, \ell}(w)$ for any $w \in \Omega$. Hence,

$$\sup_{\sigma} \inf_{\tau} P_s^{\sigma, \tau}(\mathcal{R}_n^{\mathbb{G}, \ell}) \leq \sup_{\sigma} \inf_{\tau} P_s^{\sigma, \tau}(\mathcal{R}^{\mathbb{G}, \ell}) \text{ holds.}$$

Note that any finite game is determinate. So, we have

$$V_n^{\mathbb{G}, \ell}(s) = \text{val}_s(\mathcal{R}_n^{\mathbb{G}, \ell}) = \sup_{\sigma} \inf_{\tau} P_s^{\sigma, \tau}(\mathcal{R}_n^{\mathbb{G}, \ell}) \text{ holds.}$$

Thus, the second inequality holds. $\square$

An application of periodic decompositions of functions holomorphic on convex polygonal domains

Takanao Negishi

In this report, a periodic decomposition of a function f means a representation of f as a finite sum of periodic functions. We denote by $[\gamma_1, \gamma_2, \dots, \gamma_n]$ the n -sided polygon with vertices $A_1(z = \gamma_1), A_2(z = \gamma_2), \dots, A_n(z = \gamma_n)$ ($\gamma_1, \gamma_2, \dots, \gamma_n \in \mathbb{C}$) and edges $A_1A_2, A_2A_3, \dots, A_nA_1$. For convenience, let $\gamma_0 = \gamma_n$ and $\gamma_{n+1} = \gamma_1$. The following theorem is fundamental in this study.

Theorem 1. (Leont'ev) Let D be a convex polygonal domain with vertices $\gamma_1, \gamma_2, \dots, \gamma_n \in \mathbb{C}$ and let $\gamma_{n+1} = \gamma_1$. For $1 \leq k \leq n$, let $c_k = \gamma_{k+1} - \gamma_k$ and let D_k be the open half-plane containing D bounded by the line through γ_k and γ_{k+1} . Then, any function f holomorphic in D has a periodic decomposition of the form

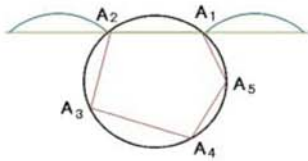
$$f(z) = P_{c_1}(z) + P_{c_2}(z) + \dots + P_{c_n}(z) \quad (z \in D),$$

where each P_{c_k} is holomorphic and c_k -periodic in D_k .

We can consider some extensions of Theorem 1. We give periodic decompositions of functions holomorphic in some domains that is not necessarily convex polygon. Let D be a domain whose boundary is not empty and $\gamma_1, \gamma_2, \dots, \gamma_n$ be distinct points on ∂D such that $[\gamma_1\gamma_2 \dots \gamma_n]$ is a convex n -sided polygon. Let E be a convex polygonal domain whose boundary is $[\gamma_1\gamma_2 \dots \gamma_n]$. For $1 \leq k \leq n$, let $c_k = \gamma_{k+1} - \gamma_k$ and let E_k be the open half-plane containing E bounded by the line through γ_k and γ_{k+1} ($E_0 = E_n, E_{n+1} = E_1$). In addition, for $1 \leq k \leq n$, let $G_k = E_{k-1} \cap E_{k+1} \cap D$. Suppose that the following conditions are satisfied:

- (a) $E \subset D$.
- (b) $(\mathbb{C} \setminus \overline{E_k}) \cap D \subset G_k$ hold for $1 \leq k \leq n$.
- (c) For each k ($1 \leq k \leq n$), the equality $G_k \cap (G_k + c_k) \cap (\mathbb{C} \setminus \overline{E_k}) = \emptyset$ holds.

Then, for each $1 \leq k \leq n$, the set $D_k = (G_k + c_k\mathbb{Z}) \cup E_k$ is a c_k -periodic domain (i.e. $D_k + c_k = D_k$). In addition, the domain D is one of connected components of $D_1 \cap D_2 \cap \dots \cap D_n$. Then we call $D = D_1 \cap D_2 \cap \dots \cap D_n \cap D$ a strictly convex polygonal decomposition of domain D and we call E the base polygonal domain. For example, when D is a disc domain and E is the interior of an inscribed n -sided polygon of D such that the center of D is on $\overline{E}$, then $D = D_1 \cap D_2 \cap \dots \cap D_n \cap D$ is a strictly convex polygonal decomposition of D .



Theorem 2. Let $D = D_1 \cap D_2 \cap \dots \cap D_n \cap D$ be a strictly convex polygonal decomposition of domain D with the base polygonal domain E . Then any function f holomorphic in D has a periodic decomposition $f = P_{c_1} + P_{c_2} + \dots + P_{c_n}$, where each P_{c_k} is holomorphic and c_k -periodic in D_k .

Next we suppose that the domain D and its boundary points $\gamma_1, \dots, \gamma_n$ satisfy the conditions (a) and (b). Thus $G_k \cap (G_k + c_k) \cap (\mathbb{C} \setminus \overline{E_k})$ is not necessarily empty, which implies that the function P_{c_k} given by Theorem 2 is not well-defined generally. To overcome this, we adopt the idea of Riemann surface. Let $(\mathbb{C})_{(k,m)}$ ($1 \leq k \leq n, m \in \mathbb{Z}$) be copies of complex plane $\mathbb{C}$. For each k , we consider a domain $E_k \cup \{(E_{k-1} \cap E_{k+1}) + mc_k\}$ in each $(\mathbb{C})_{(k,m)}$, respectively, a set X and a point z on the complex plane $(\mathbb{C})_{(k,m)}$ as $\langle X \rangle_{(k,m)}$ and $\langle z \rangle_{(k,m)}$ for emphasis. Now if $z \in E_k$, we identify the point $\langle z \rangle_{(k,l)}$ with the point $\langle z \rangle_{(k,m)}$ for $l, m \in \mathbb{Z}$. Meanwhile even if $z \in \{(E_{k-1} \cap E_{k+1}) + lc_k\} \cap \{(E_{k-1} \cap E_{k+1}) + mc_k\} \cap (\mathbb{C} \setminus \overline{E_k})$ and $l \neq m$, we regard $\langle z \rangle_{(k,l)}$ and $\langle z \rangle_{(k,m)}$ as distinct points. Consider the Riemann surface

$$R_k = \bigcup_{m \in \mathbb{Z}} \langle E_k \cup \{(E_{k-1} \cap E_{k+1}) + mc_k\} \rangle_{(k,m)},$$

for $1 \leq k \leq n$. Then

$$D_k = \bigcup_{m \in \mathbb{Z}} \langle E_k \cup (H_k + mc_k) \rangle_{(k,m)},$$

where $H_k = D \cap E_1 \cap \dots \cap E_{k-1} \cap E_{k+1} \cap \dots \cap E_n$, is regarded as a domain in R_k . In addition, if $z \in H_j, j \neq k$, and $m \in \mathbb{Z}$, then we identify the point $\langle z \rangle_{(j,0)}$ with the point $\langle z \rangle_{(k,m)}$. Then we call $D = D_1 \cap D_2 \cap \dots \cap D_n$ a convex polygonal decomposition of domain D and we call E the base polygonal domain.

We introduce the new forward difference operators $\Delta_{\langle c_k \rangle_k}$ as follows: for any function f on R_k and $z \in E_k \cup \{(E_{j-1} \cap E_{j+1}) + mc_k\}$, set

$$\Delta_{\langle c_k \rangle_k} f(\langle z \rangle_{(k,m)}) = f(\langle z + c_k \rangle_{(k,m+1)}) - f(\langle z \rangle_{(k,m)}).$$

Then Theorem 2 can be extended to domains having a convex polygonal decomposition.

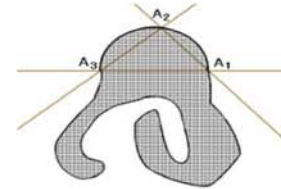
Theorem 3. Let $D = D_1 \cap D_2 \cap \dots \cap D_n$ be a convex polygonal decomposition of domain D . Then any function f holomorphic in D has a periodic decomposition $f = P_{c_1} + P_{c_2} + \dots + P_{c_n}$, where each P_{c_k} is holomorphic and $\langle c_k \rangle_k$ -periodic (in the sense $\Delta_{\langle c_k \rangle_k} f = 0$) in D_k .

Example 1. Let D be a bounded convex domain on $\mathbb{C}$, $[\gamma_1\gamma_2 \dots \gamma_n]$ be an inscribed n -sided polygon of D , and E be the interior of $[\gamma_1\gamma_2 \dots \gamma_n]$. Then $D = D_1 \cap D_2 \cap \dots \cap D_n$ (the definition of D_k follows Theorem 3) is a convex polygonal decomposition of D .

Example 2. Let $D \subset \mathbb{C}$ be a bounded domain and A be its convex hull. Assume that a point $\alpha \in \partial A$ and a positive number $r > 0$ satisfy the following conditions:

- (i) Let $B(\alpha; r) = \{z \in \mathbb{C} \mid |z - \alpha| < r\}$. Then the set $\partial A \cap B(\alpha; r)$ is a curve segment.
- (ii) Let δ_1 and δ_2 be the endpoints of $\partial A \cap B(\alpha; r)$ and l be the line through δ_1 and δ_2 . Then $\alpha \notin l$.
- (iii) Let H be the open half-plane containing α bounded by the line l . Then $A \cap \overline{H} = D \cap \overline{H}$.

Then D has a convex polygonal decomposition.



Next we consider an application of a convex polygonal decomposition whose base polygonal domain is a triangle. Let $E \subset \mathbb{C}$ be the interior of a triangle $[\gamma_1\gamma_2\gamma_3]$ and let $\gamma_4 = \gamma_1$. For each $k = 1, 2, 3$, let E_k be the open half-plane containing E bounded by the line through γ_k and γ_{k+1} , and let $H_k = E_{k-1} \cap E_{k+1}$ ($E_0 = E_n, E_4 = E_1$). Then the domain $U_1 = H_1 \cup H_2 \cup H_3$ has a convex polygonal decomposition with the base polygonal domain E .

Let $f(z)$ be an entire function on $\mathbb{C}$. Since $f(z)$ is holomorphic on U_1 , $f(z)$ has a periodic decomposition $f(z) = P_{c_1}(z) + P_{c_2}(z) + P_{c_3}(z)$ by Theorem 3. We can construct a Riemann surface R by adding some appropriate identifications of points of Riemann surfaces R_k and redefine an appropriate forward difference operators $\Delta_{\langle c_k \rangle_k}$ and backward difference operators $\nabla_{\langle c_k \rangle_k}$. Then R has a natural projection $\pi : R \rightarrow \mathbb{C}$ and any function on $\mathbb{C}$ can be regarded as a function on R . Thus we obtain a periodic decomposition $f(\langle z \rangle) = P_{c_1}^{(1)}(\langle z \rangle) + P_{c_2}^{(1)}(\langle z \rangle) + P_{c_3}^{(1)}(\langle z \rangle)$ ($\langle z \rangle \in \langle U_1 \rangle \subset R$).

Since the domains of $P_{c_k}^{(1)}$ are larger than $\langle E_k \rangle$, we can construct a periodic decomposition $f(\langle z \rangle) = P_{c_1}^{(2)}(\langle z \rangle) + P_{c_2}^{(2)}(\langle z \rangle) + P_{c_3}^{(2)}(\langle z \rangle)$ that holds on a domain $U_2 \subset R$ which satisfies $U_2 \supset U_1$ by repeating the process of the constructing the first decomposition. Furthermore, since the domains of $P_{c_k}^{(2)}$ are larger than that of $P_{c_k}^{(1)}$, we can construct a periodic decomposition of f that holds on a domain $U_3 \subset R$ which satisfies $U_3 \supset U_2$. Repeating this process again and again, we obtain a sequence $\{U_k\}$ of domains in R such that $U_k \subset U_{k+1}$, $\pi(U_k) = \mathbb{C}$ ($k \geq 3$) and f has a periodic decomposition on U_k .

QUESTIONS

This is a join work with Kojiro Higuchi.

Question 1 Can we define some randomness notions in terms of another randomness notions?

Question 2 How can we define it?

Let R and S be two randomness notions.

Question 3 $(\exists \Gamma \subset 2^\omega)[R = \bigcap_{X \in \Gamma} X-S]$ or $(\exists \Gamma \subset 2^\omega)[R = \bigcup_{X \in \Gamma} X-S]$?

where $X-R$ and $X-S$ are relativizations of R and S to X , respectively.

Question 4 What kinds of Γ satisfy above relations?

Note: Q1 same to Q3, Q2 same to Q4.

RANDOMNESS NOTIONS

Definition 1 (Martin-Löf, 1966) (i)

A Martin-Löf test, or ML-test for short, is a uniformly c.e. sequence $(G_m)_{m \in \mathbb{N}}$ of open sets such that $\forall m \in \mathbb{N} \mu(G_m) \leq 2^{-m}$.

(ii) A set $Z \subseteq \mathbb{N}$ fails the test if $Z \in \bigcap_m G_m$, otherwise Z passes the test. Z is ML-random if Z passes each ML-test.

Definition 2 (Kurtz, 1981) A generalized ML-test is a uniformly c.e. sequence $(G_m)_{m \in \mathbb{N}}$ of open sets such that $\mu(\bigcap_m G_m) = 0$. Z is weakly 2-random if it passes every generalized ML-test.

Definition 3 (Schnorr, 1971) A Schnorr test is a ML-test $(G_m)_{m \in \mathbb{N}}$ such that μG_m is computable uniformly in m . A set $Z \subseteq \mathbb{N}$ fails the test if $Z \in \bigcap_m G_m$, otherwise Z passes the test. Z is Schnorr random if Z passes each Schnorr test.

Definition 4 A martingale is a function $d : 2^{<\mathbb{N}} \rightarrow \mathbb{R}_{\geq 0}$ that satisfies for every $\sigma \in 2^{<\mathbb{N}}$ the averaging condition $d(\sigma) = \frac{d(\sigma 0) + d(\sigma 1)}{2}$. A martingale d succeeds on a set A if $\limsup_{n \rightarrow \infty} d(A \upharpoonright n) = \infty$.

Definition 5 We say that Z is computably random if no computable martingale succeeds on Z .

We use ML, W2R, SR, CR to denote the set of 1-random, weakly 2-random, Schnorr random and computably random reals respectively.

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LOWNESS AND HIGHNESS

Definition 1 Let R and S be two randomness notions. We identify these notions with the sets of all random reals in the sense of these notions.

$$\text{Low}(R, S) = \{X \in 2^\omega : R \subset X-S\}$$

$$\text{High}(R, S) = \{X \in 2^\omega : X-R \subset S\}$$

where $X-R$ and $X-S$ are relativizations of R and S to X , respectively.

We can prove Q3 is equivalent to Q5:

Question 5 $R = \bigcap_{X \in \text{Low}(R, S)} X-S$ or $\bigcup_{X \in \text{High}(R, S)} X-R = S$?

ANSWER A PROBLEM

Theorem 1 (Yu, 2012) $\emptyset'$ -Schnorr randomness $= \bigcap_{X \in \mathbb{L}} X - \text{MLR}$.

where $\mathbb{L}$ is the set of all the low sets.

Problem 1 (Yu, 2012) Does $\emptyset'$ -Schnorr randomness $= \bigcap_{X \in \mathbb{L} \cap \mathbb{G}} X - \text{MLR}$?

where $\mathbb{G}$ is the set of all the 1-generic sets.

Theorem 2 For any $\emptyset'$ -Schnorr test $\{\mathcal{U}_e\}_{e \in \omega}$, there exist a low 1-generic real Z and a Z -Martin-Löf test $\{\mathcal{V}_e\}_{e \in \omega}$ with $\bigcap_{e \in \omega} \mathcal{U}_e \subset \bigcap_{e \in \omega} \mathcal{V}_e$.

Method of proof: A finite injury argument.

Corollary 1 $\emptyset'$ -Schnorr randomness $= \bigcap_{X \in \mathbb{L} \cap \mathbb{G}} X - \text{MLR}$.

This give an affirmative answer to Yu's problem. Recall that a real A is said to be LR-reducible to B , abbreviated $A \leq_{\text{LR}} B$, if every real Martin-Löf random relative to B is also Martin-Löf random relative to A .

Theorem 3 (Diamondstone, 2012) For any low real X, Y , there exists a low c.e. real Z such that $X, Y \leq_{\text{LR}} Z$.

We have the following similar theorem:

Theorem 4 For any low real X, Y , there exists a low 1-generic real Z such that $X, Y \leq_{\text{LR}} Z$.

POSITIVE AND NEGATIVE

Positive Answer to Q1 and Q2:

Theorem 5 (Yu, 2012)

$$\bigcup_{X \in \text{High}(\text{MLR}, \emptyset' - \text{SR})} X - \text{MLR} = \emptyset' - \text{SR}$$

This is a positive answer for Q1' in the union part. In fact, Yu also shown that Γ can be $\text{MLR} \cap \text{High}(\text{ML}, \emptyset' - \text{SR})$. This is a interesting answer of Q2.

We give a New Characterization of MLR.

Theorem 6 $\bigcup_{X \in \text{PA}} X - \text{CR} = \text{MLR}$.

Negative Answer to Q1 and Q2:

Yu, Merkle's answers:

Theorem 7 (Yu, 2012) $\neg \exists \Gamma \subset 2^\omega$ such that $\text{W2R} = \bigcap_{X \in \Gamma} X - \text{MLR}$.

Theorem 8 (Merkle and Yu, 2013) $\neg \exists \Gamma \subset 2^\omega$ such that $\text{W2R} = \bigcup_{X \in \Gamma} X - \text{MLR}$.

Our answers:

Theorem 9 $\text{SR} = \bigcap_{X \in \text{Low}(\text{CR}, \text{SR})} X - \text{SR} \neq \text{CR}$.

Theorem 10 $\emptyset' - \text{SR} = \bigcup_{X \in \text{High}(\text{SR}, \text{CR})} X - \text{SR} \neq \text{CR}$.

Theorem 11 $\bigcap_{X \in \text{Low}(\text{W2R}, \text{CR})} X - \text{CR} \neq \text{W2R}$.

SUMMARY OF RESULTS

	$\bigcup_{X \in \text{High}(R, S)} X - R = S$	$\bigcap_{X \in \text{Low}(R, S)} X - S = R$			
R \ S	$\emptyset'$ -SR	W2R	MLR	CR	SR
$\emptyset'$ -SR		Yes	Yes	?	Yes
W2R	?		No	No	No
MLR	Yes	No		?	?
CR	?	?	Yes		No
SR	Yes	No	No	No	

Deformations of isotropic submanifolds in Kähler manifolds

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Background

(P^{2n}, ω, J, g) : Kähler mfd

$M^k \subset P$: isotropic or Lagrangian submfd

Definition

- M : isotropic $\stackrel{\text{def}}{\iff} \omega|_M \equiv 0$
- M : Lagrangian $\stackrel{\text{def}}{\iff} \omega|_M \equiv 0, k = n$

Hamiltonian volume minimizing problem

Find $M \subset P$ which is Hamiltonian volume minimizing

$$\text{i.e. } \text{vol}(M) \leq \text{vol}(\phi(M)) \quad \text{for } \forall \phi \in \text{Ham}(P, \omega)$$

Remark

- $M \subset P$: Ham vol min
- $\implies M \subset P$: Hamiltonian stable
- $\implies M \subset P$: Hamiltonian minimal

Definitions and Properties

$M \subset P$: isotropic submfd

$$\implies T^\perp M = J(TM) \oplus \nu$$

$\iota_t : M \rightarrow P$: deformation of M

$\xi_t := \frac{d}{dt} \iota_t$: variation vector field

$$\alpha_{\xi_t} := \omega(\xi_t, \cdot) \in \Omega^1(M)$$

Definition

- ι_t : isotropic deform
 $\stackrel{\text{def}}{\iff} \iota_t^* \omega = 0 \ (\forall t)$
 $\iff d\alpha_{\xi_t} = 0 \ (\forall t) \ (\because \text{Cartan's formula})$
- ι_t : exact deform
 $\stackrel{\text{def}}{\iff} \alpha_{\xi_t} : \text{exact}$
- ι_t : Hamiltonian deform
 $\stackrel{\text{def}}{\iff} \iota_t = \phi_h^t \text{ for some } h_t \in C^\infty(N(M))$

Remark ξ : exact vari, $\xi = \eta + \rho \ (\eta \in J(TM), \rho \in \nu)$
 $\implies \eta$: Ham vari

Definition

- $M \subset P$: isotro(, exact, Ham) minimal
 $\stackrel{\text{def}}{\iff} \frac{d}{dt} \Big|_{t=0} \text{vol}(\iota_t(M)) = 0$
for $\forall \iota_t$: isotro(, exact, Ham) deform

Proposition [B. Chen, J-M. Morvan]

- $M \subset P$: exact minimal
 $\iff d^* \alpha_H = 0 \ (H : \text{mean curv vec of } M \subset P)$

Definition

- $M \subset P$: isotro(, exact, Ham) stable
 $\stackrel{\text{def}}{\iff} M \subset P$: isotro(, exact, Ham) minimal
& $\frac{d^2}{dt^2} \Big|_{t=0} \text{vol}(\iota_t(M)) \geq 0$
for $\forall \iota_t$: isotro(, exact, Ham) deform

Main Result

Theorem

P^{2n} : Kähler mfd

$Q^{2k} \subset P^{2n}$: totally geod Kähler submfd

$M^k \subset Q^{2k}$ cpt Lag submfd

$(\implies M \subset P$: isotro submfd)

(1) $M \subset Q$: Ham minimal

$\implies M \subset P$: exact minimal

(2) P : nonposi sec curv, $M \subset Q$: minimal

$M \subset Q$: Ham stable

$\implies M \subset P$: exact stable

proof

(1) “ m.c.v. of $M \subset Q$ ” = “ m.c.v. of $M \subset P$ ”

(2) ξ : exact vari, $\xi = \eta + \rho \ (\eta \in J(TM), \rho \in \nu)$

$$\begin{aligned} & \frac{d^2}{dt^2} \Big|_{t=0} \text{vol}(\iota_t(M)) \\ &= \int_M (\|\nabla^\perp \xi\|^2 - \|A_\xi\|^2 - \sum_{i=1}^k \langle R(e_i, \xi), \xi \rangle, e_i) \\ &= \int_M (\|\nabla^\perp \eta\|^2 - \|A_\eta\|^2 - \sum_{i=1}^k \langle R(e_i, \eta), \eta \rangle, e_i) \\ & \quad + \|\nabla^\perp \rho\|^2 - \sum_{i=1}^k \langle R(e_i, \rho), \rho \rangle, e_i) \\ &\geq 0 \end{aligned}$$

Quasi-stationary distributions for Markov processes and its applications

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Introduction

We study the problem of the existence and uniqueness of quasi-stationary distributions (QSD). The study of quasi-stationary distributions for branching processes began with the work of Russian Mathematician A. M. Yaglom. In [i], P.A. Ferrari et al. study the problem for general continuous Markov Processes and E.A. van Doorn consider the problem for birth and death processes. In particular in [ii] a necessary and sufficient condition for the existence and uniqueness is obtained. By applying this result, we check the existence and uniqueness of quasi-stationary distribution for three typical models, birth and death processes with constant rates, linear birth and death processes and logistic birth and death processes. We consider the application of quasi-stationary distribution on field of demography or biology.

The general theory for QSD

Notations and Assumptions Let $\{X_t : t \leq 0\}$ be a continuous time Markov process on a state space E of form $0 \cup \{1, 2, \dots\}$, where 0 is an absorbing state and $E^* := \{1, 2, \dots\}$ is a irreducible transient class. Let Q be the corresponding transition rate matrix whose component q_{ij} represents the rate of jumping from i to j . We assume that Q is conservative and honest. Let T_0 be the first hitting time at 0, $T_0 = \inf\{t \geq 0 ; X_t = 0\}$. We further assume that the expectation of T_0 is finite for any $i \in E^*$.

Definitions

- 1) $\alpha \in \mathcal{P}(E^*)$: quasi-stationary distribution (QSD)

$$\stackrel{\text{def}}{\iff} \alpha(A) = \mathbb{P}_\alpha(X_t \in A | T_0 > t), \forall t \geq 0, A \subset E^*$$

- 2) $\alpha \in \mathcal{P}(E^*)$: quasi-limiting distribution (QLD)

$$\stackrel{\text{def}}{\iff} \exists \nu \in \mathcal{P}(E^*) \text{ s.t.} \\ \alpha(A) = \lim_{t \rightarrow \infty} \mathbb{P}_\nu(X_t \in A | T_0 > t), A \subset E^*$$

- 3) $\alpha \in \mathcal{P}(E^*)$: Yaglom limit

$$\stackrel{\text{def}}{\iff} \alpha(A) = \lim_{t \rightarrow \infty} \mathbb{P}_x(X_t \in A | T_0 > t), \forall x \in E^*, A \subset E^*$$

$$\text{QSD} \iff \text{QSD} \iff \text{Yaglom limit}.$$

The existence of QSD Under the above assumptions and the following condition;

$$\lim_{i \rightarrow \infty} \mathbb{P}_i(T_0 < t) = 0 \text{ for any } t \geq 0, i \in E^*,$$

we see from [i] that the existence of quasi-stationary distribution is equivalent to

$$\mathbb{E}_i[e^{\lambda T_0}] < \infty,$$

for some $\lambda > 0$ and for some $i \in E^*$ (and hence for all i).

In case of birth and death processes

Notations and Assumptions We consider a birth and death process on E with birth coefficients λ_i and death coefficients μ_i , for $i \in E$. We assume that $\lambda_0 = \mu_0 = 0$, $\lambda_i > 0$, $\mu_i > 0$, $i \geq 1$. We further assume that the eventual absorption at 0 is certain. This condition is equivalent to

$$\sum_{i=1}^{\infty} \frac{1}{\lambda_i \pi_i} = \infty \text{ where } \pi_1 = 1; \pi_i = \frac{\lambda_1 \lambda_2 \cdots \lambda_{i-1}}{\mu_2 \mu_3 \cdots \mu_i}, i = 2, 3, \dots$$

In case of birth and death processes, the transition probabilities is given by

$$P_{ij}(t) = \pi_j \int_0^\infty e^{-x t} Q_i(x) Q_j(x) d\psi(x),$$

where ψ is the unique positive probability measure on $[0, \infty)$. Let ξ_1 be the infimum of support of ψ . Then ξ_1 plays a crucial role on the existence of quasi-stationary distribution together with the following sum;

$$S = \sum_{n=1}^{\infty} \frac{1}{\lambda_n \mu_n} \sum_{i=n+1}^{\infty} \pi_i.$$

The existence of QSD

Theorem ([ii]) A necessary and sufficient condition for the existence of QSD is as follows;

- 1) If the sum S converges, then $\xi_1 > 0$ and there is precisely one QSD.
- 2) If the sum S diverges and $\xi_1 > 0$, then there is a one-parameter family of QSDs.
- 3) If the sum S diverges and $\xi_1 = 0$, then there is no QSD.

Examples

- 1) birth and death processes with constant rates; If $\lambda_i = \lambda$, $\mu_i = \mu$, $i \geq 0$, then the Yaglom limit is $a_j = j(1 - \beta)^2 \beta^{j-1}$, for $j = 1, 2, \dots$. If $\mu > \lambda$, then $\xi_1 = (\sqrt{\lambda} - \sqrt{\mu})^2 > 0$ and $S < \infty$. So, there is a unique quasi-stationary distribution. If $\mu = \lambda$, then $\xi_1 = 0$ and there is no quasi-stationary distribution.
- 2) linear birth and death processes; If $\lambda_i = i\lambda$, $\mu_i = i\mu$, $i \geq 0$, then the Yaglom limit is $a_j = (1 - \frac{\lambda}{\mu}) \left(\frac{\lambda}{\mu}\right)^{j-1}$, for $j \geq 1$. So $\xi_1 = \mu - \lambda > 0$, $S = \infty$. Therefore, There are infinitely many QSDs.
- 3) logistic birth and death processes; If $\lambda_i = \lambda i$, $\mu_i = \mu i + ci(i-1)$, $i \geq 0$, the infinite is a entrance boundary in Feller's sense. This implies that the sum S converges. So, there is a unique QSD.

The application of QSD

In demography or biology, the extinction rate of X starting from μ at time $t \geq 0$ is given by

$$\gamma_\mu(t) = -\frac{\frac{\partial}{\partial t} \mathbb{P}_\mu(T_0 > t)}{\mathbb{P}_\mu(T_0 > t)}.$$

If α is a QLD for X started from a probability measure μ on E^* , then

$$\lim_{t \rightarrow \infty} \gamma_\mu(t) = \gamma_\alpha(0).$$

That is, the existence of a QLD for X with initial distribution μ implies the existence of a long term mortality plateau.

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Optimal stopping and its applications to mathematical finance

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Optimal stopping problems often arise when we need to find the best time or the best decision rule to make actions. These kinds of problems often exist in economics and finance, for example, to find the optimal stopping time to exercise an American type option.

In general, an optimal stopping problem has the following descriptions: let $(\Omega, \mathcal{F}, \mathbb{P})$ be a complete probability space with a standard Brownian motion $B = \{B_t; t \geq 0\}$, and consider the diffusion process on state space $I = (a, b) \subseteq \mathbb{R}$ with endpoints $-\infty \leq a < b \leq \infty$ and dynamics

$$dX_t = \mu(X_t)dt + \sigma(X_t)dB_t, \quad X_0 = x,$$

with coefficients μ and σ satisfying certain conditions. Let $h(x)$ be the reward function, Λ_t be the discounting rate functional of X_t . Then the value function is

$$v(x) = \sup_{\tau \in \mathcal{T}} \mathbb{E}_x[e^{-\Lambda_\tau} h(X_\tau)], \quad x \in (a, b)$$

where $\mathcal{T}$ is a set of stopping times.

Objectives of an optimal stopping problem

- characterize the value function by an analytical form (even an explicit form, if possible);
- find the optimal stopping time to maximize the expectation shown in the expression of the value function.

The Big Question

Under the Ito diffusion models, the cases with continuous and bounded reward functions have already been thoroughly researched in the recent decades while the irregular reward function cases, for example, the reward function is discontinuous and/or unbounded, are still not so clear.

Methods

Variational Characterization

The optimal stopping problem can be formulated as a free boundary value problem by means of variational arguments. This approach is very general and can be extended to multi-dimensional problems, but it requires ellipticity of the diffusion and some regularity of the reward function. This method becomes challenging when the reward function is discontinuous and unbounded. Lamberton and Zervos[2006] constructed the relationship between the variational inequality and optimal stopping problem in the infinite horizon case without the requirement of the continuity and allowing the possibilities that it is unbounded. Lamberton[2009] considered the finite horizon case for very general one-dimensional diffusion only requiring the reward function to be Borel measurable and bounded.

Excessive Characterization

The value function is excessive with respect to the underlying process. According to Dayanik and Karatzas[2003], by the equivalence between the excessivity and the concavity, the value function of the optimal stopping problem can be characterized as the smallest nonnegative generalized concave majorant of the reward function.

Application

Considering the Down-and-in gap put option, refer to the following figure for the positive payoff area and the reward function.

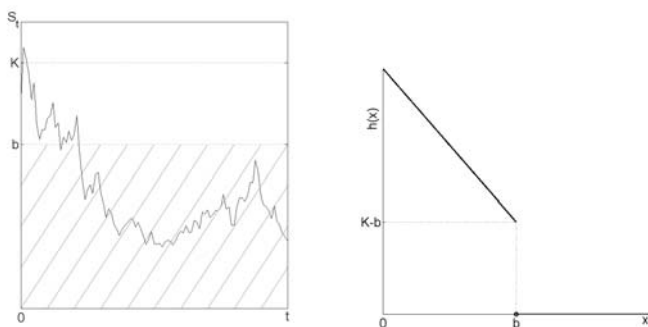


Figure: Reward Function

For the basic notations, let K be the strike price, b the barrier line, σ the volatility of the stock price and r the risk free interest rate. Let $(\Omega, \mathcal{F}, \mathbb{P})$ be a complete probability space with a standard Brownian motion B_t . Assume the price of the underlying asset satisfies the SDE:

$$dS_t = S_t(rdt + \sigma dB_t), \quad S_0 = x,$$

with state space $I = (0, \infty)$. Then both boundaries are natural in the sense of Feller's classification. Denote $\{\mathcal{F}_t\}$ the natural filtration of S . Given the reward function $h(x)$, the value function is defined by

$$v(x) = \sup_{\tau \in \mathcal{T}} \mathbb{E}_x[e^{-r\tau} h(S_\tau)], \quad x \in (0, \infty),$$

where $\mathcal{T}$ is the set of finite stopping times.

Variational Characterization

By the variational arguments, we can obtain the value function if we can construct the corresponding variational inequality and solve it. The following theorem solves such problem.

Theorem [Variational Inequality]

If a number $q \in (0, b]$ and a convex decreasing function u in $C([0, \infty)) \cap C^2((0, \infty) \setminus \{q\})$ satisfy the following variational inequality:

$$\begin{cases} \frac{1}{2}\sigma^2(x)u''(x) + rxu'(x) - ru(x) < 0, & 0 < x < q, \\ \frac{1}{2}\sigma^2(x)u''(x) + rxu'(x) - ru(x) = 0, & q < x < \infty, \\ u(x) = h(x), & 0 < x < q, \\ u(x) > h(x), & q < x < \infty, \end{cases}$$

then $u(x)$ coincides with the value function $v(x)$ of the above optimal stopping problem. Moreover, the stopping time,

$$\tau_{[0, q]} := \inf\{t \geq 0 | S_t \leq q\},$$

is optimal.

This variational inequality can be solved using the smooth-fit principle when $K(1 - 1/\beta) < b$.

Excessive Characterization

Denote by $\mathcal{L}$ the infinitesimal generator of the above diffusion. Then equation $\mathcal{L}u = ru$ has two linearly independent, positive solutions $\psi(x)$ and $\varphi(x)$: $\psi(x)$ is strictly increasing and $\varphi(x)$ is strictly decreasing. Denote $F(x) = \psi(x)/\varphi(x)$ and $G(x) = -\varphi(x)/\psi(x)$, $x \in [c, d]$, $p(x)$ be the scale function of the above diffusion. The following result will be essential.

Theorem (Dayanik and Karatzas[2003])

The value function is the smallest nonnegative concave majorant of $h(x)$ on (a, b) such that v/φ is F -concave (equivalently, v/ψ is G -concave) on (a, b) .

Further according to the relationship between the generalized concave function and the ordinary concave function, we can obtain the explicit form of the value function, which is the same as the former method when $K(1 - 1/\beta) < b$.

Theorem [Value Function]

If $K(1 - 1/\beta) < b$, where $\beta := 1 + 2r/\sigma^2$, then the value function is

$$v(x) = \begin{cases} K - x, & x \in [0, K(1 - 1/\beta)], \\ [K(1 - 1/\beta)]^\beta x^{1-\beta}/(\beta - 1), & x \in (K(1 - 1/\beta), \infty), \end{cases}$$

Moreover, the optimal stopping time is

$$\tau^* = \tau_{[0, K(1-1/\beta)]}.$$

If $K(1 - 1/\beta) \geq b$, then the value function is

$$v(x) = \begin{cases} K - x, & x \in [0, b], \\ (K - b)(x/b)^{1-\beta}, & x \in (b, \infty). \end{cases}$$

Moreover, the optimal stopping time is

$$\tau^* = \tau_{[0, b]}.$$

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Hume’s Eclectic Method on Logic

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Introduction

In ‘Abstract of the Treatise’, under the pretense of being a book reviewer of his own work, A Treatise of Human Nature, the 18th century Scottish Philosopher David Hume stated, ‘The author has finished what regards logic’. Because it went against the prevailing logic at that time, his logic had a large implication on specific characters. That is, as a matter of form, it inductively pursues the principles of logic into the phenomenological world composed of ideas, rather than deductively in an axiomatic system that holds reasoning as valid from necessary premises. The objects to be treated in his reasoning are only our ideas, and therefore, he studies the mental or moral operations of humans, who are unintentionally engaged in reasoning concerned, as faculties or functions dependent on human nature. It is generally interpreted that he divided reasoning into demonstrative and probable reasoning in the same manner as he divided its objects. He presents, however, the notion in the last section of Book I of Treatise, ‘Conclusion of this book’, that he could not sincerely devote himself, in his path of life, to only one aspect within the two species of reasoning. On the other hand, he also makes an unanticipated statement in first Enquiry that ‘it is, at bottom, erroneous, at least, superficial’. In this presentation, I show his substantial relationship between them.

1. The Role of Hume’s Logic

(1) Zabeeh’s Insights into Hume’s Logic The Sole End of Hume’s Logic

Hume stated that ‘the sole end of logic is to explain the principles and operations of our reasoning faculty, and the nature of our ideas’ (THN intro. xvi). Indeed it might be come down to this one or two points in order to contribute ‘the science of man’, but he used the term ‘logic’ in a few manners in his works. F. Zabeeh divided Hume’s logic into three parts, which seemingly proper and consistent with each other (Zabeeh 106-107).

- ① His logic is in part an inquiry into the causal operation of ‘our reasoning faculty’ (Philosophical Psychology).
- ② His logic in part consists of rules which an experimental philosophy ought to observe in his search for causal connection (Canons of Induction).
- ③ His logic in part consists of principles which a philosopher ought to accept if he wants to talk sense and not nonsense (Principle of Meaning).

From these standpoints, he articulated that ‘inquiry into the formal relation of terms and propositions (syntactics) is regarded by Hume as trivial and pretended reasoning’. But I think ③ is integrated into ①, whereas ① and ② are rightly classified. So, I will replace ③ with my own view finally.

(2) The Sole End of Hume’s Logic

Now, what does logic mean specifically for Hume? I think that to answer the fair-seeming question, we should firstly pay attention to his criticism on existing and prevailing logic that was still taught at schools in those days.

This error consists in the vulgar division of the acts of the understanding, into *conception, judgment, and reasoning*, and in the definitions we give of them. [...] But these distinctions and definitions are faulty in very considerable articles. (THN 1.3.7.n2)

What we may in general affirm concerning these three acts of the understanding is, that taking them in a proper light, they all resolve themselves into the first, and are nothing but particular ways of conceiving our objects. [...] the act of the mind exceeds not a simple conception. (ibid.)

This kind of division of them we can easily find in the famous texts of logic in early-modern times (Alnauld & Nicole, Watts, &c.). As opposed to them, he claims that we can naturally conceive the proposition composed of only one idea. This claim call a view like that Hume’s claim for demonstrative certainty entails needs for ‘mere forms or essences’ (Anderson 59-63). But I think that demonstrative certainty consists only in setting proportions in arithmetic, not in all the systems of mathematics or algebra.

2. Counterarguments to Hume’s Requiring Certainty

(1) Weintraub’s Tentative Theory for Justification of Inference

R. Weintraub presented three possible responses in cases of the justification of a form of inference (Weintraub 464). Her points consist in whether a mode of inference itself can be justified or not, and the reasons of those judgments in each cases.

- (A) A mode of inference can be *basic* – justified, but not by reference to another.
- (B) Its justification might be mediate.
- (C) It may be unjustified.

According to her, Hume chose (c) with respect to ‘induction’. The reason for ruling out (A) is that induction is not basic (intuitive). And the reason eliminating (B) is that induction can not be justified *inferentially*; because ‘it has no deductive justification’, though if inductive justifications had some mediate (e.g. the uniformity of nature), only to circular.

On the other hand, she construed Hume as practically picking out (A) in case of ‘deduction’. The reason for excluding (B) depends on ‘what Hume said, ‘the same principle cannot be both the cause and effect of another’ (THN 1.3.6.7). And the reason ruling out (C) derives from his stance not adopting skeptical attitudes towards deduction.

But I disagree with her conclusion in case of deduction. Hume *never* countenance deduction or ‘arguments a priori’ as being possible to be justified as well as induction. Because they are appreciated in terms of our assurance of reasoning.

(2) Impermanency of Total Skepticism

Hume presented skeptical arguments with our reason in *Treatise* as follows.

‘In all demonstrative sciences the rules are certain and fallible; but when we apply them, our fallible and uncertain faculties are very apt to depart from them, and fall into error’. (THN 1.4.1.1)

‘Since therefore all knowledge resolves itself into probability, and becomes at last of the same nature with that evidence, which we employ in common life...’. (THN 1.4.1.4)

It is true that when he observe ‘all knowledge resolve itself into probability’, he does not necessarily assent to that kind of skeptical arguments. Rather he instead implies the influences for us to correct the first or prior judgments from the skeptical perspective of our reason, whereas the claims of total skepticism with regard to our reason or senses remain self-destructive; and also our unreliable faculties may *still* often make mistakes in demonstrative sciences.

For Hume if this kind of skepticism, if ever not so strong as to break up demonstrative sciences, has the role to support us, who are engaged in that sciences or other, then the first or prior judgments, relatively speaking, would practically take the character of probability, which has no certainty therefore requires many corrections up to gaining generalities.

3. Skeptical Arguments against his Dichotomy

Thus, Hume’s dichotomy on the issue of our reasoning, or division between argumentation from reason and experience could be open to doubt, especially in that for him each reasoning is always treated as independently in academic or social fields. He observes in first *Enquiry* as follows.

‘Though it be allowed, that reason may form very plausible conjectures with regard to the consequences of such a particular conduct in such circumstances; it is still supposed imperfect, without the assistance of experience, which is alone able to give stability and certainty to the maxim, derived from study and reflection’. (EHU 5.1.n1)

‘But notwithstanding that this distinction be thus universally received both in the active and speculative scene of life, I shall not scruple to pronounce, that is, at bottom, erroneous, at least superficial’. (ibid.)

But I think these are not problematic views but natural results of his standpoint. Because for Hume reasoning, either inductive or deductive, is irrelevant to their justification. As far as I know, Hume nowhere refers reasoning to be justified in his works.

4. Eclectic Method according as our Ends

To reconcile these seemingly paradoxical circumstances, I should comprehend what he implies in adopting reasoning from reason in our investigations; for example in physics. Of course, we do not always adopt reasoning only from reason, because we assume that what occurs in the phenomenological world can be inquired from the standpoints of causes and effects as ideas.

Mathematics, indeed, are useful in all mechanical operations, and arithmetic in almost every art and profession: But (sic) ‘tis not of themselves they have any influence. Mechanics are the art of regulating the motions of body to some design’d end or purpose; and the reason why we employ arithmetic in fixing the proportions of numbers, is only that we may discover the proportions of their influence and operation. (THN 2.3.3.2)

We are conscious, that we ourselves, in adopting means to ends, are guided by reason (sic) and design, and that ‘tis not ignorantly nor casually we perform those actions, which tend to self-preservation, to the obtaining pleasure, and avoiding pain. (THN 1.3.16.2)

Hume regards judgment from demonstration as useful and helpful as a means to ‘matters of fact’ which, like natural philosophy, inquires causally. And the ends lie in knowing the nature of what we quest for. This is the point peculiar to Hume’s logic that Zabeeh overlooked. And this argument above consistent with his view of ‘two kinds of truth’ that one is the discovery of the proportions of ideas, the other is ‘the conformity of our ideas of objects to their real existence’, which, in effects, desired as an exemplary stage.

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From Heroism to the Politics of Dialogue

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Introduction

Maurice Merleau-Ponty, the French phenomenological philosopher, often mentions heroism in his works. However, his meaning of heroism is different from previous types of heroism. Therefore, this poster presentation defines heroism according to Merleau-Ponty.

Heroism (until the Modern Age)

In *Sens et non-sens*, Merleau-Ponty states that heroism is always present. Yet, according to him, its meaning has been changed by his contemporaries. The following are previous types of heroism, as shown by Merleau-Ponty.

1) Until the Modern Age, the hero was thought to be a representative of the will of God who was present in the world. However, by the time of philosophers such as Hegel and Nietzsche, people were unable to believe in transcendent existence outside of the living world.

2) For Hegel, a hero (under the older definition) was the protector of the world and the hero created (through his actions) new laws and moralities that the following era would recognize as the truth. In other words, this hero did what the history of the world wanted and he sacrificed himself for the future.

However, such an antiquated type of heroism was no longer the belief for Merleau-Ponty and his contemporaries since they no longer believed that there were pre-established harmonies and an ideal direction for future society in the present world.

3) For Nietzsche, the hero did not care about God and the rational flow of history and this type of heroism regarded the ruler. However, it was impossible to dominate absolutely after the hero's death.

Heroism (Merleau-Ponty and his contemporaries)

People do not believe in such antiquated types of heroism, but they still believe in heroism. Merleau-Ponty considers how the meaning of the hero has changed by focusing on the hero as an actual human being. In *Phénoménologie de la perception*,

Merleau-Ponty explains his theory of human nature by presenting humans as existing or *être au monde*. Such existence in physical form has certain implicit and unachieved meanings about the world, especially in the relationship between the world and the physical body that can move, express, and think. Due to such a link, a human can comprehend others and establish friendships through individual efforts. Ideally, a hero, as a part of this world, assumes his and others' situations, and never betrays his comrades. Such a human is the hero according to Merleau-Ponty and his contemporaries.

Concretely, facing a crisis or even his death, for what hero attempts to fulfill this mission? With a clear goal, it is easy to serve, but if it is not clear, then does he not regard the significance of his own death? The sacrifice, his practice is not for what history wants (Hegel), not for Thanatos (Nietzsche), not for service futile. He sacrificed himself in order to present and prove to himself and others what he and his comrades believe (for example freedom, equality) are true.

Conclusion

This poster presentation first classified the antiquated types of heroism according to Merleau-Ponty and then defined heroism as presented by Merleau-Ponty and his contemporaries.

In addition, in *Sens et non-sens*, Merleau-Ponty also often mentions politics. I think that focusing on the relationship between the hero and others is helpful when studying how Merleau-Ponty considered the relationship political between a leader and masses of people. In the scene of policy making, to become a hero, to be trusted by masses of people, a leader has to assume his and others' situations, and he has to continue dialogue with the world and others. Because he as a human is not the representative of the will of God nor the representative of history.

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Individuality and historicity of life : - From Dilthey's middle and late speculations -

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1. Introduction : Psychic structure and historicity of individual life

In his late speculation, which is commonly known as “life philosophy,” Wilhelm Dilthey shifted his interest from the middle phenomenological and descriptive analysis of the individual mind to the interpretation of the historical world in which we live and act. In this case, the historical world is the spiritual one formed by human beings historically and the one in which we innerly experience and act upon. In addition, this world is clearly distinguished from the mere nature that exists outside of our minds. According to Dilthey, the subject that forms history is always “the mind, which lives, acts, bears the power of forming, and reacts sensitively to all the influences” (VII, 254). In other words, the fact that the individual grasps, feels the world, and actively works on this world is the key to interpreting the historical world. Dilthey's subject of analysis is the structure of the mind's total ability to become a universal relational form to the world.

Each individual in their process of growth gradually develops the mind's ability of thinking, feeling, and willing, each of which forms the conceptual, self, and practical knowledge in the world. Dilthey referred to the individual mind's total ability as the “psychic acquired nexus” (*das erworbene Zusammenhang*). This nexus develops purposively in each individual history of growth. However, it is also formed by the common historical context, for example, the social community as family, political or legal organization, culture, and age. These common realms in the historical world always surround and influence the individual beyond their own life and the history of the individual is brought up only under the background of communal or all of humankind's history. Therefore, each individual's psychic acquired nexus “demonstrates both general and more individual characteristics” (V, 225–26).

2. Individuality and productive nexus (*Wirkungszusammenhang*) of the historical world

In his late argument of history, Dilthey focuses his attention much more on the productive nexus in the historical world, which operates beyond the individual. According to Mul, “Although this world is produced by human beings, in its complex nexus it stands opposite the individual as a nexus that precedes him and affects him continuously and deeply” (2004, 261). The social and cultural communities (or the political and economic organizations) are common spiritual realms that consist of the individual and, at the same time, form the purposive nexus independent of the individual mind's purposive nexus. In this case, Dilthey believes that the productive nexus of the communal organization and the purposive nexus of the individual mind is continuous: “It is indeed in this psychic structure that the character of purposiveness is originally given and when we attribute this to an organism or to the world, this concept is only borrowed from the inner lived experience” (V, 210).

Furthermore, according to Dilthey, the individual action is, on one hand, dependent on the communal motivation, which is not necessarily awakened by the individual. Each individual, as one unity of life, possesses a complete closed psychic system. However, at the same time, such a system is open for interaction with the common broader unity of life in the historical world (VII, 154). The passion or feeling that induces individual action works on the historical world and influences the power of forming. However, each of these is also restricted within the individual inner side and the purpose can differ (VII, 257). The purpose of the individual act can function as the common purpose beyond the individual. Thus, Dilthey finds that the particularity of the productive nexus of the historical world (with regard to the purpose of the self) can become the common historical purpose.

3. Discrepancy between individuality and objectivity of science

The problem here is that Dilthey overemphasized the continuance between the individual and the community. In addition, he rarely referred to the possible dangers of organizations operating away from the individual such as the oppression of freedom.

As often criticized, Dilthey (under the influence of Georg Wilhelm Friedrich Hegel) aimed at the ideal and harmonious relationship between the individual and the community by using the Hegelian term “objective spirit.” According to Dilthey, an individual's meaning of life lies in the historical nexus that works on the world beyond their and the individual's finiteness is regarded as the aspect to overcome to acquire the objective of understanding life. In this regard, the keystone of Dilthey's philosophy, namely that “the history of man should be sought in the individual who weaves the nexus of life as an element,” becomes difficult to explain.

The content of Dilthey's term “objectivity” is important to note. According to Mul, the objectivity of human sciences in Dilthey is clearly distinguished from the universal validity in natural sciences.

In this case, Dilthey's “objectivity” is tied with the “inter-subjectively accessible nexus” of the world (Mul, 2004, 261). More specifically, it depends upon the world shared and understood uniformly by an individual and other people surrounding them. In addition, “the more the world an interpretation reveals ... the more objective it is” (ibid). Therefore, the interpretation of the historical world becomes more objective when the world in which the interpreter is embedded becomes clarified.

4. Historicity and temporality of individual life: —from Heidegger's perspective—

According to Johach, Dilthey found that “the interest for the individual action which forms the community is always interfered by the ideal and esthetic interest for the objectification” (1974, 162–163). Accordingly, the task of human sciences, namely “becoming the certain foundation for the world of action” (VII, 261), was not sufficiently accomplished by Dilthey. This is based on the fact that late Dilthey admitted the superiority of the objectivity of the human spirit, and the finiteness or temporality of life was retired from the main argument. In this regard, this author believes that Heidegger's interpretation of the temporality of life helps to resolve Dilthey's aporia of individuality and the objectivity of life since only the viewpoint of the temporality of acting man and the connection between the individual and objective human history can be found.

Heidegger defined human beings as “beings to the end” (*Sein zum Ende*), which alludes to the possibility of “being toward the future” in which the past can be initially brought to life for each present action. That is to say, the past history can be most effective by becoming the drive to the future. This supremacy of the future is also an important viewpoint for Dilthey in which he stated that, “every understanding of the past should become the power to form the future” (VIII, 204). As mentioned above, the individual is, on one hand, created by the history of the community or all of mankind. On the other hand, the individual continuously works on the world and forms history. Originally, both of these passive and active aspects of the individual were important, but Dilthey places more emphasis on the already formed common social system compared to the individual action that forms the historical world.

5. Action that links individual historicity to human historicity

Although the temporality and finiteness are isolated by Dilthey in his categorical analysis of life, Dilthey fails to connect these characteristics to the historicity of acting man. Action is supported by the common historical foundation of how to act and the individual mind's psychic acquired nexus is utilized in each situation. In this case, the action includes the character of the peculiar event, which occurs only once in an individual's history. Thus, the individual action appears to be regarded as the point of contact between the historicity of the individual and the history of the human past.

Each state of the historical world are formed and altered by their links with the movement of the individual's mind. The world in which we have lived reveals itself each time in concrete individual actions. Therefore, the point of contact between an individual's and humankind's history can be found in finite actions that must remain focused on the future.

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'Formal Ontology' in *Logical Investigations*

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In *Logical Investigations*, Husserl claims abstract notions also exist in another way, and shows how they relate and depend on each other. In this poster session, I would like to call this structure in *Logical Investigations* formal ontology. In this poster session, the whole structure of the ontology that remains implicit, and evaluation of the ontology are focused on.

1. Categorical Intuition and its founded Character

It is necessary to identify categorical intuition and to distinguish it from sensible intuition before taking up formal ontology. Categorical intuition means intuitions that have no element of sensibility in expressions (XIX/2, 659; 661; 667). For example, to intuit what is expressed in expressions like 'be', 'and', 'all', and 'some' is categorical intuition.

What is important here is the 'founded' character of categorical intuition. X being 'founded' by Y means that X could not exist without Y. Categorical intuition is founded by sensible intuition. From this point of view, Husserl characterizes categorical intuition as 'higher' act than sensible intuition. When you intuit something categorically, you intuit something (else) sensuously at the same time.

2. Three Kinds of Abstractions

We have to set the following as a premise of the discussion. 'Categorical intuitions are finally based on sensuous intuitions' (XIX/2, 712). On top of that, Husserl distinguishes three kinds of abstractions. 'We call schlicht act of intuition as sensuous act, and founded act which is reduced to sensuous act mediately or immediately, as categorical act. However what is even more important is to distinguish purely categorical act from act of understanding which is mixed up with sensuousness in categorical acts' (XIX/2, 712).

Husserl says that sensible abstractions that give purely sensible things, for example, give colors and houses, sensible abstractions mixed up with categorical abstractions give some axioms in geometry and the character of having colors, and purely categorical abstractions that give purely categorical forms give collections, relations, concepts and so on (XIX/2, 713).

3. The Model of Founding Relations in *Logical Investigations*

Now that three kinds of abstractions are made clear, it is necessary to make the model of founding

relations in *Logical Investigations* explicit. Each kind of the abstractions is in founding relations each other as follows.

Fig. (arrows mean founding)

A. Sensuous Abstraction

↓

B Categorical Abstraction mixed up with Sensuousness

↓

C purely categorical Abstraction

It matters that, at least in *Logical Investigations*, C can be said to be characterized as different abstraction from B. It is sure that when you intuit mathematical-logical thing, that categorical intuition C has to be founded by B. However C does not totally depends on B while C is founded by A through B. This is because B includes some elements of sensibility and the B founded only by sensible intuitions, not by categorical intuitions. According to Haddock (Haddock 1987), I would like to call B like this 'premoderal' B. C does not includes that kind of abstraction. It is sure that C is founded by premoderal B, but C itself has nothing to do with A. That is why C can abstract higher, mathematical-logical objects, and intuit them freely from the boundary of sensibility. This so called 'gap' between B and C comes from Husserl's underlying view to categorical forms.

4. Where is the Gap from?

In *Logical Investigations*, Husserl claims that purely categorical objects exist against us (gegenueberstehen) (XIX/1, 51). However details about the ontological entity of the objects are not spoken of. For example, Husserl revisited this theme, and he contemplates the problem over and over again (XX, 364; 369).

Husserl's position on the purely categorical notions themselves and categorical notions mixed up with sensuousness is still vague in *Logical Investigations*. This results in making the 'gap'.

References

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Comparative study of the concept of organism between Bergson and Kant

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Introduction

This is a comparative study of the concept of organism between Henri Bergson and Immanuel Kant. They regard organisms as self-creating and self-organizing respectively. Both these determinations contain the sense of not being made by an external cause. Therefore, the two philosophers' conceptions of organism are sometimes equated (cf. F. Dagognet). This study clarifies how Bergson and Kant consider organisms and indicates the differences between their two approaches by referring to *L'évolution créatrice* (Creative Evolution) by Bergson and *Kritik der Urteilkraft* (Critique of Judgment) by Kant.

Kant: Organisms are self-organizing.

⇔ (in the sense that both mean organisms are not what are made by an external cause)

Bergson: Organisms are self-creating.

→ Differences between them?

Background

With regard to the phenomena of organisms, two doctrines often contend with one another: mechanism and teleology. It is certain that organisms are difficult to explain in terms of mechanism since they have finality or purposiveness. However, people hesitate to adopt teleology since it often introduces supernatural and unobservable factors such as external intelligent cause, purpose, and intention. We call such a teleology "anthropomorphic" since its organisms (according to analogy) are much like watches made by watchmakers. In this kind of situation, another mode of explanation is necessary which can become a doctrine that considers organisms to be self-organizing or self-creating.

Mechanism ⇔ anthropomorphic Teleology

⇕

The third approach

= The theory of self-organization or self-creation

The concept of organism by Kant

Kant defines organisms as natural purpose (Naturzweck), which includes two determinations: 1)

its parts reciprocally produce one another to form its whole; and 2)

the idea of its whole is the cognitive ground for estimating the systematic unity of the form and combination of its parts.

According to the first determination, Kant distinguishes organisms as natural purpose from machines which an intelligent being intentionally produces, for, according to Kant, reciprocal production of the parts never occurs in such machines. The whole of an organism is formed through reciprocal production of its parts, not by an external intelligent cause. In this regard, Kant considers that organized beings are self-organizing.

However, the second determination means that entire organism must be regarded as a purpose by human beings. In this case, only intelligent beings can have purposes, and therefore Kant introduces an intelligent cause for the determinations of organisms.

Of course, Kant does not mean that such an intelligent cause should exist objectively, but that it belongs to the subjective principle according to which we must estimate organisms. However, it remains true that an intelligent cause, whether its existence is regarded as objective or subjective, is inseparable from the phenomena (or concept) of organisms. Therefore, according to Kant, organisms must have an aspect of what are made by an external intelligent cause, and they are not thoroughly self-organizing nor self-creating.

The concept of organism by Bergson

Bergson explains organisms as self-creating according to the following procedures: 1)

negation of the reality of material elements that constitute an organism; and 2)

comprehension of organisms by analogy with psychological duration.

Through the first procedure, the common presupposition of mechanism and teleology is denied. Teleology as well as mechanism supposes that organisms consist of a multiplicity of material elements. In other words, just because the reality of material elements is supposed, there should be an intelligent cause that intentionally produces systematic unity such as organisms. Bergson denies the reality of material elements. According to him, the number of elements is relative to the analysis of an observer. In this manner, Bergson attempts to overcome both mechanism and teleology.

Based on the second procedure, Bergson explains organisms through an analogy with psychological duration. Psychological duration is movement as an indivisible continuity, and it potentially contains distinct moments. It is a certain unity, which is dynamic. This dynamic unity is regarded as more than systematic unity, which is static and given by an external cause. Therefore, through such an analogy with psychological duration, organisms are conceived to be what is self-creating. In another words, Bergson denies the absolute reality of the nature described by science, revises the concept of nature, considers organisms that have more than systematic unity, potentially including it, without ceasing being the natural phenomena.

Conclusion

Based on the findings, we can conclude that in Kant, organisms are not completely explained as what are not made by an intelligent being but self-organizing. On the other hand, according to Bergson, they are not what are made by such a being at all. Kant does not completely detach himself from anthropomorphic teleology.

It is also important to note that there is a difference in the overall position between the two philosophers. Kant considers the concept of natural purpose (organisms) or intelligent cause to be a subjective reality while Bergson insists on the objective validity of his theory of organism.

Problems for future discussion

- Is there some possibility that, in Kant, the characteristic of self-organization in organisms will be compatible with the causality of an intelligent being?
- Is Bergson's analogy of organisms with psychological duration valid? More precisely, what enables Bergson to consider in some sense that movement such as psychological duration is more than systematic unity?

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Frege on Unsaturatedness

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Introduction

Purpose

To elucidate the notion of “unsaturatedness” in Gottlob Frege’s works and to focus on the difference between unsaturatedness and the notion of function

Background

The relationship between unsaturatedness and the notion of function is a contentious issue in the construal of Frege’s philosophy.

Methods

1. To analyze concepts, predicates, and the sense of predicates through Frege’s works.
2. To compare the argument of functions with objects, proper names, and the sense of predicates.

Materials

- ... a function by itself must be called incomplete, in need of supplementation, or unsaturated. (FB, 6)
- ... a concept is a function whose value is always a truth-value. (FB, 15)
- The second part [=predicate] is unsaturated - it contains an empty place; only when this place is filled up with a proper name, does a complete sense appear. (FB, 17)
- ... not all the parts of a thought can be complete; at least one must be unsaturated or predicative ... (BG, 205)
- The unsaturated part of the thought we take to be a sense too: it is the sense of the part of the sentence over and above the proper name [=the sense of the predicate]. (NS, 209)
- Every individual number is a self-subsistent object. (GLA, § 55)
- ... a proper name, which thus has as its *Bedeutung* a definite object ... (SB, 27)

Conclusion

Conclusion

1. Unsaturatedness is not intrinsically related to the notion of function.
2. Predicates and the sense of predicates do not have the faculty of mapping. Therefore these are not a type of function.

Future Discussions

Does unsaturatedness have a semantic role? If so, what is the role?

Results & Discussions

1. Concepts are functions that map objects for truth values.

Concepts require an object that serves as the reference of proper names. When we allocate an object for this concept, we obtain a truth value.

2. In the case of predicates and the sense of predicates, the arguments are not objects.

Predicates require a proper name, and the sense of predicates requires the sense of proper names. Functions require a number as an argument. Number is an object, but proper names and the sense of proper names are not.

References

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What is ILC?

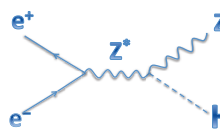
ILC (International Linear Collider) is a next generation lepton collider for the high energy frontier physics.

Basic Characteristics

- e^+e^- collider
- total length = 31 km
- $E_{CM} = 250 \sim 500$ GeV (upgrade : 1 TeV)
- peak luminosity = $2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

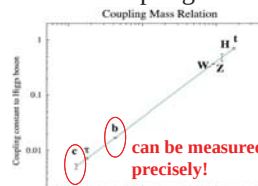
Higgs Study in ILC

The golden mode of Higgs generation process in ILC is $ee \rightarrow ZH$.

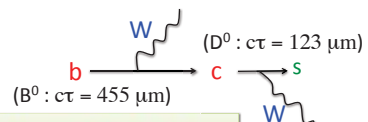


One of purposes of ILC is to measure Higgs coupling constant precisely, especially to b-quark and c-quark.

ILC is a lepton collider, so precise measurement of Higgs boson coupling to b-quark and c-quark can be done.



Vertex Detector is required to see measure 100 μm scale and below.



FPCCD Vertex Detector will satisfy this!

FPCCD Vertex Detector

FPCCD (Fine Pixel CCD) Vertex Detector will enable precise flavor tagging.

Basic Characteristics

- pixel size : $5 \mu\text{m} \times 5 \mu\text{m}$
- sensor thickness : $50 \mu\text{m}$
- number of pixels : $\sim 10^9$
- fully depleted CCD $\rightarrow$ two-track separation capability : **Good**

three doublet structure

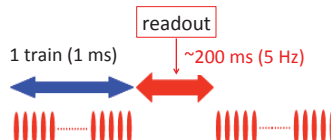
$\rightarrow$ background rejection by cluster shape : **Good**

readout par one train

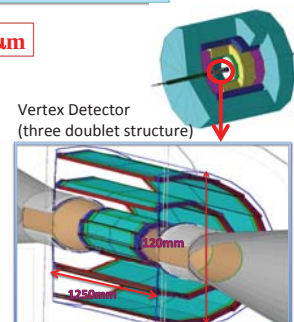
$\rightarrow$ **completely free** from beam-induced RF noise (EMI)

space resolution : Very Good

pixel occupancy of background : Good



FPCCD prototype : $6 \mu\text{m} \times 6 \mu\text{m}$



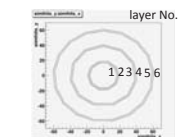
Before building FPCCD Vertex Detector, its performance should be evaluated and optimized.

Performance Evaluation and Software Development for FPCCD

Pixel Occupancy of Background

Main background in VXD is caused by electron-positron beam.

at 500 GeV		at 1 TeV	
layer No.	occupancy of B.G.(%)	layer No.	occupancy of B.G.(%)
1	32.8	1	19.6
2	1.6	2	10.4
3	0.1	3	0.2
4	0.0	4	0.2
5	0.0	5	0.0
6	0.0	6	0.0



Occupancy must be lower than $\sim 3\%$ in each layer.

Occupancy under 500 GeV is OK!!

Pixel-size configuration has been optimized to **reduce power consumption of readout**.

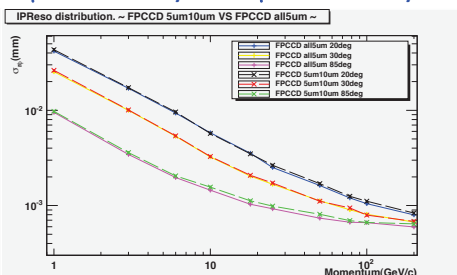
If pixel size in the outer 4 layers are $10 \mu\text{m} \times 10 \mu\text{m}$, then power consumption of readout is decreased by 70%. If both occupancy and I.P. resolution remain OK, this value is very attractive.

Check! Occupancy: $5 \mu\text{m}$ VS $10 \mu\text{m}$

layer No.	at 1 TeV occupancy of B.G.(%)	
	$5 \times 5 \mu\text{m}^2$	$10 \times 10 \mu\text{m}^2$
1	19.6	—
2	10.4	—
3	0.2	0.5
4	0.2	0.5
5	0.0	0.1
6	0.0	0.1

Occupancy requirement cleared with $10 \mu\text{m} \times 10 \mu\text{m}$ pixel configuration!

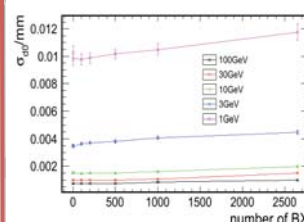
Check! I.P. resolution: all $5 \mu\text{m}$ VS $5 \mu\text{m}$ in inner 2 layers & $10 \mu\text{m}$ in outer 4 layers



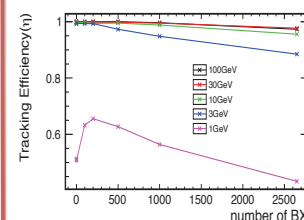
I.P. resolution remains almost same as all $5 \mu\text{m}$ configuration.

From these two results, we can conclude that using $10 \times 10 \mu\text{m}^2$ for outer layers is an attractive configuration.

Currently, **tracking efficiency** and **I.P. resolution with B.G.** is being studied. The followings are tentative results.



This shows **I.P. resolution with background**. Even if number of BX increases, **it doesn't increase so much**.



This shows **tracking efficiency with background**. Definition of the efficiency is **whether there are more than 5 hits used in VXD**.

Problems about the efficiency:

1. Why is the efficiency at 1GeV with 0BX very low?
2. Why is the efficiency at 1GeV with 100 ~ 1000BX higher than that with 0BX?

$\rightarrow$ Now the tracking algorithm is being checked.

Summary and Plan

- If FPCCD is used,
 - $\rightarrow$ **Occupancy : OK!** (under $E_{CM} = 500$ GeV)
 - $\rightarrow$ **Impact parameter resolution : Very Good!**
 - $\rightarrow$ **Power consumption can be reduced** with new configuration of pixel size.

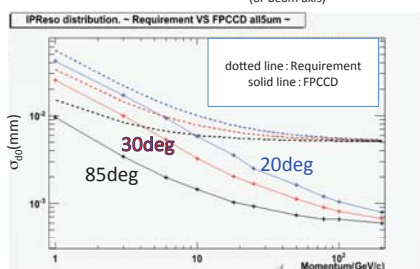
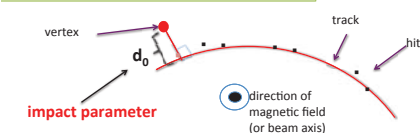
Plan

Tracking Algorithm: I'll check and modify it.
Beam Test: June 2013.

I'll prepare analysis code to derive FPCCD's excellent spatial resolution.

Impact Parameter Resolution (without B.G.)

definition: impact parameter



FPCCD provides fantastic I.P. Resolution!!